

**SYNTHESIS AND ANTIMICROBIAL ACTIVITY OF BRANCHED
BENZOXAZINOPHENOTHAZINES AND THEIR DERIVATIVES**

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UNIVERSITY OF NIGERIA, NSUKKA**

AUGUST, 2014

TITLE PAGE

**UNIVERSITY OF NIGERIA, NSUKKA
DEPARTMENT OF PURE AND INDUSTRIAL CHEMISTRY**

**RESEARCH PROJECT
SYNTHESIS AND ANTIMICROBIAL ACTIVITY OF BRANCHED
BENZOXAZINOPHENOTHIAZINES AND THEIR DERIVATIVES**

**A PROJECT
SUBMITTED IN PARTIAL FULFILLMENT OF THE REQUIREMENT FOR THE
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UNIVERSITY OF NIGERIA, NSUKKA**

BY

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CERTIFICATION

This is to certify that the research work titled "Synthesis and Antimicrobial Activity of Branched Benzoxazinophenothiazines and their Derivatives" was carried out by **IKE, OZOEMENA CHRISTIAN** (PG/M.Sc/12/62074) and has been approved by the undersigned of the Department of Pure and Industrial Chemistry University of Nigeria Nsukka, submitted in partial fulfillment for the requirement of the award of M.Sc in Organic Chemistry.

Dr. B.E. EZEMA

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Dr. A.E. OCHONOGOR

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Date _____

DEDICATION

This work is dedicated to my loving parents Mr. Sunday Ike and Mrs. Chibuzo Ike for their encouragement, support both morally and financially towards my educational achievement.

ACKNOWLEDGMENT

I am exceeding grateful to God Almighty for making it possible for me to achieve this educational height in academics. I acknowledge his loving grace that enabled me to pass through this programme successfully for his divine enablement is marvelous in my sight.

I am highly indebted to my supervisor Dr. B.E. Ezema for his immeasurable support, encouragement, patience and fatherly advice throughout the period of the research work.

I appreciate in a very special way these colleagues of mine: Adekola Ojo Emma, Bright, Nneka, Oluchi, Peter Oz, Priscilla . Thanks for the cooperation and time we spent together.

I remain ever thankful to my sisters and brothers, Ebere, Nkem, Ekwutosi, Emmanuel for their non-stop encouragement, unflinching support both morally, materially and being there for me.

Ike, Ozoemena Christian

ABSTRACT

The syntheses of benzoxazinophenothiazine was thoroughly explored. Condensation of 2,3-dichloro-1,4-naphthoquinone with 2-amino-4-nitrophenol, 4,5-diamino-6-hydroxypyrimidin-2-thiol and 2-amino-6-chlorophenol, respectively in alkaline medium yielded the intermediates: 6-chloro-10-nitrobenzo[a]phenoxazin-5-one(136), 11-amino-6-chloro-9-thiol-8,9-diazabenz[a]phenoxazin-5-one (148) and 6,8-dichlorobenzo[a]phenoxazin-5-one (160). Further treatment of the intermediates with 2-aminothiophenol and 3-amino-6-methoxypyridin-2-thiol gave the complex derivatives: 14-nitrobenzo[a][1,4]benzoxazino[3,2-c]phenothiazine (137), 15-amino-13-thiol-12,14-diazabenz[a][1,4]benzoxazino[3,2-c]phenothiazine (149) and 12-chloro-9-methoxy-8-azabenz[a][1,4]benzoxazino[3,2-c]phenothiazine (161). The compounds were characterized by UV/Visible, FT-IR, (¹H and ¹³C-NMR) spectroscopy and elemental analysis. The synthesized compounds were screened against eight (8) micro-organisms viz: *Bacillus subtilis*, *Bacillus cereus*, *Staphylococcus aureus*, *Pseudomonas aeruginosa*, *Escherichia coli*, *Kebsiella pneumonia*, *Asperigellus niger* and *Candida albicans* using agar-cup diffusion method. The results showed that the complex derivatives were significantly active against the micro-organisms when compared to anti-bacterial and anti-fungal drugs.

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LIST OF ABBREVIATIONS

Asp.niger : *Asperigellus niger*

B.Cereus : *Bacillus cereus*

B.subtilis : *Bacillus subtilis*

C.albicans : *Candida albicans*

CNS : Central nervous system

DMF : N,N dimethyl formamide

DMSO : Dimethyl sulfoxide

E.coli : *Echerichia coli*

KBR : Pottassium bromide

K.pneumonia : *Klebsiella pneumonia*

MIC : Minimum inhibitory concentration

NMR : Nuclear magnetic Resonance

Nph : Phenylimine

P.areuginosa : *Pseudomonias aeruginosa*

S.aureus : *Staphylococcus aureus*

UV : Ultraviolet

Chemical Symbols

°C : degree Celsius

g : gramme

mg/mL : Milligramme per milliliter

mL : Milliliter

mmole : millimole

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CHAPTER ONE

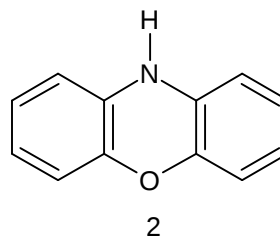
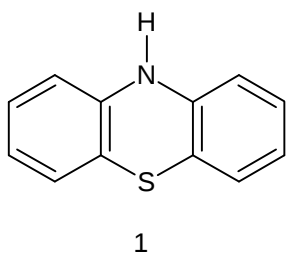
INTRODUCTION

1.1 BACKGROUND OF STUDY

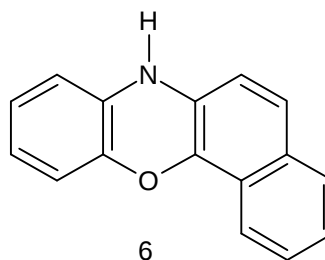
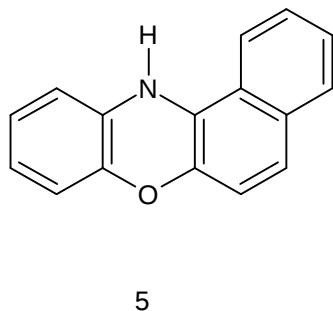
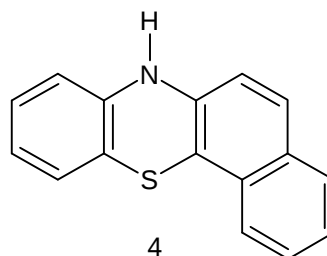
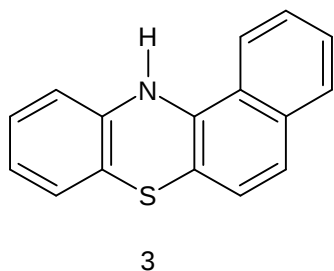
The application of phenothiazine and phenoxazine compounds and their derivatives in medicine, textiles, agriculture and other related industries has been of interest to chemists for well over a century.

The chemistry of nitrogen-sulphur heteroatom-containing aromatic compounds is becoming more popular as an area of research¹. Phenothiazine derivatives have been shown to possess a broad spectrum of pharmacological activity depending like antiparkinsonian²⁻³, tranquilizer⁴, anti-inflammatory⁵⁻⁷, antimalarial⁸⁻⁹, antipsychoti¹⁰⁻¹², antimicrobial¹³⁻¹⁵, anti-tubercular¹⁶⁻¹⁸, antitumor¹⁹⁻²⁰, antihistaminic²¹⁻²², analgesic²³, prion disease drug²⁴. In textile, paint and plastic industries, they are used as dyes and pigments²⁵⁻²⁶ and in agricultural industry as insecticides²⁷. In the petroleum industry, they are found useful as antioxidants in lubricants and fuels²⁸. It has been observed that some phenothiazines inhibit intracellular replication of viruses including human immunodeficiency viruses (HIV)²⁹. On the other hand, some have been reported to exhibit significant anticancer activity³⁰⁻³¹

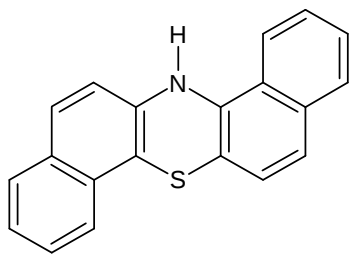
Similarly, phenoxazine derivatives are compounds of great interest because of their several successful applications as dyes and drugs. They exhibit strong biological activities ranging from antidepressant³², antitumor³³, anticancer³⁴, antibacterial³⁵, antituberculosis³⁶ and schizophrenia agents³⁷. Among other several industrial applications of phenoxazine derivatives is their use as acid-base indicator³⁸, biological stains³⁹, laser dyes⁴⁰ and chromophonic compounds⁴¹.



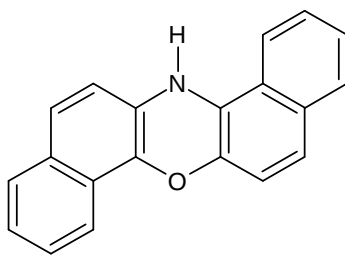
Owing to the wide range of applications of phenothiazines, intensive research has been in progress in the search for more derivatives with highly improved pharmacological and biological activities. Hence, several papers describing the synthesis of these derivatives had been reported especially on the angular derivatives including the non-aza and the congeneric aza-analogues. However, there exists limited literature on the complex derivatives of this phenothiazine ring system. Among the non-aza angular compounds that have been reported were benzo[a]phenothiazines of the type (3)⁴² and benzo[c]phenoxazine of the type (4)⁴³ and benzo[a]phenothiazine and benzo[c]phenoxazine of types (5)⁴⁴ and (6),⁴⁵ respectively.



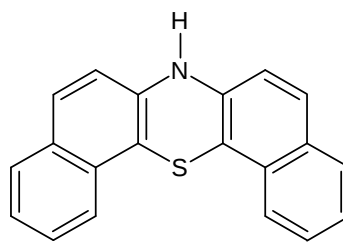
Such molecular modification had yielded other derivatives such as (7)⁴⁶, (8)⁴⁷ and (9)⁴⁸.



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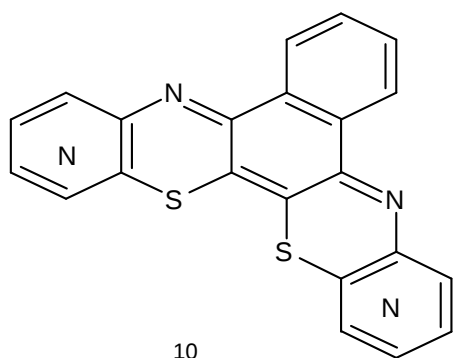


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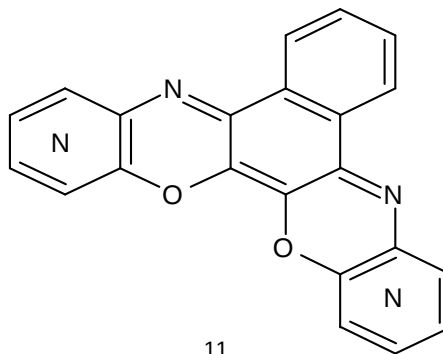


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Okafor⁴⁹ also reported the synthesis of the first three branched diazaphenothiazine and diazaphenoxazine dyes of the type (10) and (11).

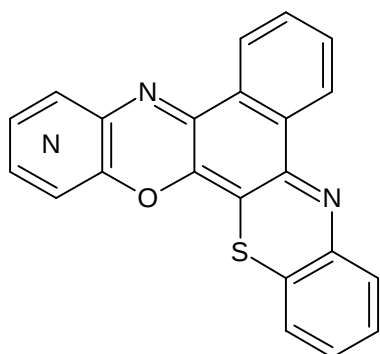


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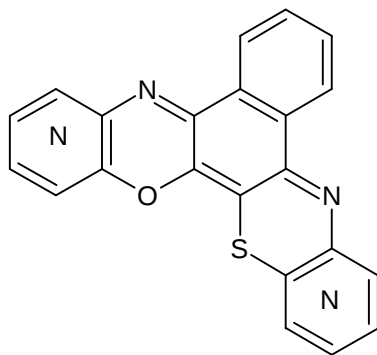


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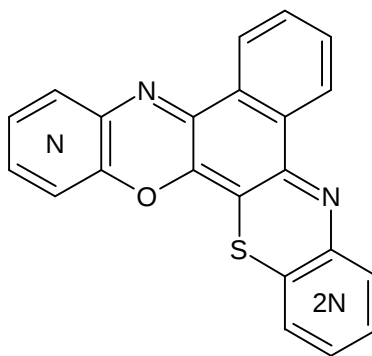
The first three-branched benzoxazinophenothiazine ring systems of monoaza, diaza and triaza analogues of the types (12), (13), and (14) were also reported by the same author⁴⁹.



12



13



14

1.2 STATEMENT OF THE PROBLEM

Owing to the wide range of applications of phenothiazine derivatives with highly improved pharmacological and biological activities, several papers describing the successful synthesis of these derivatives had been reported especially on the angular derivatives, including the non-aza and congeneric aza-analogues. However, there is still limited literature on the complex derivatives of this phenothiazine ring system and hence, modification of the existing ones is necessary. The past research done was based on their dye and pigment properties. Not much is known of anti-microbial properties of these complex phenothiazine derivatives.

1.3 OBJECTIVES OF THE STUDY

The specific objectives of the study are to:

- i. Synthesize derivatives of complex benzoxazinophenothiazine.
- ii. Characterize the compounds synthesized using UV-Visible, FTIR, ^1H -NMR and ^{13}C -NMR spectroscopy and elemental analysis.
- iii. Carry out antimicrobial screening on phenothiazine and phenoxazine compounds and their derivatives.

1.4 JUSTIFICATION OF THE STUDY

Due to wide pharmaceutical activities of phenothiazine and phenoxazine compounds, it became necessary to evaluate the antimicrobial activity of the synthesized derivatives which may have better and more desirable pharmacological properties.

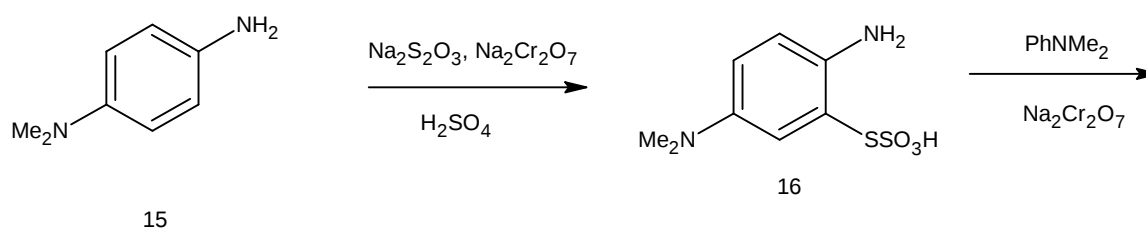
CHAPTER TWO

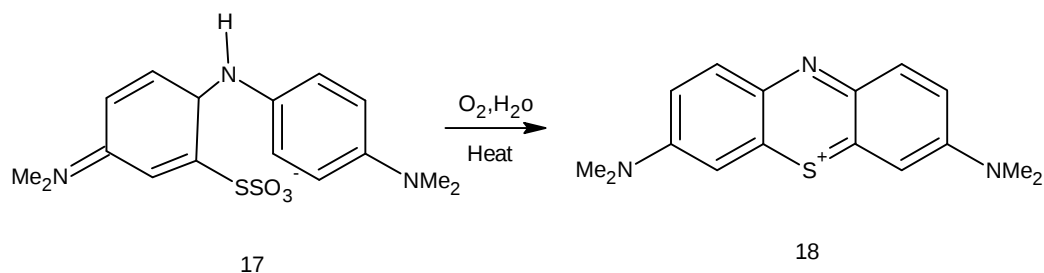
LITERATURE REVIEW

2.0 LINEAR PHENOTHIAZINES

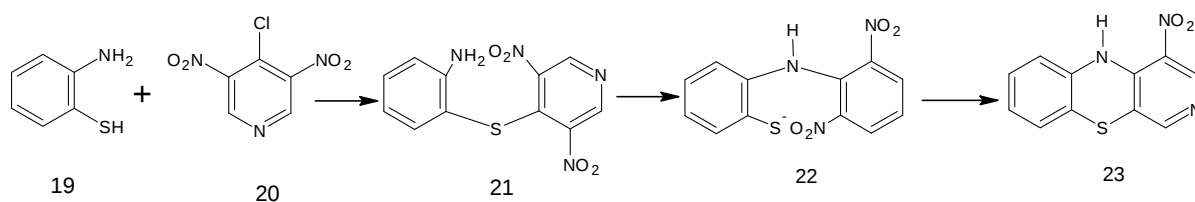
Linear phenothiazines are defined as phenothiazine derivatives in which variation in the parent phenothiazine ring system (1) does not alter the linear structure of the ring system. The earliest derivatives of phenothiazine are used mainly in the dye industry where they constitute an important class of sulphur dyes⁵⁰.

In 1883, methylene blue were obtained using Bernthsen's thiosulphate method⁵¹ in which 4-amino-*N,N*-dimethylaniline (15) was oxidized with sodium dichromate in the presence of sodium thiosulphate in sulphuric acid to give the arenethio-sulphuric acid derivative (16). Oxidation of intermediate (16) with sodium dichromate in the presence of *N,N*-dimethylaniline gave the indaminethiosulphuric acid derivative (17) which was subsequently oxidized with air in hot water to give methylene blue (18).

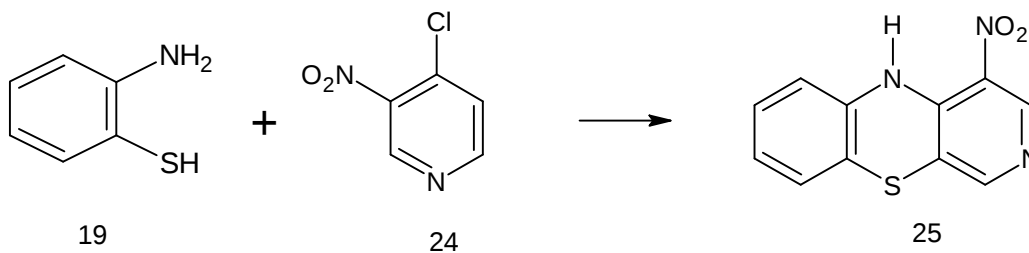




Petrow and Rewalds⁵² reported the synthesis of 1-nitro-3-azaphenothiazine (23) by refluxing a mixture of 3,5-dinitro-4-chloropyridine (20) with *o*-aminothiophenol (19) in excess alcoholic sodium ethoxide in the presence of sodium acetate. The sulfide (21) formed quickly rearranged and cyclized without the isolation of the intermediate (22) to give compound (23).

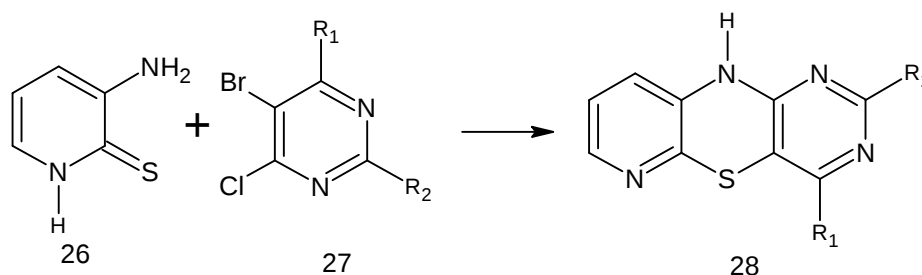


Using 3-nitro-4-chloropyridine (24) and *o*-aminothiophenol (19) as reactants, the unsubstituted 3-azaphenothiazine (25) was obtained in 62% yield.

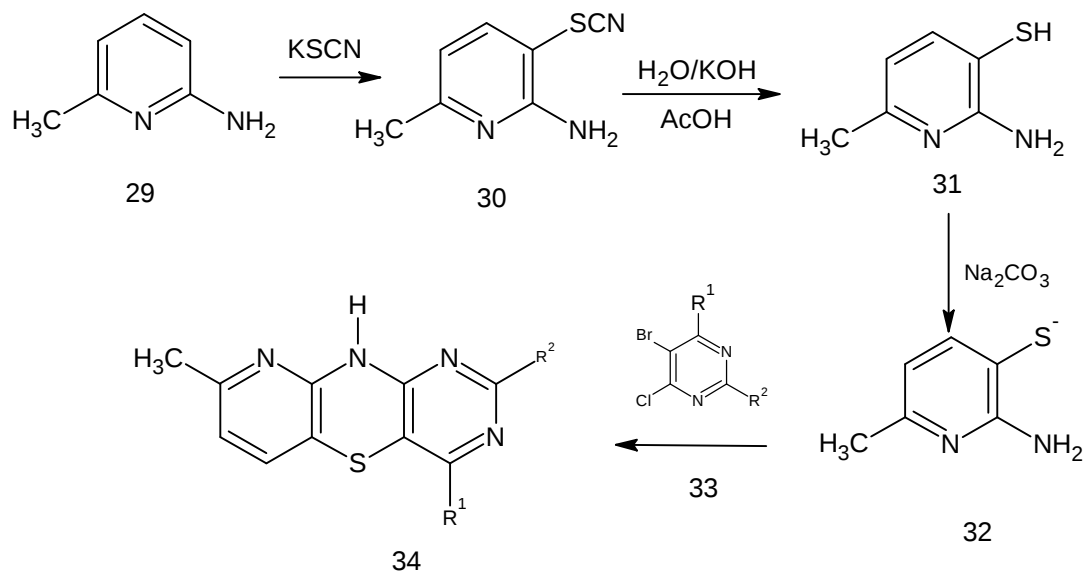


2.1 SYNTHESIS OF TRIAZAPHENOTHIAZINES

However, there was no report on any of the possible twenty isomeric triazaphenothiazines system until 1973 when Okafor⁵³ reported the synthesis of the first compound in this series. The compound 1,3,6-triazaphenothiazine (28) was obtained in yields from 11% to 95% in the acid condensation of 3-amino-[1*H*]-pyridine-2-thione (26) with 4,5-dihalogenopyrimidines (27). Compound (28) showed appreciable CNS-depressant activities when tested.



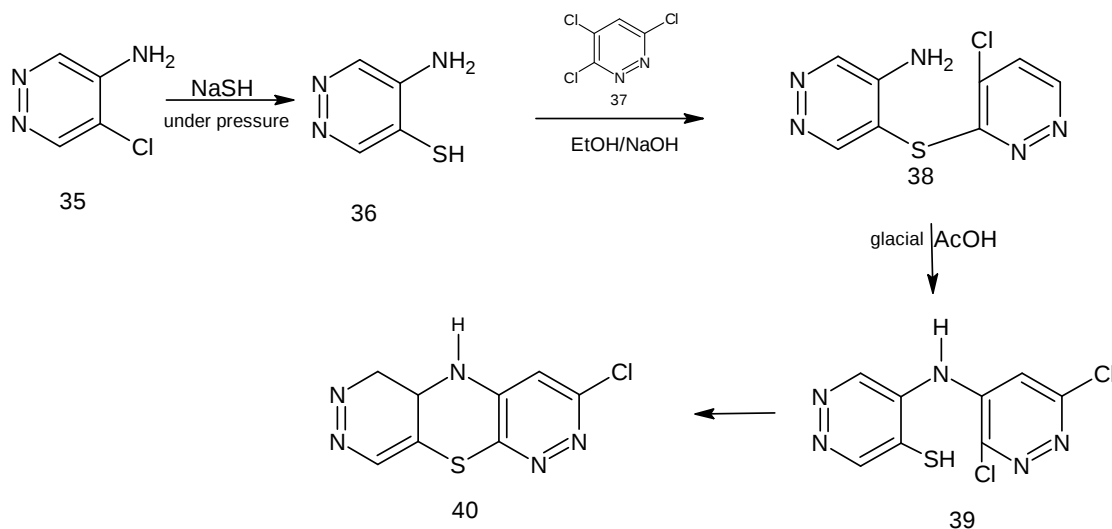
The synthesis of 1,3,9-triazaphenothiazine (34) was described. This was achieved by the acid condensation of 2-amino-6-methyl-3-pyridinethiol (32) with 4,5-dihalogenopyrimidine (33). The precursor to (32), 2-amino-6-methyl-3-thiopyridine (31) was obtained by Haufman's thiocyanation of 2-amino-6-methylpyridine (29) followed by base catalyzed hydrolysis of the resulting 3-thiocyanato derivative (30) and subsequent acidification.



When compound (32) was treated with 5-bromo-4-chloro-2,6-dimethoxyrimidine (33) (where R^2 and R^3 are H) in diluted sulphuric acid, 68% yield of 2,4-dimethoxyl-8-methyl-1,3,9-triazaphenothiazine (34) was obtained.

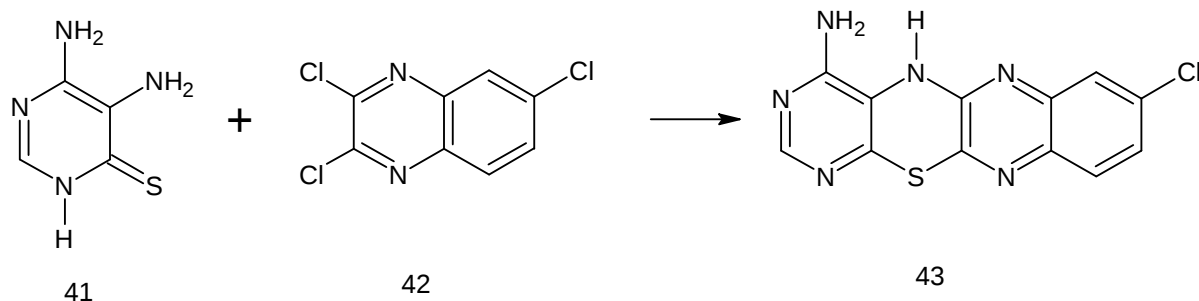
2.2 SYNTHESIS OF TETRAPHENOTHIAZINES

Wise and Castle⁵⁴ have reported the synthesis of five out of the thirty five possible isomeric tetra-azaphenothiazine rings. In these reactions, 4-amino-5-chloropyridazine (35) was converted to 4-aminopyridazine-5-thiol (36) in 58% yields by heating sodium hydrosulfide under pressure. The resulting product (36) was treated with 3,4,6-trichloropyridazine (37) in ethanolic sodium hydroxide to obtain dihydrazinyl sulfide (38), which was refluxed in the presence of glacial acetic acid to give 3-chloro-1,2,7,8-tetra-azaphenothiazine (40) melting at 234-235 °C.



The conversion of 4-(5-aminopyridazin-2-yl)-3,6-dichloropyridazine (39) to tetraazaphenothiazine (40) was rationalized as proceeding via Smiles' rearrangement followed by cyclization. The same product (40) was obtained by heating the starting material (35) and (36) at 50 °C for 3 h in ethanolic KOH.

In their search for new pharmacologically active phenothiazine compounds, the synthesis of 1,4,6,8-tetraazabenzob[b]phenothiazine ring (41) was reported by Wise and Castle⁵⁴. This was achieved by the reaction of 4,5-diaminopyrimidine-6[1*H*]-thione (41) with 2,3,6-trichloroquinazoline (42) in *N,N*-dimethylformamide, in the presence of a near stoichiometric amount of sodium hydroxide to produce a yellow crystalline solid melting above 300 °C, identified as 9-amino-11-chloro-1,4,6,8-tetraazabenzob[b]phenothiazine (43).

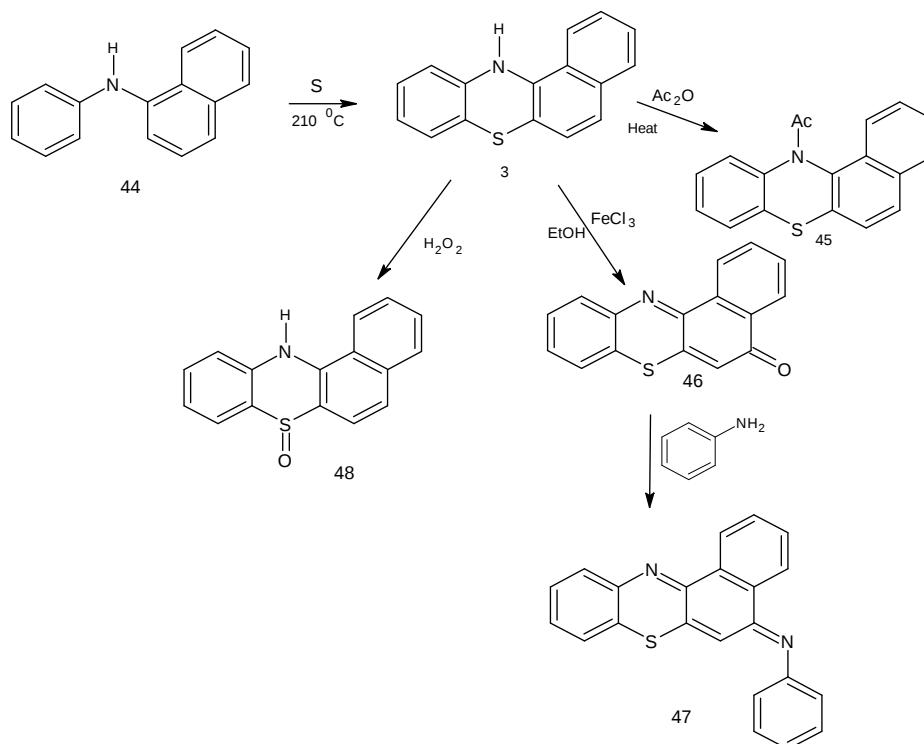


2.3 ANGULAR PHENOTHIAZINES

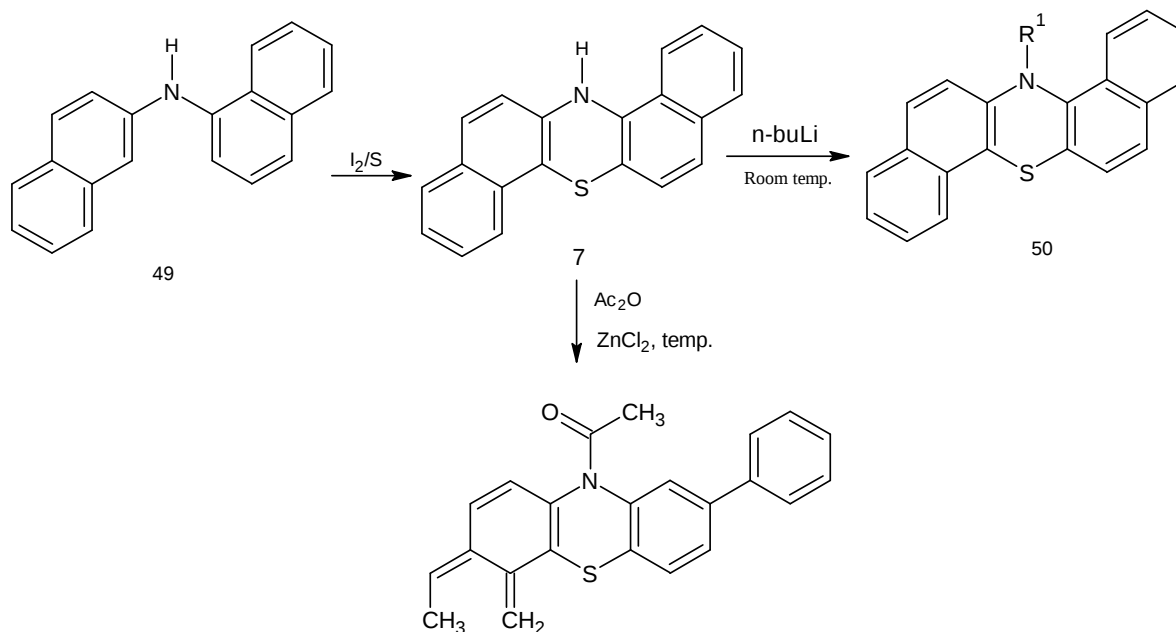
The application of angular phenothiazines as dyes and pigments for the paint and textile industries was recognized from the beginning of the 20th century. Angular phenothiazines are polynuclear phenothiazines which have a non-linear arrangement of the ring system.

In 1890, Kym⁵⁵ reported the synthesis of the first angular phenothiazine ring system, benzo[a]phenothiazine (3). This was achieved in 40% yield by heating 1-anilinonaphthalene (44) with sulphur at a temperature of 210 °C for 8 h. In 1958, improved yields and possibly pure products were reported by Talukdar and Shirley⁵⁶, who prepared the same compound (3) in 80% yield by adding catalytic amount of iodine at a lesser temperature in much lesser time.

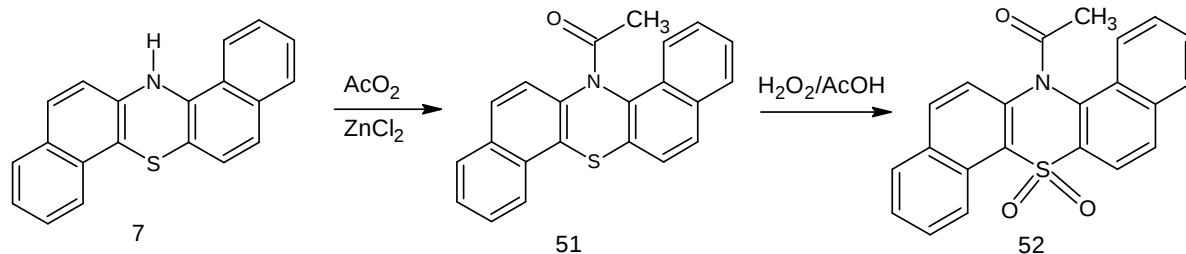
The acetyl derivative (45) was prepared by refluxing (3) in acetic anhydride in the presence of a few drops of pyridine. Ferric chloride or hydrogen peroxide oxidation of (3) gave benzo[a] phenothiazine-5-one (46) while in the presence of aniline, the product was anil (47)⁵⁵.



Dibenzo[a,h]phenothiazine (7) was obtained in 55% yield by the pyrolysis of 2-naphthyl-1-naphthylamine (49) in sulfur by Kehrman and co-workers⁴⁶ in the presence of a small catalytic quantity of iodine. Alkylation of (7) was achieved by treatment with n-butyl lithium followed by the action of alkyltosylates. Treatment of compound (7) with acetic anhydride and anhydrous zinc chloride at room temperature for over 24 h gave 14-acetyl-[14H] ñ dibenzol[a,h]phenothiazine (50)

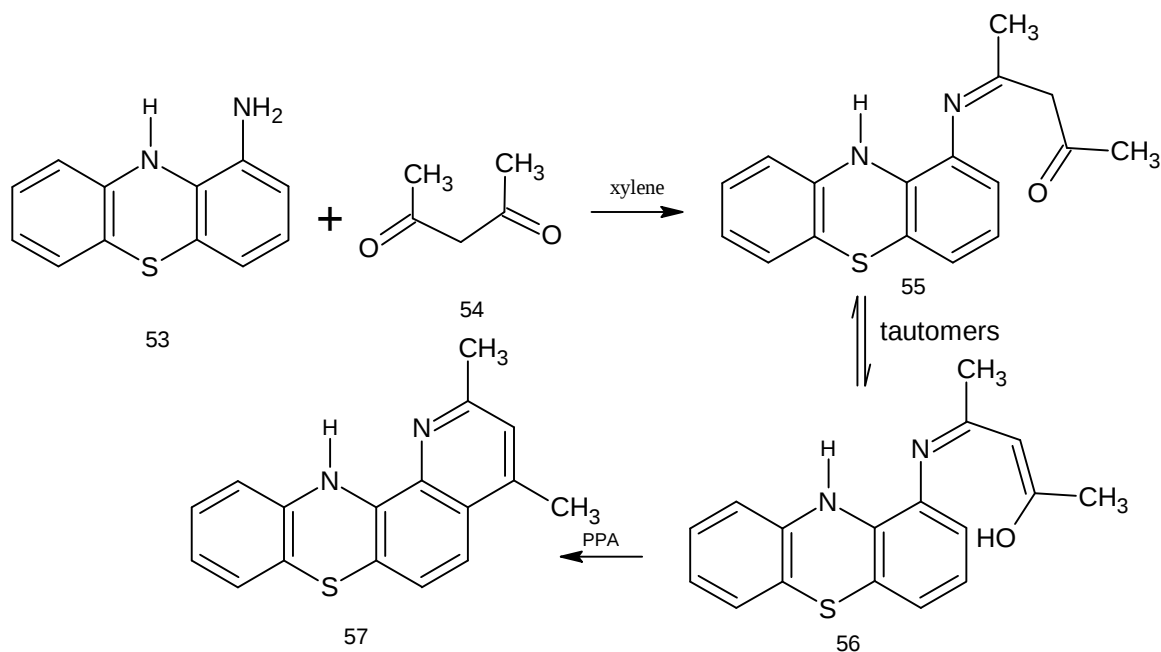


In a further search for more derivatives of angular phenothiazine compounds, Shirley and co-workers⁵⁶ reacted compound (7) with acetic anhydride and anhydrous zinc chloride at room temperature for 24 h to give 14-acetyl-[14H]-dibenzo[a,h]phenothiazine (51) which on oxidation with hydrogen peroxide in acetic acid gave the sulfone (52)

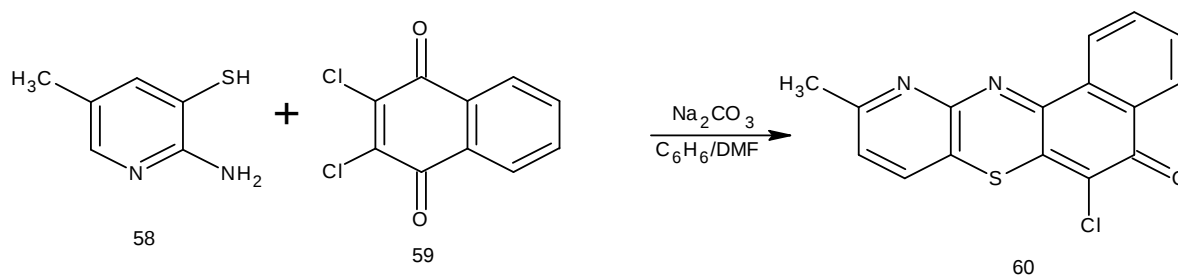


2.4 AZA ANALOGUES OF ANGULAR PHENOTHIAZINES

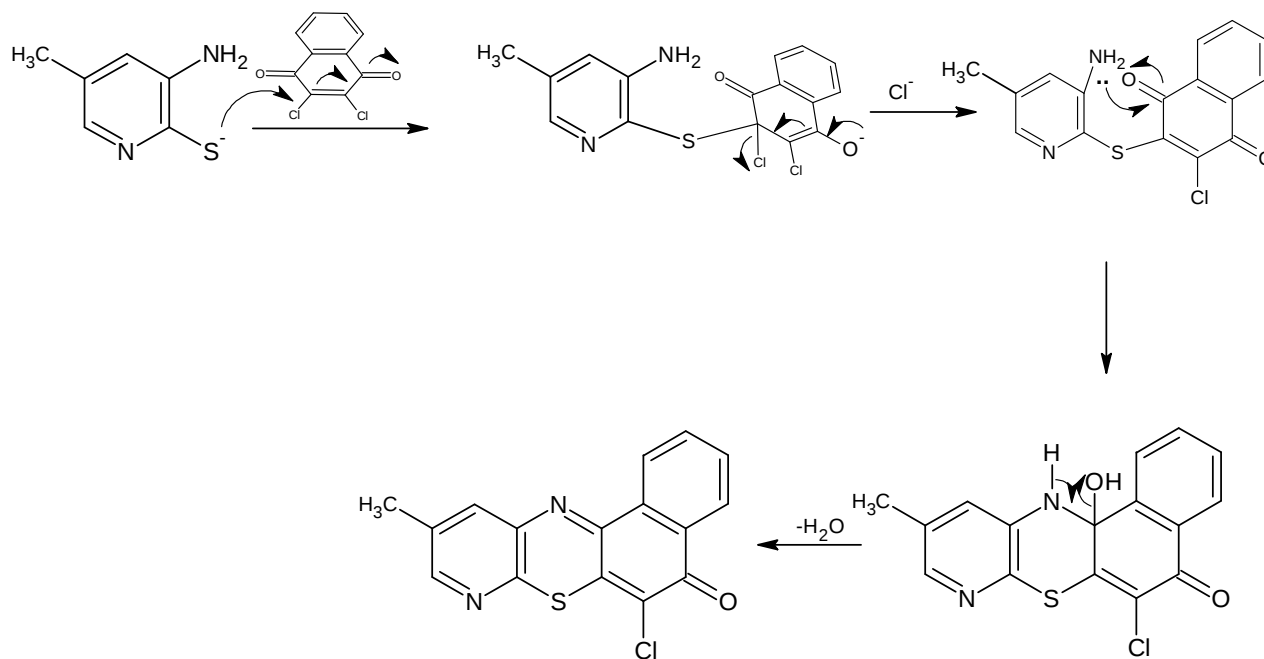
Interest in the synthesis of novel and more useful aza analogues of non-linear phenothiazine led to the investigation of these heterocyclic compounds. The first reported work on the synthesis of aza angular phenothiazine was by Gritsenk *et al.*⁵⁷ in which 1-aminophenothiazine (53) was reacted with pentan-2,4-dione (54) in xylene to give the tautomeric mixture of compound (55) and (56) which on cyclisation with polyphosphoric acid (PPA) at 40-60 °C gave 2,4-dimethylpyrido[2,3-a]phenothiazine (57) in 64% yield.



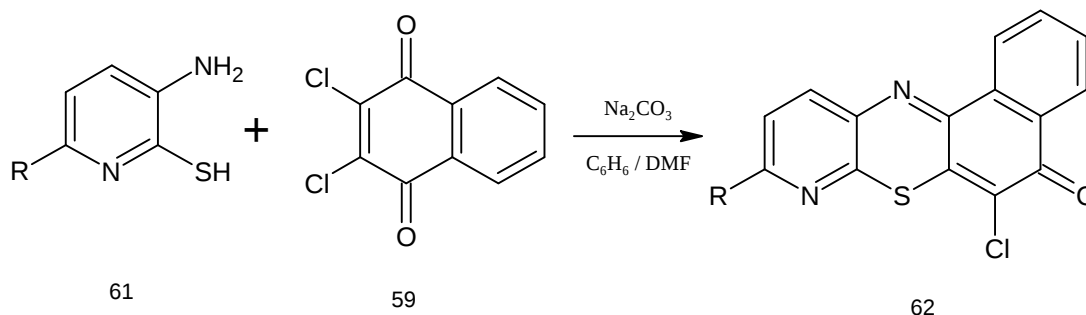
Okafor and co-workers⁵⁸ described the synthesis of 6-chloro-10-methylbenzo[a]-11-azaphenothiazine-5-one (60), obtained by refluxing 2,3-dichloro-1,4-naphthoquinone (59) with 2-amino-5-methyl-3-pyridinethiol (58) in benzene/DMF and in the presence of anhydrous sodium carbonate.



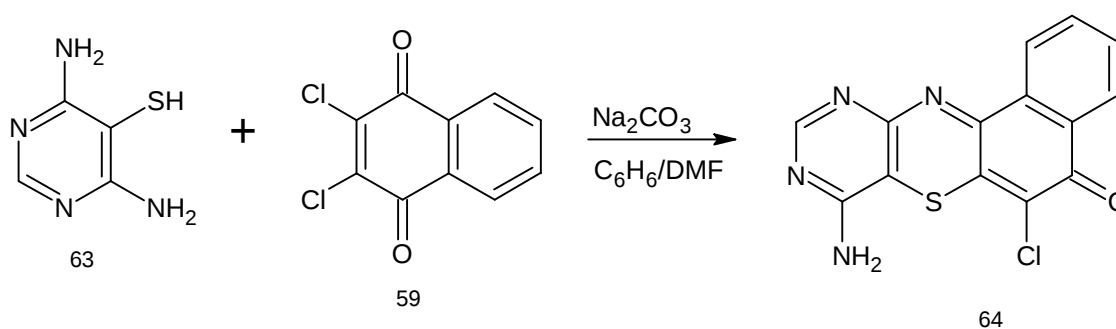
These compound (60) are probably formed through the mechanistic pathway shown below:



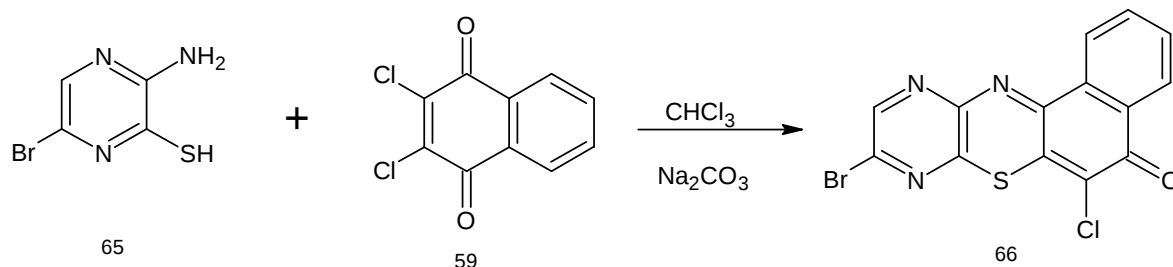
In a related development, Okafor⁵⁸ reported the synthesis of the aza analogue of 5*H*-benzo[*a*]phenothiazin-5-one (62), by heating 3-aminopyridine-2[1*H*]-thione (61) with 2,3-dichloro-1,4-naphthoquinone (59) in a basic medium containing anhydrous sodium carbonate.



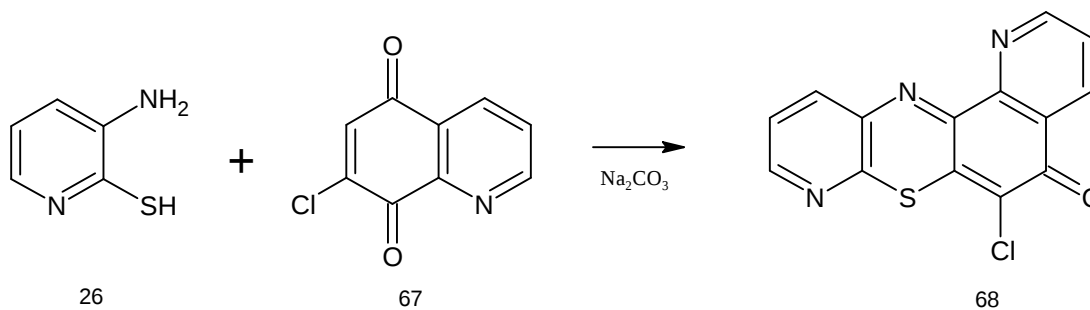
The synthesis of 8-amino-6-chloro-9,11-diaza-5*H*-benzo[*a*]phenothiazine-5-one (64) was reported by Umio and Kishimoto⁵⁹. Compound (64) was obtained by condensing 2,3-dichloro-1,4-naphthoquinone (59) with 4,6-diaminopyrimidine-5-thiol (63) in benzene/DMF in the presence of anhydrous sodium carbonate.



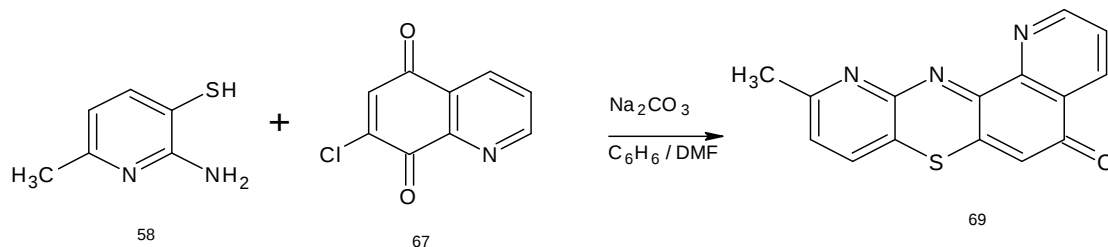
In another development, 9-Bromo-6-chloro-8,11,12-triazabenz[a]anthracen-5-one (66)⁶⁰ was obtained by reacting 2-amino-5-bromopyrazine-3[4*H*]-thione (65) with 2,3-dichloro-1,4-naphthoquinone (59) in chloroform in the presence of anhydrous sodium carbonate.



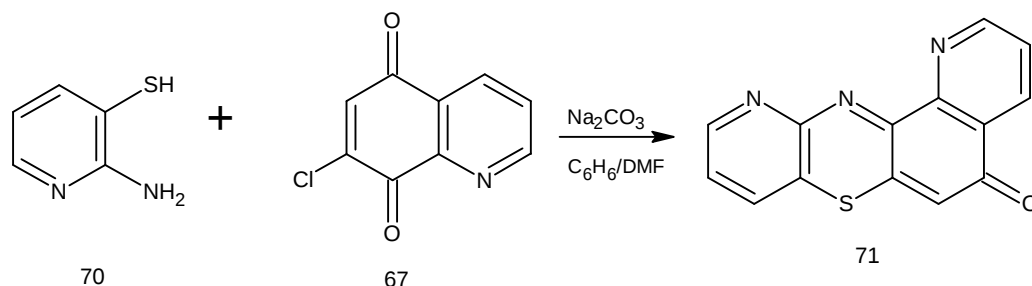
Okoro and Ezema⁶¹ reported the synthesis of 1,8-diazabenz[a]phenothiazin-5-one (68) by the base catalyzed reaction of 3-aminopyridine-2-[1*H*]-thione (26) with 7-chloro-5,8-dioxoquinoline (67).



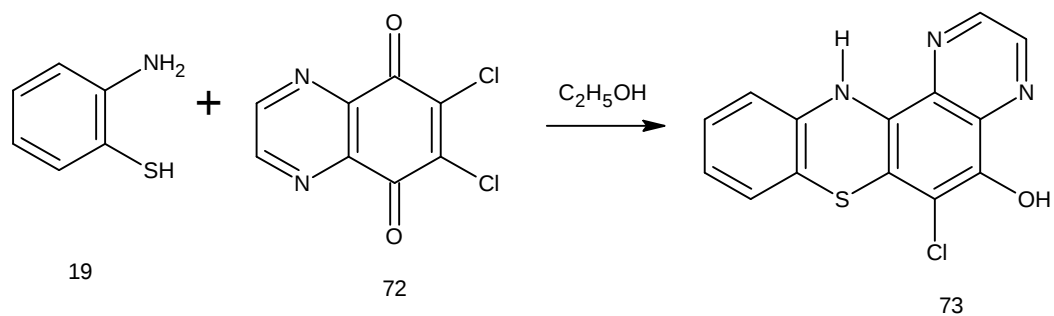
The new aza non-linear polycyclic phenothiazine; 10-methyl-1,11-diazabenz[a]phenothiazin-5-one (69) was obtained by condensing 2-amino-6-methylpyridine-3-thiol (58) with 7-chloro-5,8-dioxoquinoline (67) in benzene/DMF and in the presence of anhydrous sodium carbonate⁶².



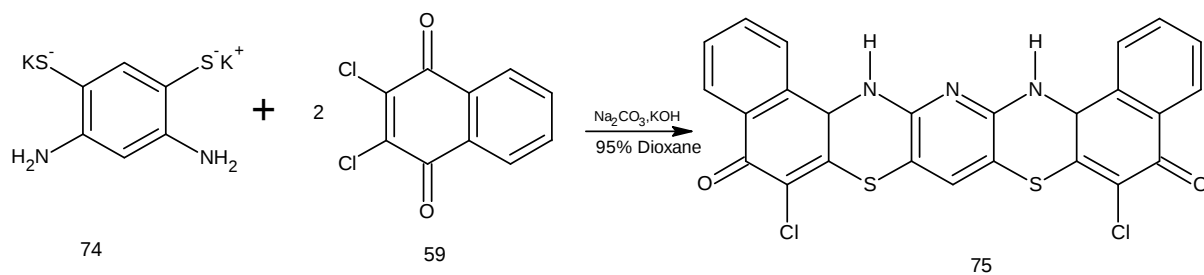
Ofoefule⁶³ synthesized another aza angular phenothiazine compound, 1,11-diaza-5*H*-benzo[a]phenothiazin-5-one (71) by refluxing a mixture of 2-aminopyridin-3-thiol (70) with 7-chloro-5,8-dioxoquinoline (67) in benzene/DMF and in a basic medium of anhydrous sodium carbonate.



Won Yoo and co-workers⁶⁴ described the synthesis of another new angular phenothiazine, 6-chloro-5-hydroxy-12*H*-pyrazino[2,3-*a*]phenothiazine (73), obtained by refluxing 2-aminothiophenol (19) with 6,7-dichloroquinoxaline-1,4-dione (72) in ethanol.

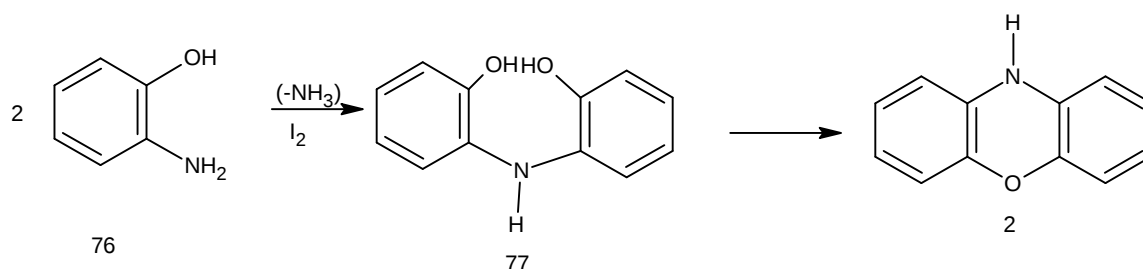


Okoro⁶⁵ reported the synthesis of 6,10-dichloro-17-azadibenzo[a,n]triphenodithiazine-5*H*-dione (75). The compound was obtained by treating potassium thiolate (74) with 2 moles of 2,3-dichloro-1,4-naphthoquinone (59) in 95% dioxane, potassium hydroxide and sodium sulfite.

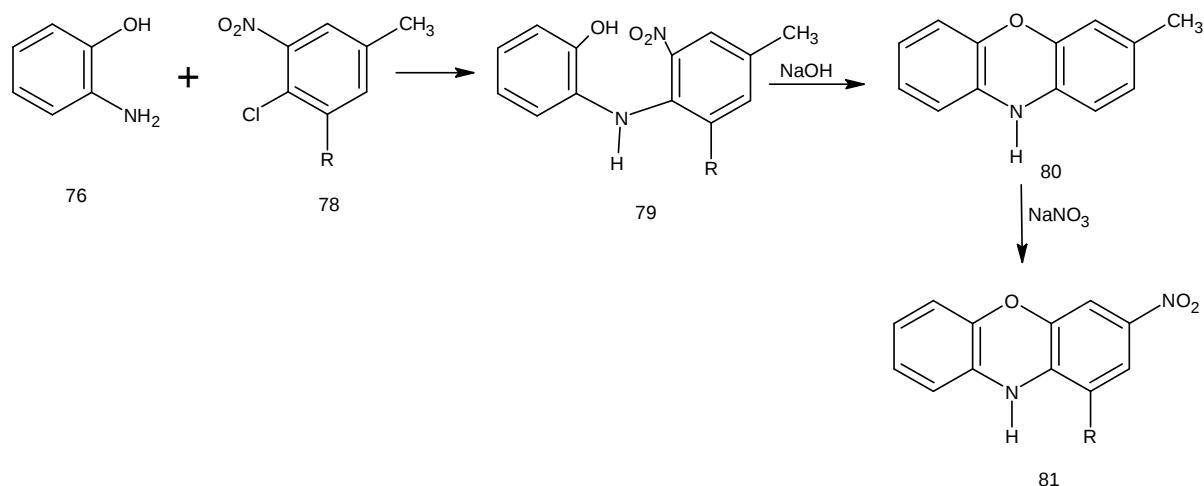


2.5 LINEAR PHENOXAZINES

Kehrmann and Neil⁶⁶ pointed out that phenoxazines may be obtained in good yield by heating an equimolar mixture of *o*-aminophenol and *o*-aminophenohydrochloride without catechol. Also the autocondensation of *o*-aminophenol (76) in the presence of iodine with elimination of ammonia and water gave unsubstituted phenoxazine (2).

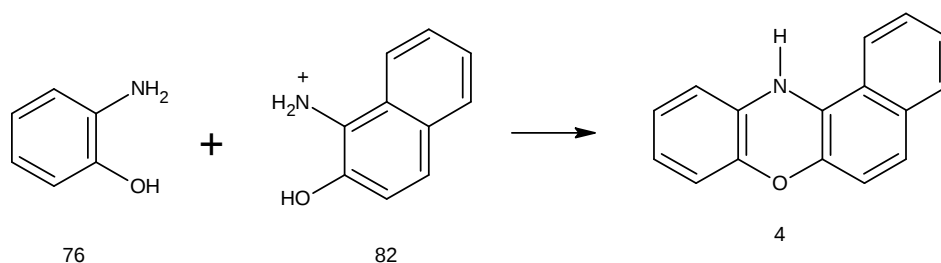


According to Turpin⁶⁷, substituted phenoxazine was obtained from the autocondensation of *o*-aminophenol (76) with picryl chloride (78).

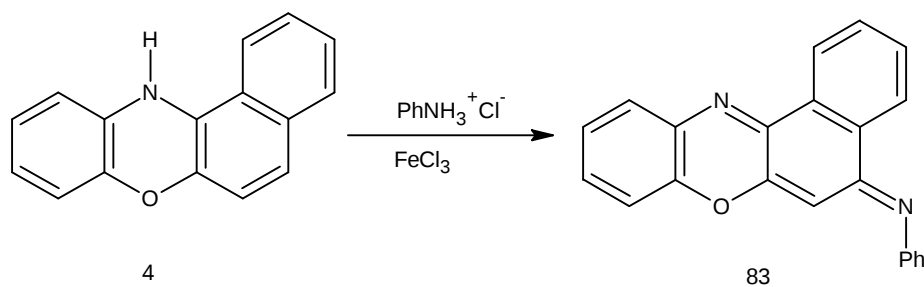


2.6 ANGULAR PHENOXAZINES

Goldstein and Semelitch⁴³ reported the first synthetic angular phenoxazine, benzo[a]phenoxazine in 1919. Compound (4) was obtained by the pyrolysing *o*-aminophenol (76) with 1-amino-2-naphtholhydrochloride (82) at 260 °C

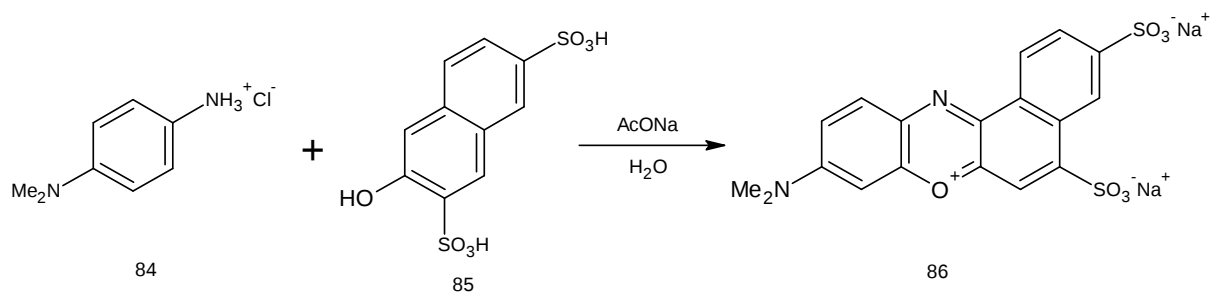


When benzo[a]phenoxazine was treated with aniline hydrochloride followed by the action of excess ferric chloride as the oxidizing agent, a more intensely coloured benzo[a]phenoxazine-5-phenylimine (83) was formed⁴³.

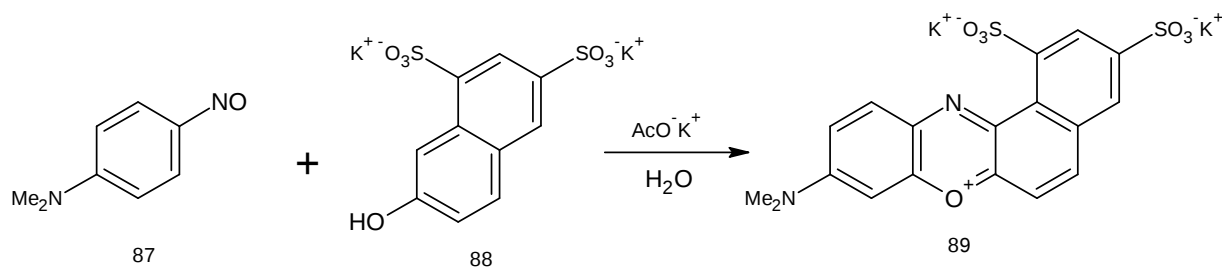


Eggers and Dickmann⁶⁸ prepared a derivative of phenoxazine (86) which is used as an indicator for redox titration. The compound was synthesized by cyclisation condensation of P-

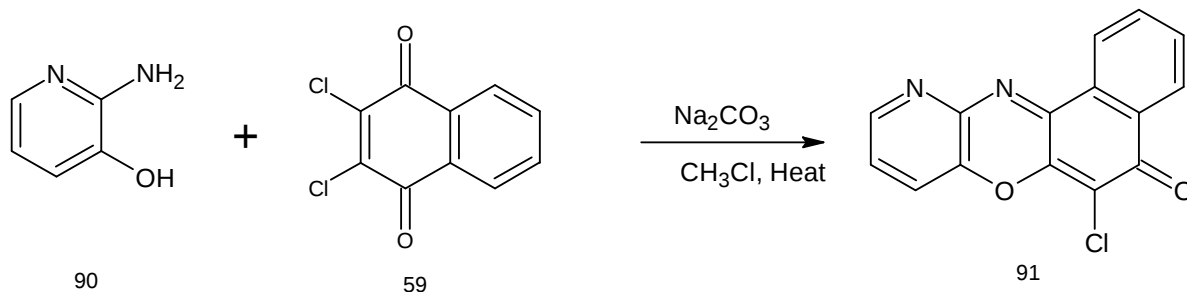
N,N-dimethylaniline hydrochloride (84) with 2-hydroxynaphthalene-3,6-disulphuric acid salt (85) in the presence of aqueous sodium ethanoate



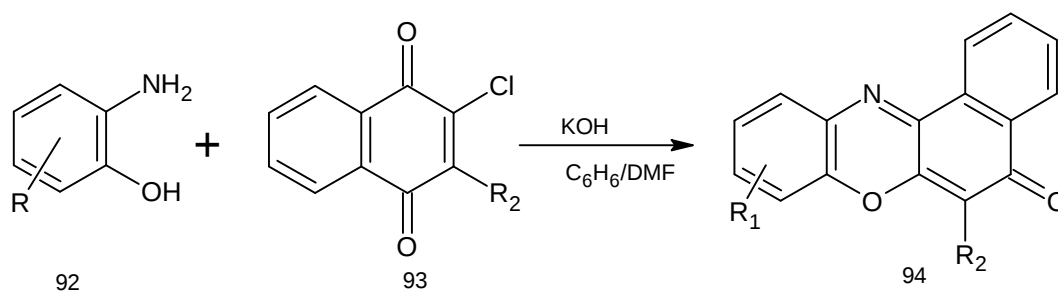
Similarly, compound (89) was synthesized by the condensation of 4-nitroso-1-dimethylaniline (87) with 2-hydroxynaphthalene dipotassium-6,8-sulphonate (88) in the presence of aqueous potassium ethanoate.



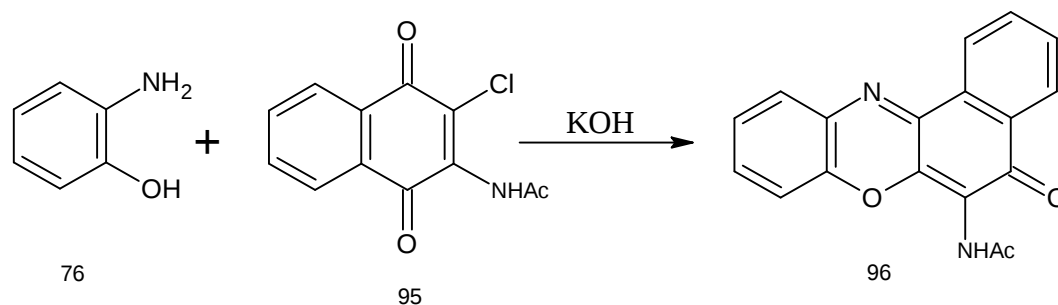
Agarwal and Schafar⁵⁴ reported the synthesis of 6-chlorobenzo[a]-11-azaphenoxazin-5-one (91) by heating a mixture of 2-amino-3-hydroxypyridine (90) and 2,3-dichloro-1,4-naphthoquinone (59) in the presence of anhydrous sodium carbonate.



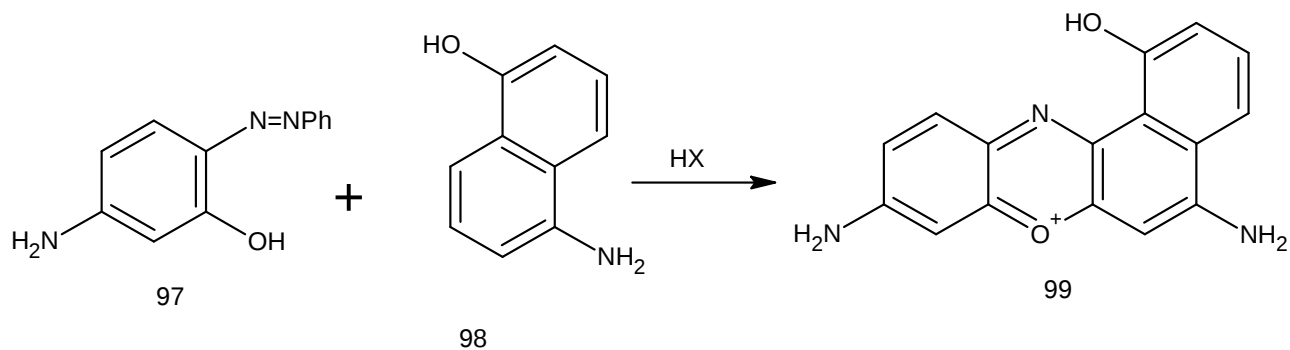
The synthesis of substituted benzo[a]phenoxazine-5-one (94) was achieved by refluxing substituted 2-aminophenol (92) with substituted 2-chloro-1,4-naphthoquinone (93) in anhydrous potassium hydroxide and benzene/DMF by Agarwal and Schafar⁵⁴.



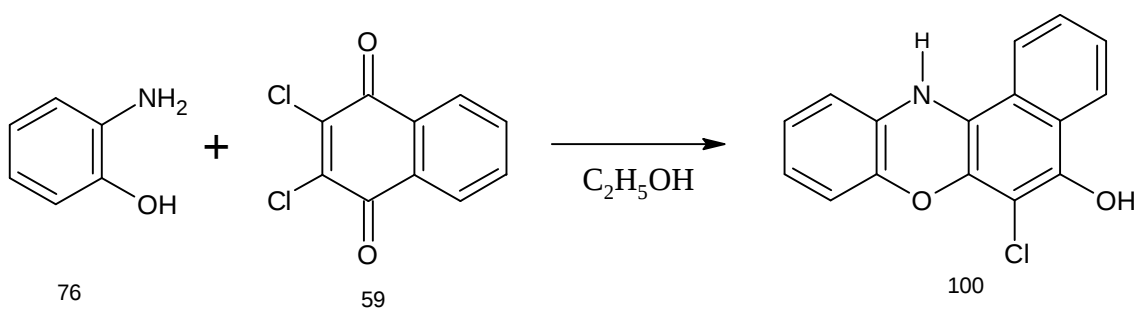
In a further search for new angular phenoxazines, the synthesis of 6-acetamidobenzo[a]phenoxazine (96) was achieved by the condensation of 2-acetamido-3-chloro-1,4-naphthoquinone (95) with 2-aminophenol (76) in the presence of anhydrous potassium hydroxide to produce 6-acetamidobenzo[a]phenoxazin-5-one⁶⁹.



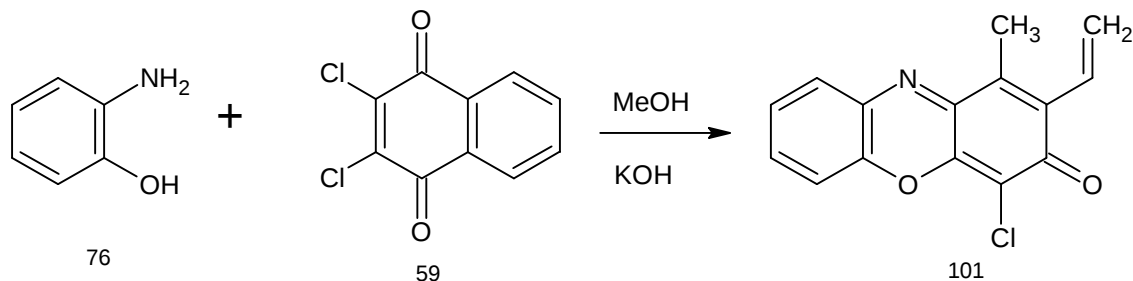
Muller and Psaar⁷⁰ reported the synthesis of 5,9-diamino-1-hydroxybenzo[a]phenoxazine (99) by refluxing 4-amino-2-hydroxyazobenzene (97) with amino-5-naphthol (98).



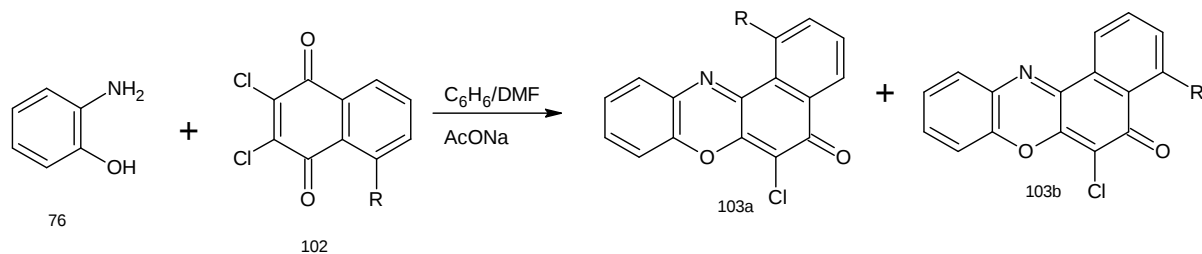
Van Allan and co-workers⁷¹ reported the synthesis of 6-chloro-5-hydroxybenzo[a]phenoxazine (100) by reacting 2,3-dichloro-1,4-naphthoquinone (59) with 2-aminophenol (76).



Also reported by the same author⁷¹ was the reaction of 2-aminophenol (76) and 2,3-dichloro-1,4-naphthoquinone (59) in methanol and anhydrous potassium hydroxide to afford

6-chloro-5[1*H*]-benzo[*a*]phenoxazin-5-one (101)

In a similar development, 1- and 4-substituted 5*H*-benzo[*a*]phenoxazin-5-one (103a) and (103b)⁷², respectively, were prepared by the condensation of 2-aminophenol (76) with 5-substituted 2,3-dichloro-1,4-naphthoquinone (102) in benzene/DMF in the presence of sodium acetate.

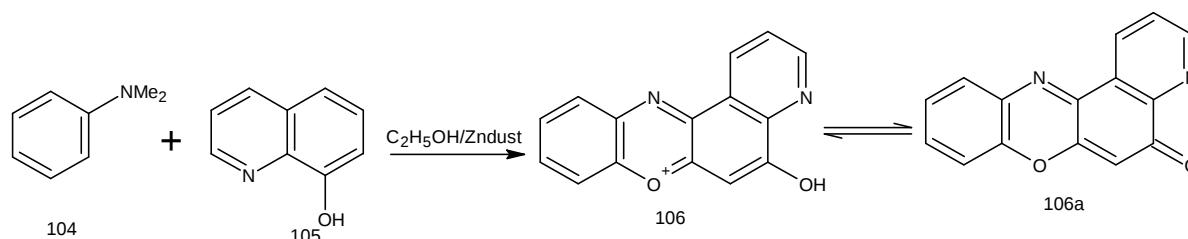


2.7 Aza-Analogue of Angular Phenoxazine Ring Systems

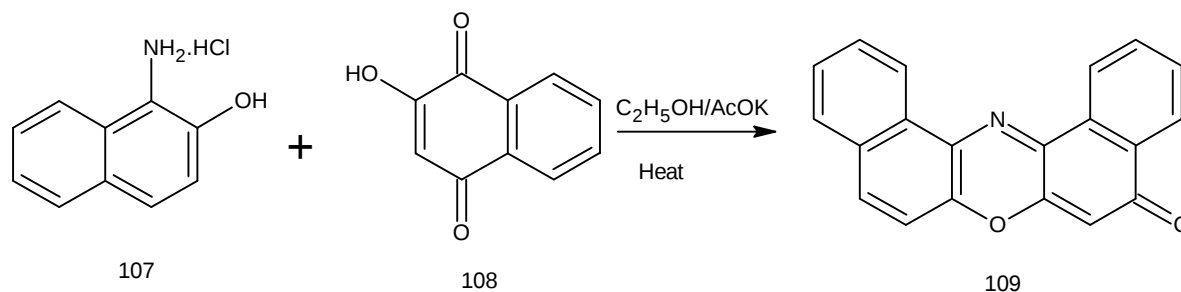
Research into aza analogues of phenoxazines has grown over years. Some angular aza-phenoxazines such as pyrido[3,2-*a*]phenoxazine occur in nature. Naturally occurring angular

phenoxazine pigments known as ommochromes such as rhodomatin and ommatin, have been isolated from the wings of different arthropods.

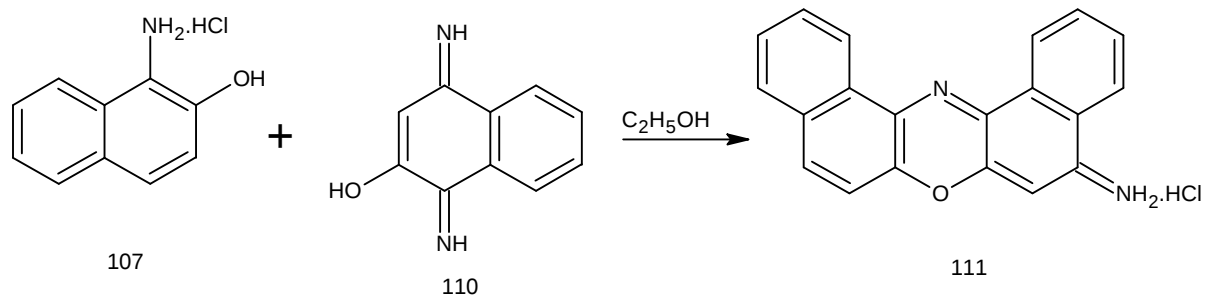
Noelting⁷³ in 1922 reported the synthesis of the angular aza-phenoxazine, 5-hydroxypyrido[3,2-a]phenoxazine (106), obtained by heating a mixture of 8-hydroquinoline (104) and *o*-hydroxy-*N,N*-dimethylaniline (105) in ethanol in the presence of zinc dust



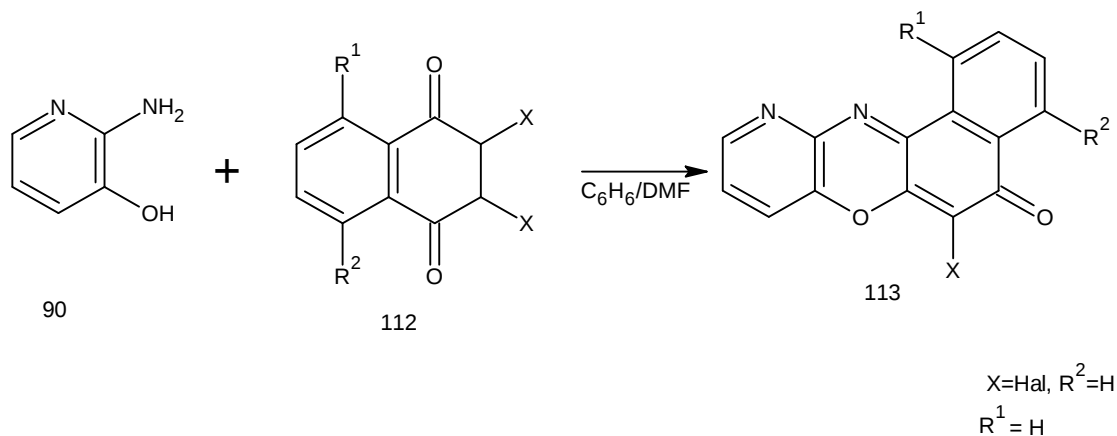
Goldstein and co-workers⁷⁴ reported the synthesis of dibenzo[*a,j*]phenoxazin-5-one (109) by refluxing 1-amino-2-naphtholhydrochloride (107) with 2-hydroxy-1,4-naphthoquinone (108) in ethanol and potassium acetate.



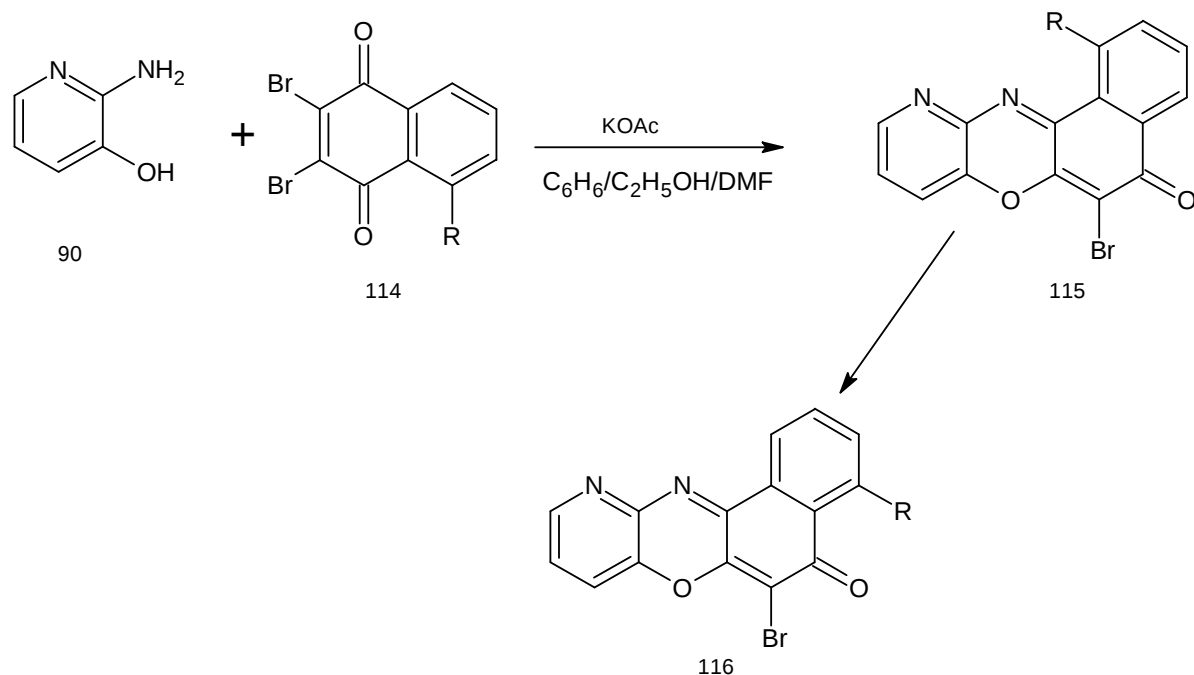
In a similar development, dibenzo[*a,j*]phenoxazin-5-imine hydrochloride (111) was prepared⁷⁴ by refluxing 1-amino-2-naphthol (107) with 2-hydroxy-1,4-naphthoquinoneimine (110) in ethanol.



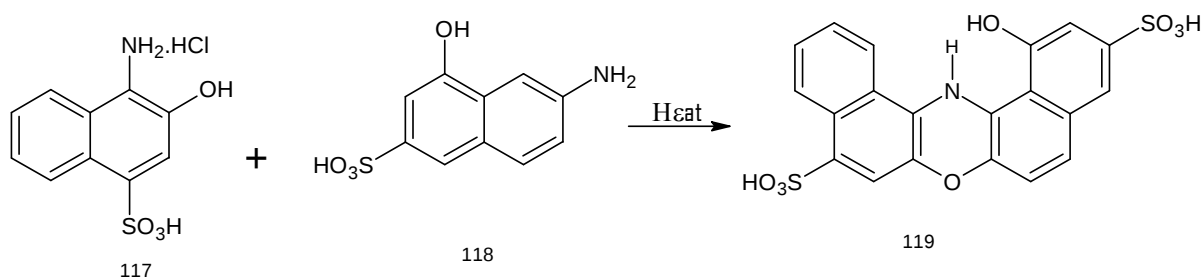
Nanya and co-workers⁷⁵ reported the synthesis of 1,6- and 4,6-disubstituted-11-aza-5H-benzo[a]phenoxazine-5-one (113) by condensing 2-amino-3-hydroxypyridine (90) with 5-substituted 2,3-dihalo-1,4-naphthoquinone (112) in benzene/ethanol as the solvent in the presence of potassium acetate.



The condensation of 2-amino-3-hydroxypyridine (90) with 6,7-dibromo-5,8-dioxoquinoline (114) in benzene/ethanol in the presence of potassium acetate at room temperature gave 6-substituted-11-aza-5H-pyrido[2,3-a]phenoxazin-5-one (115) and 6-substituted-11-aza-5H-pyrido[3,2-a]phenoxazin-5-one (116)⁷⁵.

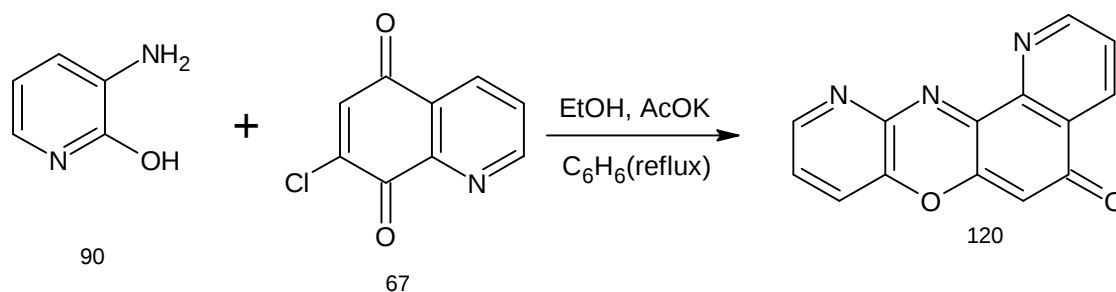


Levchenko⁷⁶, in 1960, prepared a disulphonic acid derivative of dibenzo[a,j]phenoxazine, 1-hydroxydibenzo[a,j]phenoxazin-3,9-disulphonic acid (119), by condensing 1-amino-2-naphthol-4-sulphonic acid (117) with 2-amino-8-naphthol-6-sulphonic acid (118).

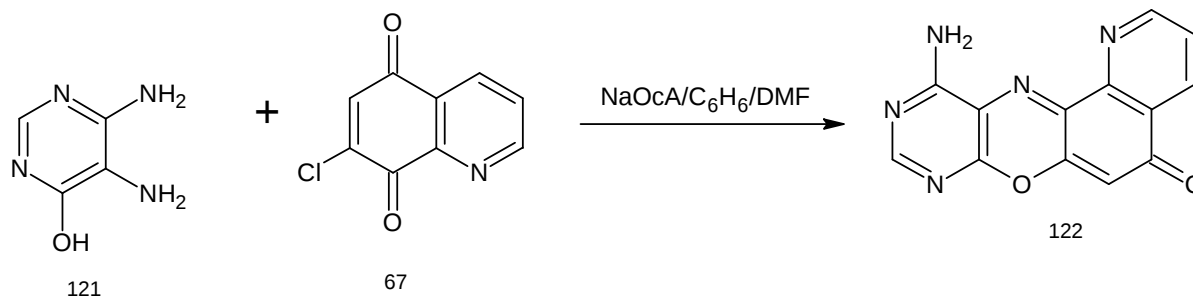


In a further attempt to synthesize diaza-analogues of non-linear phenoxazine compounds, Ugwuoke⁷⁷ reported the synthesis of 1,11-diaza-5H-benzoxazin-5-one (120) by reacting 2-

amino-3-hydroxypyridine (90) with 7-chloro-5,8-quinolinequinone (67) in anhydrous potassium acetate in benzene.



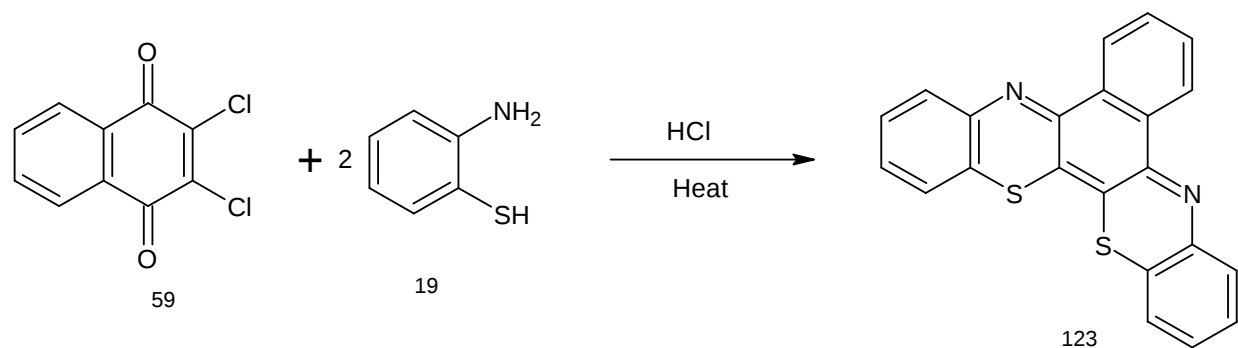
In a similar development, the synthesis of another new angular azaphenoxazine, 1,8,10-triazabenz[o]phoxazine-5-one (122)⁷⁷, was achieved by condensing 4,5-diamino-6-hydroxypyrimidine (121) with 7-chloro-5,8-dioxoquinoline (67) in a mixture benzene/DMF as the solvent and in the presence of anhydrous sodium acetate on refluxing for 7 h



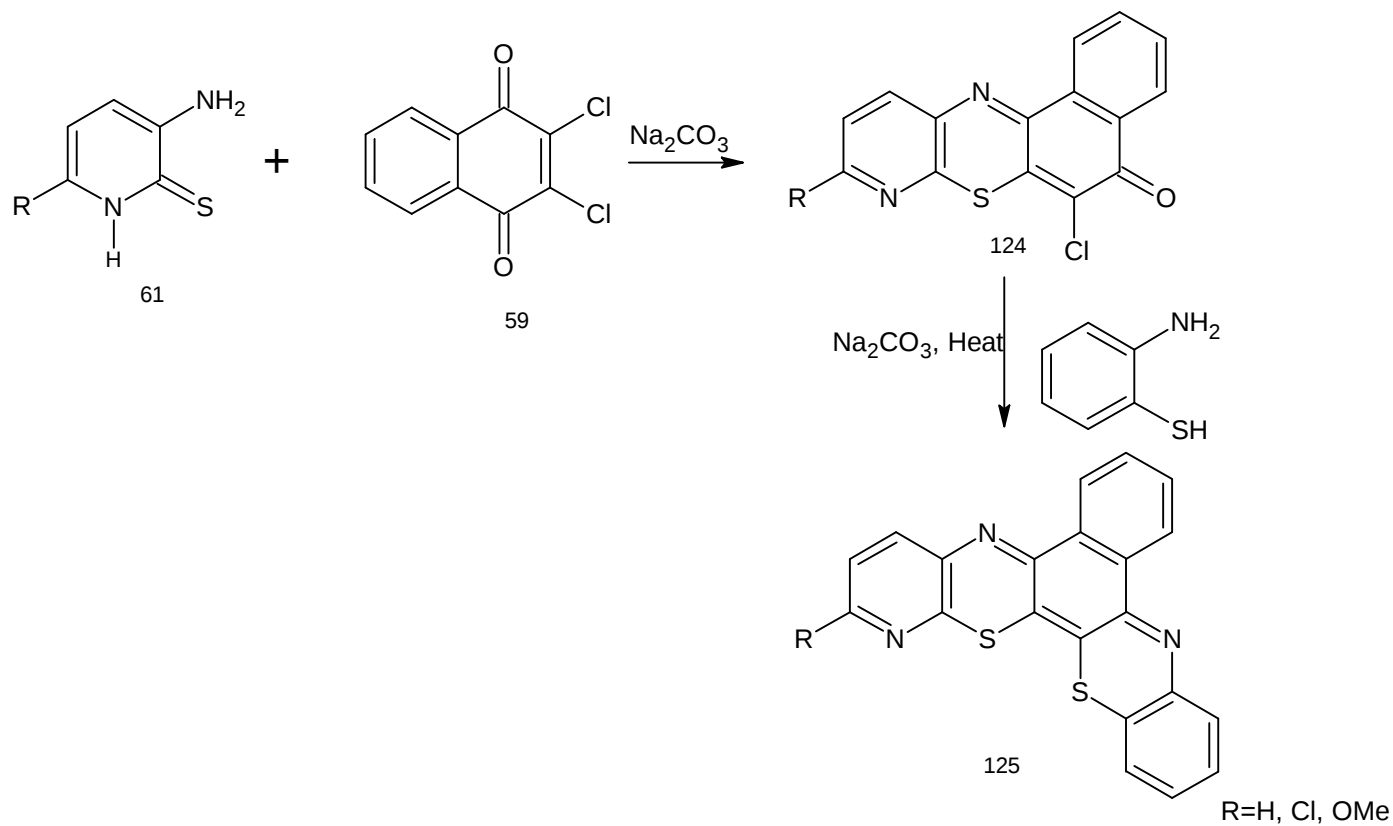
2.8 Branched Phenothiazine and Phenoxazine Compounds

There have been reports on the synthesis of three-branched phenothiazine and phenoxazine derivatives as a result of further structural modification of the parent phenothiazine and phenoxazine compounds. For example, Akatuska and Yoshinaga⁷⁸ reported the synthesis of

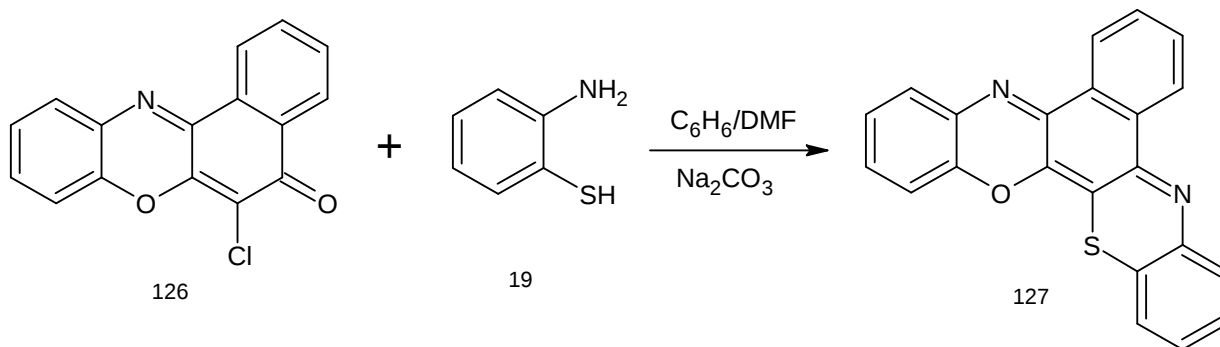
a three branched phenothiazine by heating 2 moles of 2-aminothiophenol (19) with 2,3-dichloro-1,4-naphthoquinone (59) in hydrochloric acid to obtain benzo[a][1,4]benzothiazino[3,2-c]phenothiazine (123).



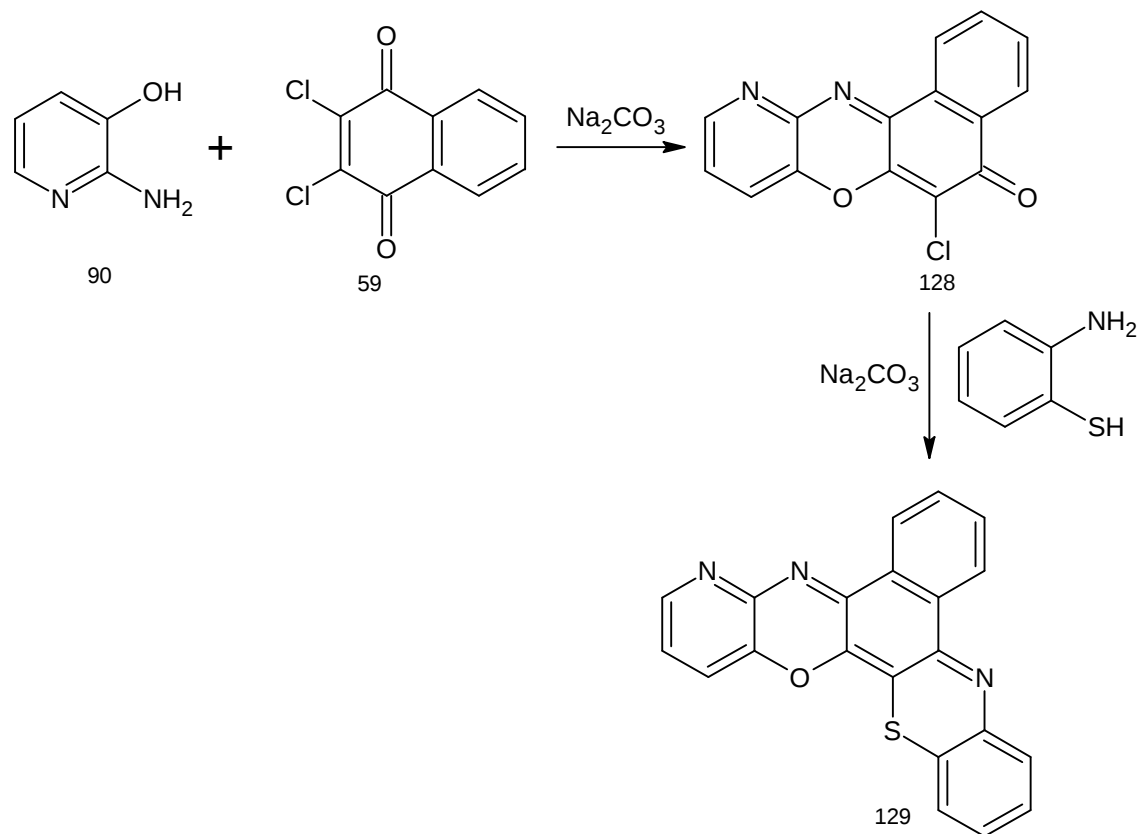
Okafor and Okoro⁶⁰ reported the synthesis of substituted three branched phenothiazine. The compound, 15,16-diathia-1,5,10-triazabenzoh]pentaphene (125) was prepared by condensing 2,3-dichloro-1,4-naphthoquinone (59) with substituted 3-aminopyridine-2[1*H*]-thione (61) in the presence of anhydrous sodium carbonate to produce substituted 6-chlorobenzo[a]phenothiazin-5-one (124). Further reaction of (124) with an alkaline solution of an equimolar amount of 2-aminothiophenol under strong heating gave compound (125)



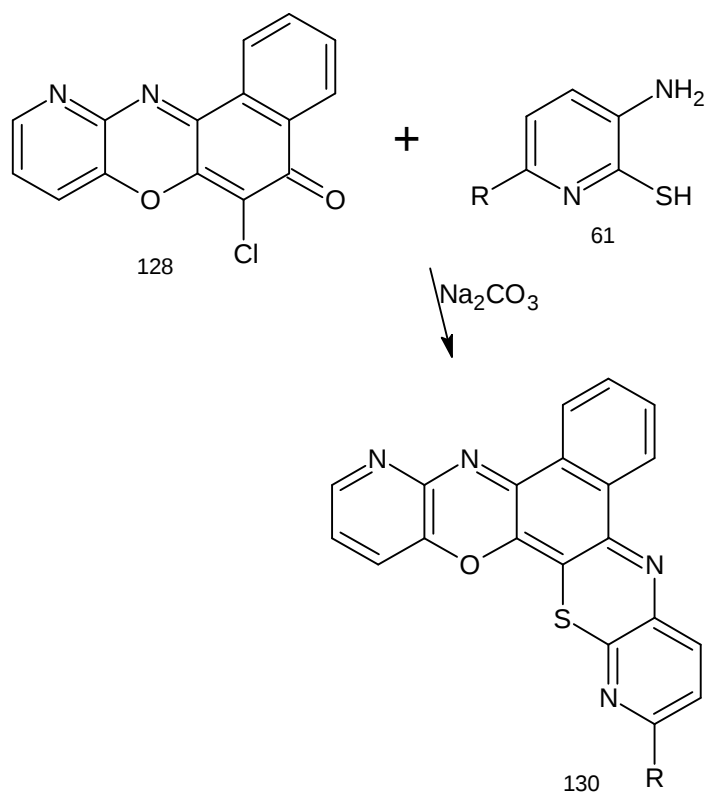
Okafor⁷⁹ also reported the first three-branched benzoxazinophenothiazine and its aza analogues. He obtained the first compound, benzo[a][1,4]benzoxazino[3,2-c]phenothiazine (127), by refluxing a mixture of 2-aminothiophenol (**19**) and 6-chlorobenzo[a]phenoxazin-5-one (126) in benzene/DMF in the presence of anhydrous sodium carbonate.



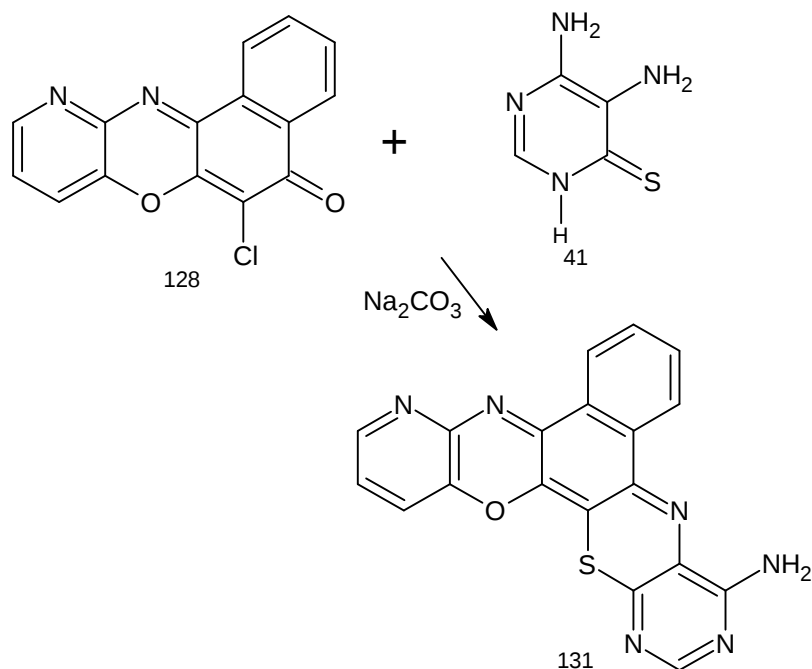
Okafor⁷⁹ subsequently prepared the aza analogue of (127), 16-oxa-15-thia-4,5,10-triazabenz[h]pentaphene (129) by first refluxing a mixture of 2-amino-3-hydroxypyridine (90) and 2,3-dichloro-1-4-naphthoquinone (59) in alkaline medium to give 6-chloro-7-oxa-11,12-diazabenz[a]anthracen-5-one (128). Further treatment of (128) with a second molecule of 2-aminothiophenol furnished compound (129).



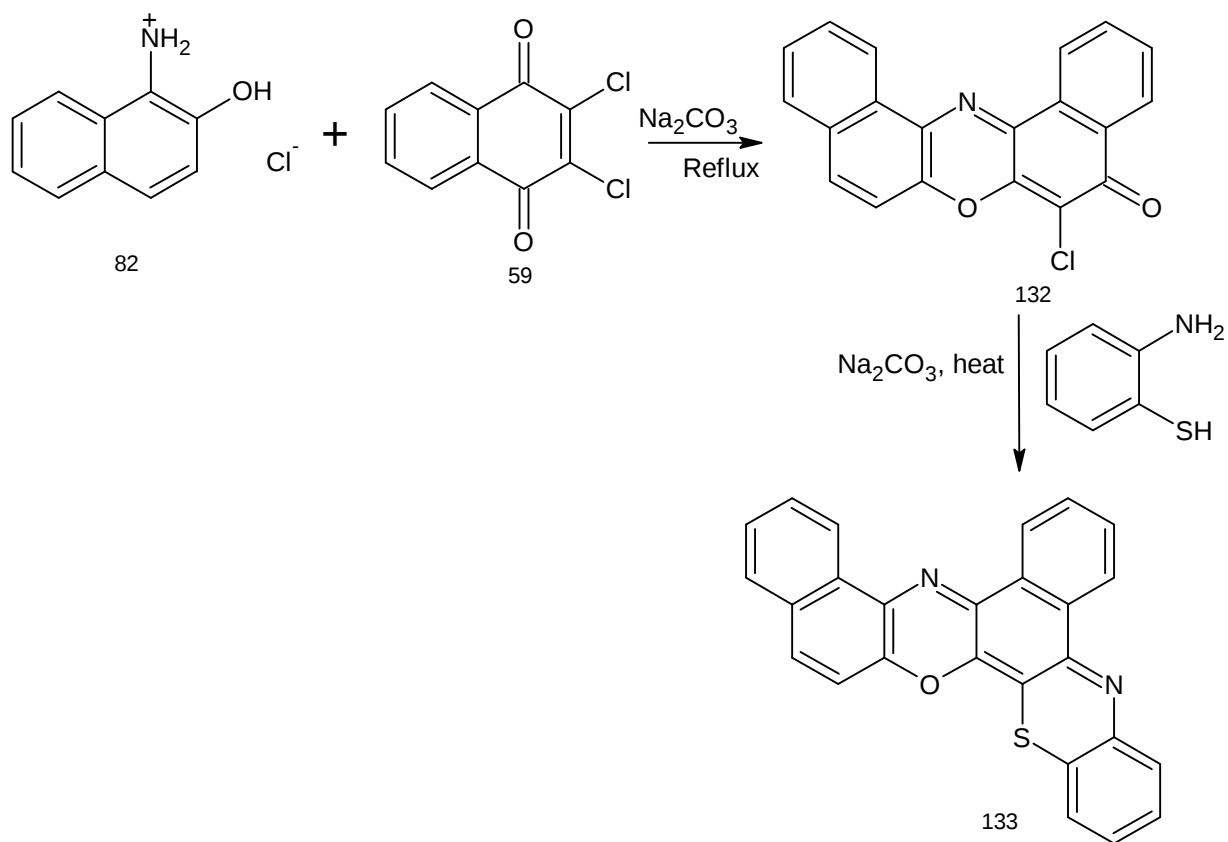
The same author⁷⁹ reported the synthesis of another derivative, 16-oxa-15-thia-4,5,10,14-tetraazabenzofuran-5-one (130). Compound (130) was obtained by treating 6-chloro-7-oxa-11,12-diazabenzofuran-5-one (128) with 3-aminopyridine-2-thione (61) in a basic medium of anhydrous sodium carbonate for 10 h.



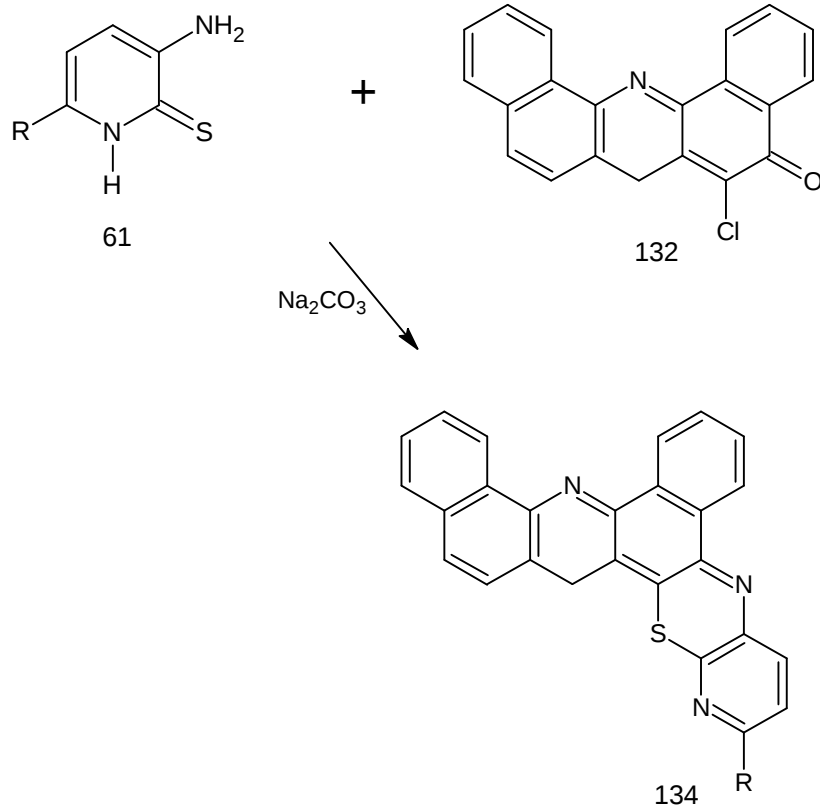
Finally, Okafor⁷⁹ reported the synthesis of 11-amino-16-oxa-15-thia-4,5,10,12,14-pentaazabenz[h]pentaphene (131) by refluxing 6-chloro-7-oxa-11,12-diazabenz[a]anthracen-5-one (128) with 4,5-diaminopyrimidine-6[1*H*]-thione (41) in benzene/DMF in the presence of anhydrous sodium carbonate for 9 h.



Further search for more derivatives of branched phenothiazine compounds resulted in the reports of the synthesis of some new Y-shaped benzothiazinophenoxazine ring systems by Okafor *et al*⁷. The derivative, dibenzo[a, j][1,4]benzothiazino[3,2-c]phenoxazine (133), was obtained by the condensation of 2,3-dichloro-1,4-naphthoquinone (59) with 1-amino-2-naphthol hydrochloride (82) in the presence of excess anhydrous sodium carbonate to give 6-chlorodibenzo[a, j][1,4]phenoxazin-5-one (132). Further treatment of (132) with 2-aminothiophenol in nitrobenzene for 11 h yielded compound (133).



Also reported by the same authors⁷⁹ was the aza derivative, 11-oxa-10-thia-5,9,18-triazadibenzo[a,r]pentaphene (134) which was obtained by refluxing a mixture of compound (132) and 3-aminopyridine-2[1*H*]-thione (61) in nitrobenzene for 21 h in the presence of anhydrous sodium carbonate.



CHAPTER THREE

EXPERIMENTAL

3.0 GENERAL INFORMATION

All chemicals used were of laboratory grade and were purchased from Sigma Aldrich. Melting points were determined with a Fischer Johnös apparatus and were uncorrected. UV/Visible spectra were recorded on UV-25500PC series spectrophotometer using matched 1cm quartz cells. The IR spectra were recorded on 8400S FT-IR spectrometer using KBr disc. ^1H -NMR and ^{13}C -NMR spectra were scanned at the University of Strathclyde, Scotland on Joel FX 90Q spectrometer using TMS as internal standard (chemical shift in δ). Microanalysis was carried out on a CHN rapid analyzer. The antimicrobial screening was done at the Faculty of Pharmaceutical Sciences, University of Nigeria, Nsukka .

3.1 6-Chloro-10-nitro-5*H*-benzo[a]phenoxazin-5-one (136)

A mixture of 2-amino-4-nitrophenol (2.0 g, 13 mmol) and anhydrous sodium acetate (2.0 g, 24 mmol) was suspended in a solution of benzene (100 mL) and DMF (10 mL) in a 250 mL two-necked reaction flask fitted with a magnetic stirrer, a thermometer and a reflux condenser. The suspension was warmed in a water bath for 1 h with stirring for complete dissolution. 2,3-Dichloro-1,4-naphthoquinone (3.0 g, 13 mmol) was added and the stirring continued under reflux for 6 h at 70-78 °C. At the end of reaction, benzene was distilled off in vacuum while the slurry was poured into water and stirred to dissolve the inorganic salt. The mixture was left overnight and then filtered and recrystallized from methanol-acetone to give 6-chloro-10-nitro-5*H*-benzo[a]phenoxazin-5-one as a brown powder; m.p > 260 °C (6.3 g, 80%). UV-visible (MeOH) λ_{max} (nm) log(ϵ): 304 (2.569), 344 (2.593), 711 (1.736), 759 (1.750); IR

(KBr) $V_{\max}$: 3097 (aromatic C-H str.), 1653 (C=O str.), 1581 (-NO₂ str.), 1533, 1458 (Ar C=C str.), 1329, 1250 (C-N str.); ¹H-NMR (DMSO) δ : 8.10 -7.92 (4H, m); ¹³C-NMR (DMSO) δ : 117.4, 119.1, 121.2, 122.0, 124.7, 126.4, 130.8, 131.1, 131.5, 134.5, 137.3, 140.5, 143.4, 175.3. Analysis: Calculated for C₁₆H₇N₂O₄Cl: C, 58.82; H, 2.16; Cl, 10.35; N, 8.57; Found: C, 58.95; H, 2.21; Cl, 10.98; N, 8.70.

3.2 14-Nitrobenzo[a][1,4]benzoxazino[3,2-c]phenothiazine (137)

2-Aminothiophenol (1.0 g, 8 mmol) and anhydrous sodium carbonate (1.0 g, 9 mmol) were weighed into a 250 mL reaction flask attached with a reflux condenser and thermometer. A solution of benzene (100 mL) and DMF (10 mL) was added and the mixture warmed in a water bath with stirring for 1 h for complete dissolution. 6-Chloro-10-nitrobenzo[a]phenoxazin-5-one (2.42 g, 8 mmol) was later added and the mixture was refluxed with constant stirring for 9 h. Benzene was distilled off and the slurry was poured into water, heated to near boiling with stirring to dissolve the inorganic salt. The mixture was chilled and filtered to obtain yellow residue which was recrystallized from methanol-acetone to give 14-nitrobenzo[a][1,4]benzoxazino[3,2-c]phenothiazine as dark brown powder; mp > 300 °C (2.4 g, 90%). The UV-visible (MeOH) $\lambda_{\max}$ (nm) log (ϵ): 343 (1.887), 670 (1.371), 758 (1.329); IR (KBr) $V_{\max}$: 3381, 3300 (-NH str.), 3091 (aromatic C-H str.), 1640 (C=N str.), 1587 (-NO₂) 1519, 1465 (ArC=C str.), 1321, 1244, 1140, 1076, 1076, 685 cm⁻¹; ¹H-NMR (DMSO) δ : 7.87 (2H, d), 7.08 (3H, m); ¹³C-NMR (DMSO) δ : Few peaks, due to insolubility of the compound. Analysis: Calculated for C₂₂H₁₁N₃O₃S: C, 66.49; H, 2.79; N, 10.57; S, 8.07; Found: C, 66.70; H, 2.82; N, 10.63; S, 8.13.

3.3 11-Amino-6-chloro-9-thiol-8,10-diazabenz[a]phenoxazin-5-one (148)

4,5-Diamino-6-hydroxypyrimidin-2-thiol (3 g, 18 mmol) and anhydrous sodium acetate (1.7 g, 20 mmol) were suspended in a solution of benzene (80 mL) and DMF (10 mL) in a 250 mL two-necked reaction flask equipped with a magnetic stirrer and a reflux condenser. The mixture was warmed in a water bath for 1 h. 2,3-Dichloro-1,4-naphthoquinone (4.3 g, 18 mmol) was added and the stirring continued with refluxing for 6 h at 70-78 °C. The colour of the reaction mixture changed from brown to intense red as the reaction progressed. The solvent was distilled off and the slurry was poured into water and stirred for 20 min to dissolve the inorganic salt. The mixture was chilled overnight, filtered and recrystallized from methanol-acetone to afford 11-amino-6-chloro-9-thiol-8,10-diazabenz[a]phenoxazin-5-one as reddish crystals; m.p > 290 °C (1.5 g, 70%). UV- visible (MeOH) λ_{max} (nm) log (ϵ): 327 (1.08), 418 (1.36), 507 (1.00); IR (KBr) ν_{max} : 3404, 3308 (-NH₂), 3075 (aromatic C-H str), 1645 (C=O), 1573 (C=N str), 1450 (ArC=C str), 1037, 899, 748 cm⁻¹. Analysis: Calculated for C₁₄H₇N₄O₂SCl: C, 50.79; H, 2.12; Cl, 10.73; N, 16.93; S, 9.68; Found: C, 51.02; H, 2.01; Cl, 10.79; N, 16.70; S, 9.68.

3.4 15-Amino-13-thiol-12,14-diazabenz[a][1,4]benzoxazino[3,2-c]phenothazine (149)

2-Aminothiophenol (1.0 g, 8 mmol) and anhydrous sodium carbonate (1.0 g, 9 mmol) were suspended in a solution of benzene (80 mL) and DMF (10 mL) in a 250 mL reaction flask fitted with a magnetic stirrer and a reflux condenser. The mixture was boiled for 1 h with stirring in a water bath for dissolution. 11-Amino-6-chloro-9-thiol-8,10-diazabenz[a]phenoxazin-5-one (2.2 g, 8 mmol) was then added and the entire mixture refluxed for 9 h with continuous stirring. At the end of the reflux period, benzene was distilled off and the slurry was poured into water, heated to near boiling, filtered and the residue recrystallized

from methanol-acetone to give 15-amino-13-thiol-12,14-diazabenz[a][1,4]benoxazino[3,2-c]phenothiazine as purple red crystals; m.p > 320 °C (2.0 g, 80%). UV-visible (MeOH) λ_{max} (nm) $\log(\epsilon)$: 314 (2.668), 373 (2.472), 379 (2.472), 482 (2.452); IR (KBr) ν_{max} : 3398, 3320 (-NH₂), 3061 (aromatic C-H str.), 1635 (C=N str.), 1506, 1443 (ArC=C str.), 1304, 1250, 759, 681, 546 cm⁻¹; ¹H-NMR (DMSO) δ : 7.08-7.00 (4H, m), 4.45 (SH, 1H, s); ¹³C-NMR (DMSO) δ : There were few peaks due to difficulty in the solubility of the compound. Analysis: Calculated for C₂₀H₁₁N₅OS₂: C, 59.83; H, 2.76; N, 17.44; S, 15.97; Found: C, 59.96; H, 2.98; N, 17.56; S, 15.60.

3.5 6,8-Dichlorobenzo[a]phenoxazin-5-one (160)

2-Amino-6-chlorophenol (3.0 g, 21 mmol) and anhydrous sodium acetate (1.7 g, 20 mmol) were suspended in a solution of benzene (100 mL) and DMF (10 mL) in a 250mL reaction flask equipped with a magnetic stirrer and a reflux condenser. The mixture was boiled for 1 h at 75-80 °C. 2,3-Dichloro-1,4-naphthoquinone (4.8 g, 21 mmol) was added and the entire solution was refluxed with continuous stirring for 6 h at 75- 80 °C . The solvent was removed by distillation, the slurry was poured into water and stirred to dissolve the inorganic salt. The mixture was chilled, filtered and recrystallized from methanol-acetone to give 6,8-chlorobenzo[a]phenoxazin-5-one (2.83 g, 80%) as orange powder with melting point of 265 °C. Uv-visible λ_{max} (MeOH) (nm) $\log(\epsilon)$: 283 (2.00), 352 (2.57); IR (KBr) ν_{max} : 3320 (N-H str.), 3090 (C-H str.), 1663 (C=O str.), 1572 (C=N str.), 1477 (ArC=C str.), 1269, 1130, 824 cm⁻¹. Analysis: Calculated for C₁₆H₇NO₂Cl: C, 60.76; H, 2.22; Cl, 22.47; N, 4.40; Found: C, 59.92; H, 2.31; Cl, 22.51; N, 4.40.

3.6 12-Chloro-9-methoxy-8-azabenz[a][1,4]benzoxazino[3,2-c]phenothiazine (161)

A mixture of 3-amino-6-methoxypyridin-2-thiol (1.5 g, 10 mmol) and sodium carbonate (1.0 g, 9 mmol) were poured into a 250 mL reaction flask equipped with a magnetic stirrer, thermometer and a reflux condenser. A solution of benzene (80 mL) and DMF (10 mL) was added. The mixture was boiled in a water bath for 1 h. 6,8-Dichlorobenzo[a]phenoxazin-5-one (2.8 g, 10 mmol) was added and the entire mixture was refluxed with constant stirring for 9 h at 75-80 °C. Benzene was distilled off while the slurry was poured into water, boiled and stirred to dissolve inorganic materials. The mixture was left overnight, filtered and the orange residue recrystallized from methanol-acetone to give 12-chloro-9-methoxy-8-azabenz[a][1,4]benzoxazino[3,2-c]phenothiazine as orange yellow powder; m.p > 350 °C. UV-visible (MeOH) λ_{max} (nm) $\log(\epsilon)$: 284 (1.46), 336 (2.15), 467 (2.27); IR (KBr) ν_{max} : 3080 (aromatic C-H str), 1641, 1586 (C=N str), 1279, 1080, 1017, 469 cm^{-1} ; $^1\text{H-NMR}$ (DMSO) δ : 8.66- 8.10 (4H, m), 7.92 (4H, s); $^{13}\text{C-NMR}$ (DMSO) δ : 150.31-115.36 for aromatic carbons. Analysis: Calculated for $\text{C}_{22}\text{H}_{12}\text{N}_3\text{O}_2\text{ClS}$: C, 63.23; H, 2.89, Cl, 8.48, N, 10.06, S, 7.6; Found: C, 63.46; H, 2.93; Cl, 8.64; N, 10.12; S, 7.60.

3.7 Antimicrobial Activity

The antimicrobial screening of the synthesized compounds was carried out in form of the general sensitivity test and minimum inhibitory concentration (MIC) with respect to freshly cultured targeted micro-organisms. The micro-organisms used in this study were three gram positive bacteria (*Bacillus cereus*, *staphylococcus aureus*, *Bacillus subtilis*), three gram negative bacteria (*Klebsiella pneumonia*, *Escherichia coli*, *Pseudomonas aeruginosa*) and two fungi (*Asperigellus niger* and *Candida albicans*).

3.8 Sensitivity testing of compounds

The agar cup diffusion method as described by Vincent (2005)⁸⁰ was used to determine the antimicrobial activity of the synthesized compounds. The sensitivity test agar plates were seeded with 0.15 mL of overnight culture of the relevant micro-organism. The seeded plates were allowed to set after which cups were made in each sector previously drawn on the backside of the plate using a marker. Using a sterile pipette, each cup was filled with six drops of their corresponding synthesized compounds (2mg/mL). The solubilizing solvent was DMF and all the plates were incubated at 40 °C for 24 h for bacteria and 48 h for fungi. Zones of clearance around each showed inhibition and the diameter of such zones was measured. The procedure was repeated for ciprofloxacin, ketoconazole and solvent (DMF). Muller hinton agar was used for the fungi in place of nutrient agar for bacteria.

3.9 Minimum inhibitory concentration of compounds (mg/mL)

Agar well diffusion method as described by Vincent (2005)⁸⁰ was used to determine the minimum inhibitory concentration (MIC) of the ciprofloxacin and ketoconazole. Serial dilutions of the synthesized compounds were prepared from 2mg/mL solution of the derivatives to give 2.0-0.125mg/ml. Six drops of each dilution were added to the corresponding cup of seeded microorganism and the agar marked previously. The plates were incubated at appropriate incubation temperature and time. The diameter of zone of inhibition was recorded and the value subtracted from the diameter of the borer (8 mm) to give the inhibition zone diameter (IZD). The graph of IZD^2 against the logarithm of concentration was plotted for each plate containing a specific compound and a micro-organism. The anti-logarithm of the intercept on X-axis gave the MIC.

CHAPTER FOUR

RESULTS AND DISCUSSION

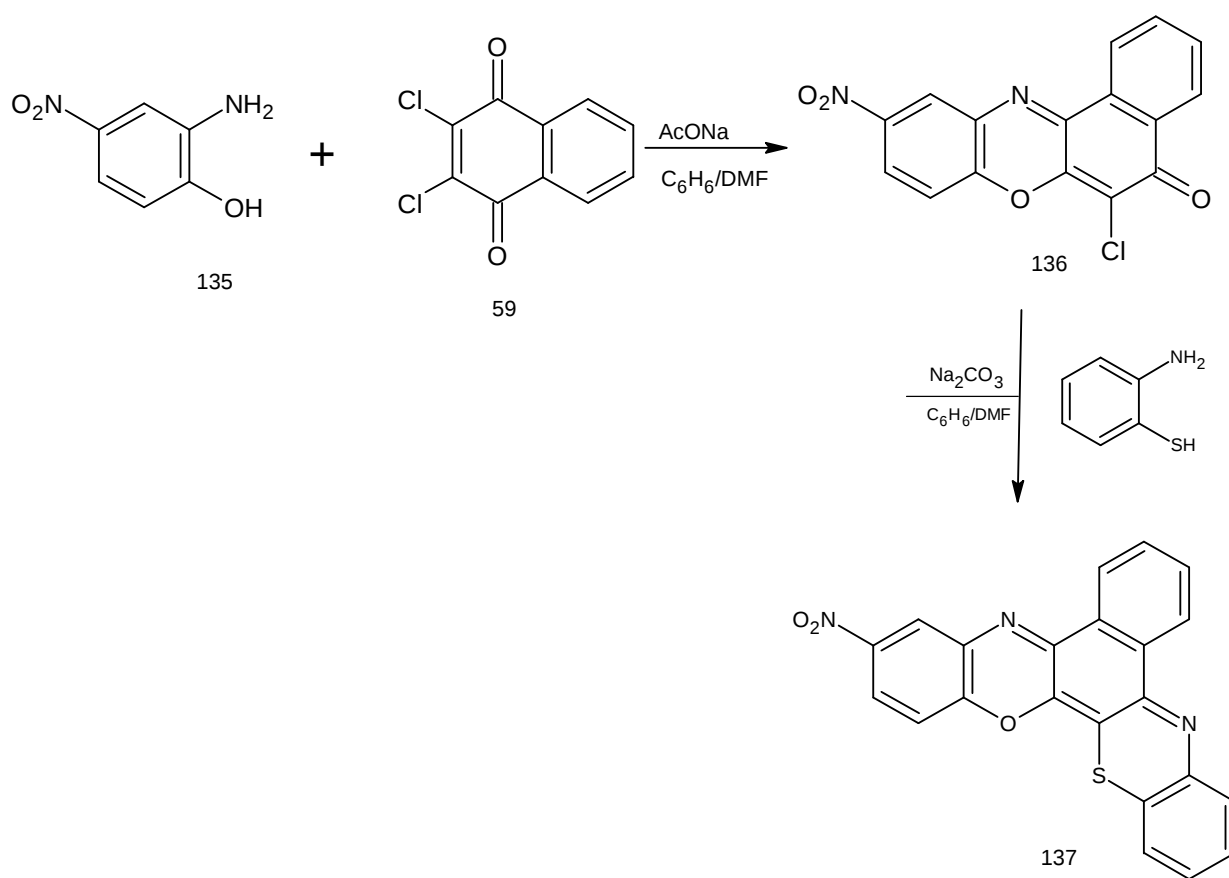
All the reactions that resulted in the formation of the intermediates and the desired complex derivatives were carried out in alkaline medium.

4.1 6-Chloro-10-nitro-5*H*-benzo[a]phenoxazin-5-one (136)

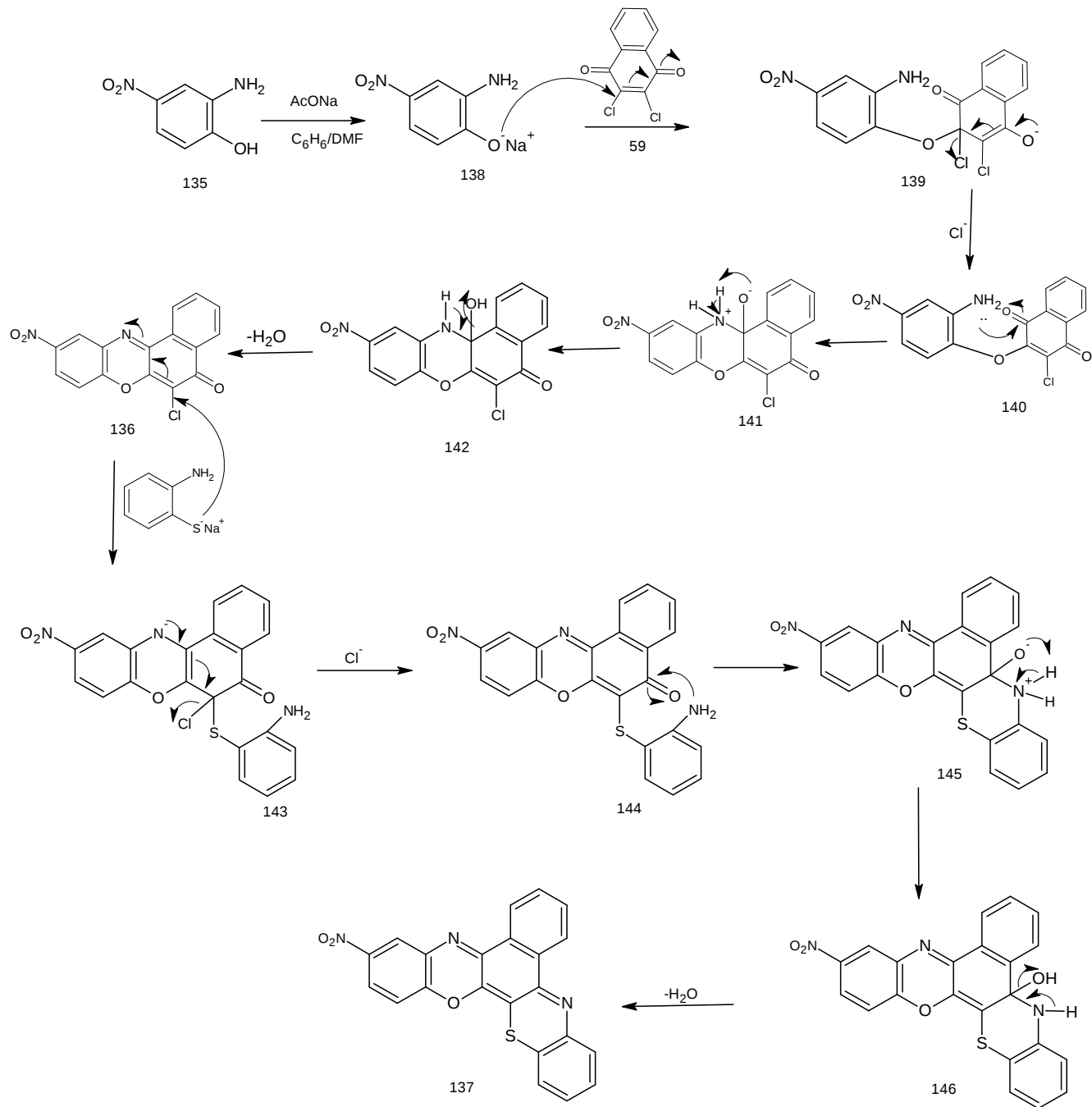
When 2-amino-4-nitro-thiophenol (135) was treated with 2,3-dichloro-1,4-naphthoquinone (59) in basic medium, 6-chloro-10-nitro-5*H*-benzo[a]phenoxazin-5-one (136) was obtained.

The assigned structure agreed with the spectral data. UV-visible maximum absorption band at 759 nm ($\log \epsilon$ 1.750) was in agreement with its colouration due to extensive conjugation. The infrared spectrum gave signals at 3097 cm^{-1} (aromatic C-H str.), 1653 cm^{-1} (C=O str.), 1581 cm^{-1} (-NO₂ str.), 1533, 1458 cm^{-1} (Ar C=C str.). In ¹H-NMR, the absorption bands at δ 8.10 -7.92 (4H, m) were assigned to aromatic protons. In the ¹³C-NMR, a visible peak at δ 176 showed the presence of a carbonyl group C=O; the peaks at δ 142-127 were assigned to aromatic carbons. The elemental analysis was consistent with the molecular formula, C₁₆H₇N₂O₄Cl.

Further treatment of (136) with 2-aminothiophenol gave the complex derivative, 14-nitrobenzo[a][1,4]benzoxazino[3,2-c]phenothiazine (137). The UV-visible absorption band at 758 nm ($\log \epsilon$ 1.329) was as result of its extensive conjugation. In the IR spectra, the band at 3381 cm^{-1} (-NH), 3092 cm^{-1} (aromatic C-H), 1640 cm^{-1} (C=N), 1587 cm^{-1} (-NO₂) were in agreement with the assigned structure. The disappearance of band due to C=O was an evidence for a second condensation and cyclization leading to the formation of complex derivatives. In ¹H-NMR, the peaks at δ 7.87 (2H, d) were due to aromatic proton. The elemental analysis was in good agreement with the molecular formula, C₂₂H₁₁N₃O₃S.



Scheme 1



Scheme 2

The mechanism of reactions involves proton abstraction from 2-amino-4-nitrophenol by base to generate the phenoxide (138), which then initiated a nucleophilic attack on 2,3-dichloro-

1,4-naphthoquinone to form charged intermediate (139), leading to loss of chloride ion to form the diaryl intermediate (140). A second nucleophilic attack from the amino group on the carbonyl group resulted in cyclisation to generate structure (142), followed by elimination of a molecule of water to give 6-chloro-10-nitro-5*H*-benzo[a]phenoxazin-5-one (136). Again, (136) was attacked by 2-aminothiophenol to generate a resonance stabilized (143) as an intermediate followed by elimination of a chloride ion to form (144). The intramolecular nucleophilic attack from the amino group resulted in cyclisation to generate structure (146), which on elimination of water molecule yielded the derivative (137). (**Scheme 2**).

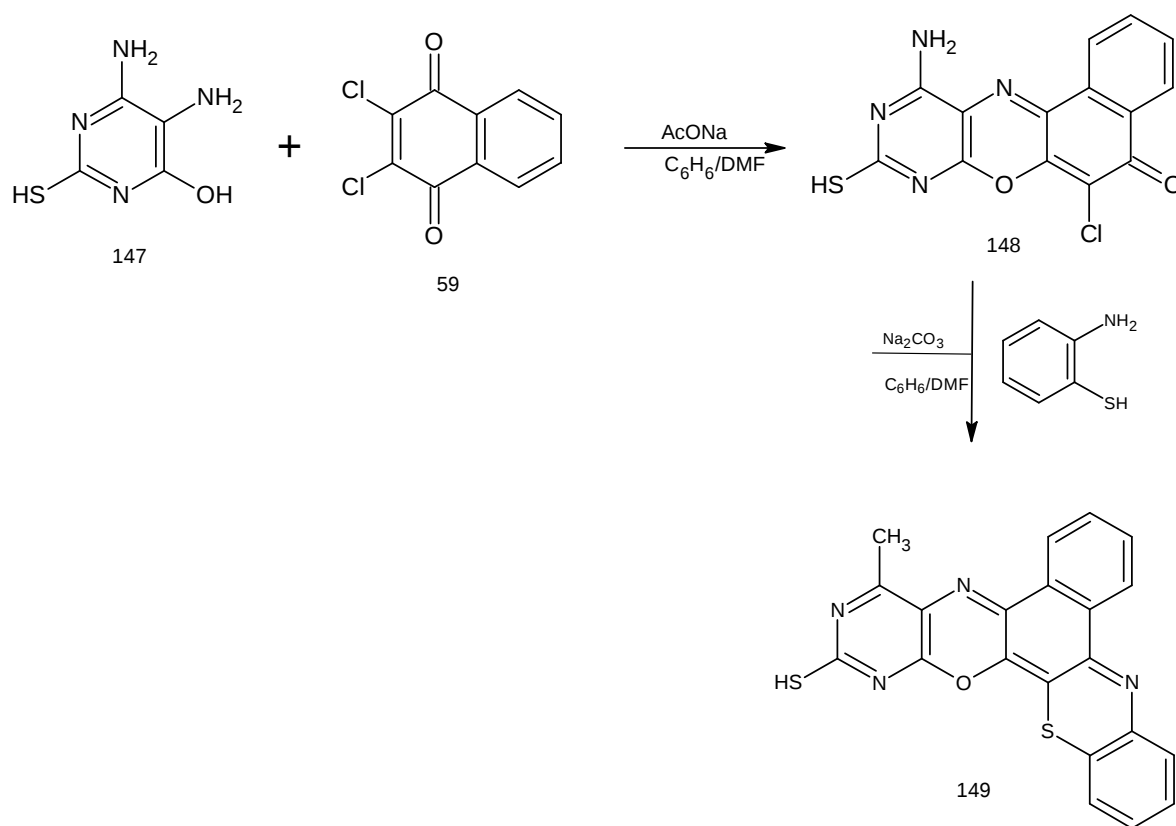
4.2 11-Amino-6-chloro-9-thio-8,10-dibenzo[a]phenoxazin-5-one (148)

When 4,5-diamino-6-hydroxypyrimidin-2-thiol (147) was refluxed with 2,3-dichloro-1,4-naphthoquinone (59) in basic medium, 11-amino-6-chloro-9-thiol-8,10-diazabenz[a]phenothiazine (148) was furnished.

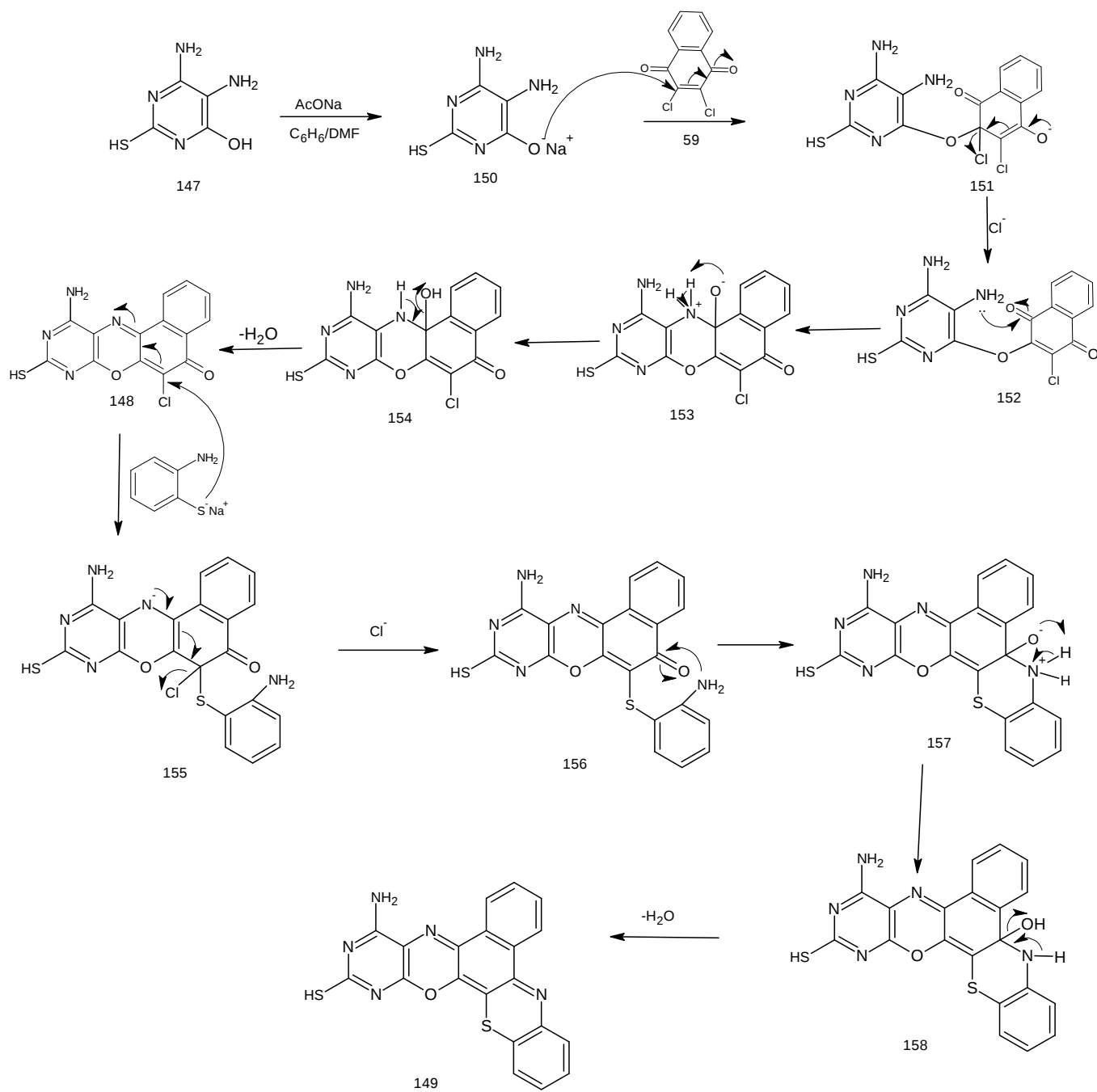
The structure was assigned based on the following spectral information and elemental analysis. UV maximum absorption band at 507nm ($\log \epsilon 1.00$) agreed with the observed colour. In the IR spectrum, the bands at 3404, 3308 ($-\text{NH}_2$), 3075 (aromatic C-H str), 1645 (C=O), 1573 (C=N str), 1450 (ArC=C str) were in agreement with the assigned structure. The result obtained from the elemental analysis of the compound is in agreement with the molecular formula, $\text{C}_{14}\text{H}_7\text{N}_4\text{O}_2\text{S}\cdot\text{Cl}$.

Further treatment of (148) with 2-aminothiophenol gave the complex derivative, 15-amino-13-thiol-12,14-diazabenz[a][1,4]benzoxazino[3,2-c]phenothiazine (149). UV-visible absorption band at 482 nm ($\log \epsilon 2.452$) agreed with the colour. The IR spectrum showed peaks at 3398 cm^{-1} (NH_2), 3061 cm^{-1} (aromatic C-Hstr.), 1636 cm^{-1} (C=N str.), 1506 cm^{-1} (ArC=C str.) that were

consistent with the structure of the compound. In the $^1\text{H-NMR}$, the peak at $\delta 7.08$ (4H, m) were due to aromatic protons; $\delta 12.15$ and $\delta 8.53$ were assigned to the proton of -SH and -NH_2 groups respectively. The elemental analysis of the compound was in agreement with the molecular formula, $\text{C}_{20}\text{H}_{11}\text{N}_5\text{OS}_2$.



Scheme 3



Scheme 4

The mechanism of the reaction followed the same pattern of proton abstraction from 4,5-diamino-6-hydroxypyrimidine-2-thiol (147) by the base leading to the formation of aryloxide (150) which mounted a nucleophilic attack on the 2,3-dichloro-1,4-naphthoquinone (59) by displacing the reactive halogen group to form the diaryl intermediate (152). This is followed by another nucleophilic attack of the carbonyl carbon centre by the amino group resulted in cyclisation and formation of another intermediate (154), which on elimination of water molecule gave 11-amino-6-chloro-9-thiol-8,10-diabenzo[a]phenoxazin-5-one (148). Structure (148) was attacked by 2-amiothiophenol ion to form a resonance stabilized (155), followed by elimination of chloride ion to generate (156). The intramolecular attack from the amino group on the carbonyl group led to cyclisation and formation of structure (158). Final elimination of water molecule gave the desired compound (149). (**Scheme 4**).

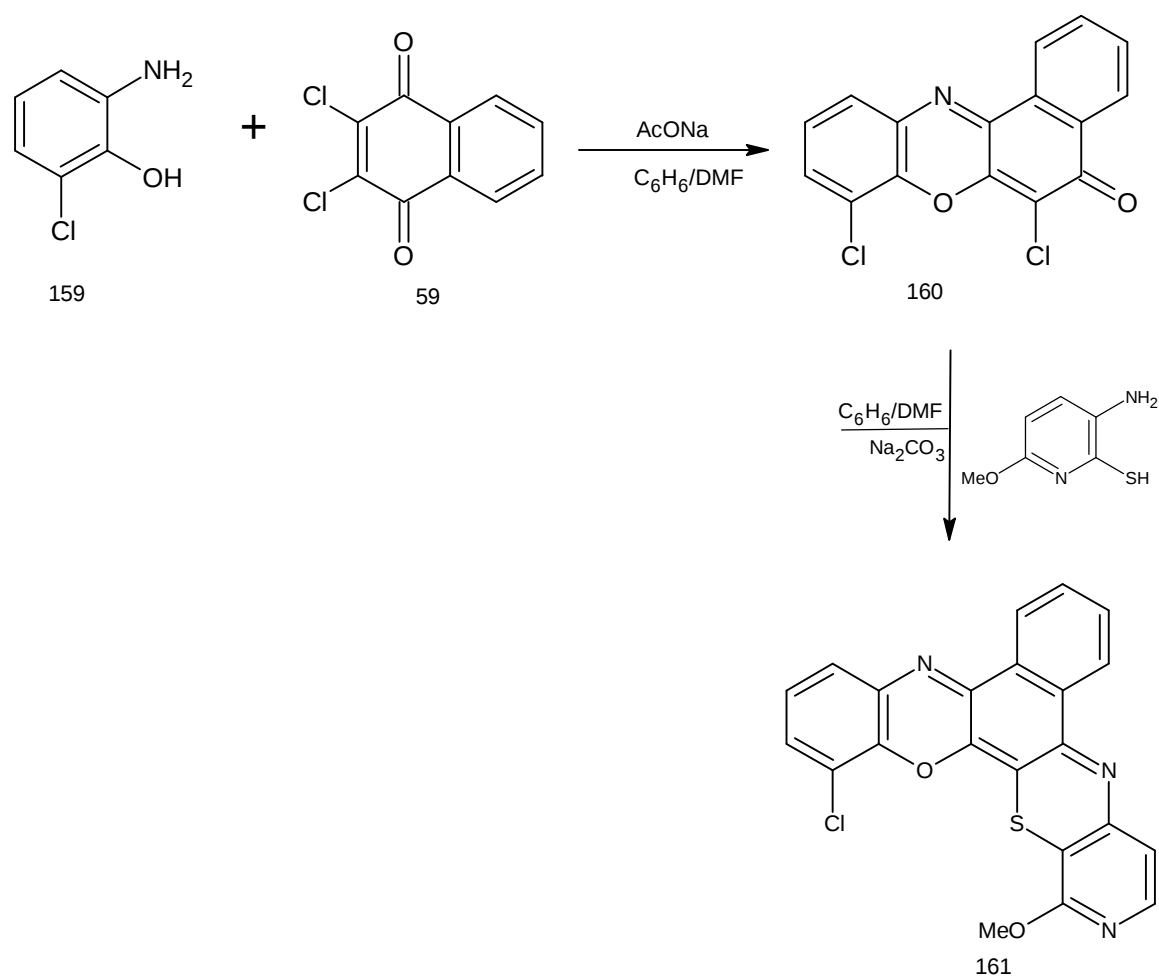
4.3 6,8-Dichlorobenzo[a]phenoxazin-5-one (160)

6,8-Dichlorobenzo[a]phenoxazin-5-one (160) was obtained when 2-amino-6-chlorophenol (159) was treated with 2,3-dichloro-1,4-naphthoquinone (59) in a basic medium.

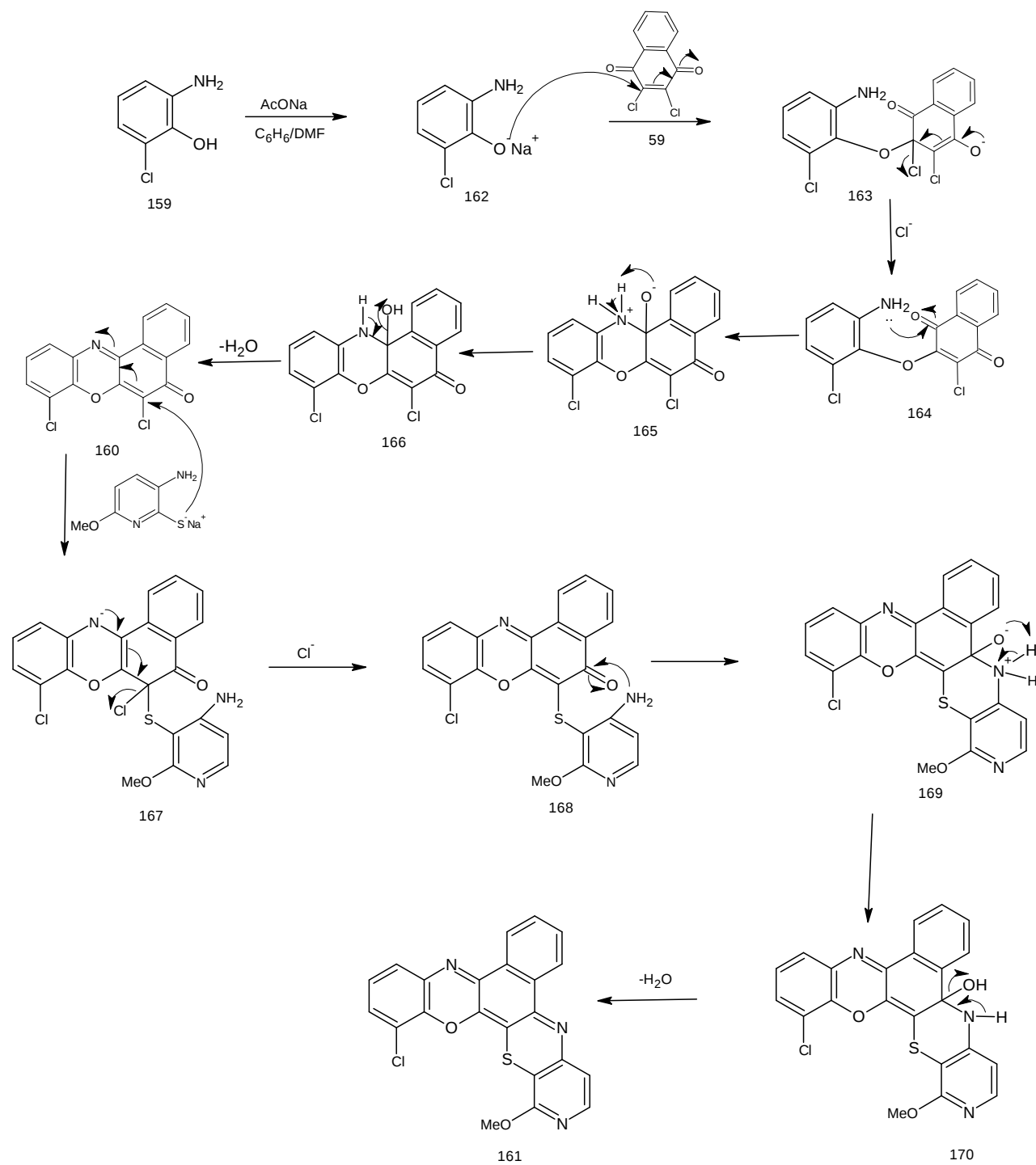
The UV-visible absorption band at 352 nm ($\log \epsilon$ 2.57) agreed with the colour. The IR spectrum showed peaks at 3320 cm^{-1} (NH_2), 3090 cm^{-1} (aromatic C-H str.), 1636 cm^{-1} (C=O str.), 1572 cm^{-1} (C=N str) that were consistent with the structure. The analysis of the compound also agreed with the molecular formula, $\text{C}_{16}\text{H}_7\text{NO}_2\text{Cl}$.

Further treatment of (160) with 3-amino-6-methoxypyridine-2-thiol gave the complex compound, 12-chloro-9-methoxy-8-azabenzo[a][1,4]benzoxazino[3,2-c] (161). The visible maximum absorption band at 467 nm ($\log \epsilon$ 2.27) was as a result of high conjugation. In the infrared spectrum, the bands at 3080 cm^{-1} (aromatic C-H str.) were in agreement with the structure. In $^1\text{H-NMR}$, the peaks at δ 8.66 (4H, m) were for aromatic protons. The peaks due to the two protons in pyridine ring of the compound (161) occurred between δ 7.66-7.01 and the -

OCH₃ protons in the compound showed a singlet in the region of δ 2.40-4.28 (3d, s). In ¹³C-NMR, the absorption band at δ 135.22-127.64 due to aromatic carbons. The elemental analysis of the complex derivative is in agreement with the molecular formula, C₂₂H₁₂N₃O₂ClS.



Scheme 5



Scheme 6

The reaction mechanism followed the usual pattern of proton abstraction from 2-amino-6-chlorophenol by the base and formation of aryloxide (162), which initiated a nucleophilic attack on the C-Cl center of 2,3-dichloro-1,4-naphthoquinone by displacing the chloride ion to form the diaryl intermediate (164). Cyclisation took place by a second nucleophilic attack from the amino group on the carbon atom of the carbonyl group to generate the second intermediate (166), followed by elimination of water molecule to furnish 6,8-dichlorobenzo[a]phenoxazin-5-one (160). Structure (160) was attacked by the mercaptide ion to generate a resonance stabilized (167), which on elimination of chloride ion formed (168). The intramolecular nucleophilic attack from the amino group on the carbonyl group resulted in cyclisation of structure (170) with the elimination of water molecule to furnish the expected derivative (161). (**Scheme 6**).

4.4 Results of Antimicrobial sensitivity test of synthesized compounds (Table 1)

Compounds	Gram-negative Bacteria			Gram-positive Bacteria			Fungi Organism	
	<i>B.cereus</i>	<i>B. subtilis</i>	<i>S. aureus</i>	<i>E. coli</i>	<i>P. aeruginosa</i>	<i>K pneumonia</i>	<i>C. albicans</i>	<i>A. niger</i>
16	++	+	+	++	+	-	++	-
17	+++	+	+	+	+	-	+++	+
19	+	++	-	-	++	-	+	+
24	++	+	-	-	+	-	-	++
27	-	+	++	+++	++	++	+	+
44	+	++	+	+	+	+	+	+++
Rf 1	+	++	+	+++	++	+++	-	-
Rf 2	-	-	-	-	-	-	+++	++

+	= Sensitive	Rf I, Rf 2 (reference drugs)
++	= Moderately Sensitive	Rf 1 (ciprofloxacin, antibacterial)
-	= Resistance	Rf 2 (ketoconazole, antifungal)

The assay was conducted by applying agar-well diffusion method⁸⁰ using a concentration of 20 mg/mL of each compound. From the result (Table 1), most of the compounds showed significant activity against the test organisms except *K.pneumonia* which was only sensitive to compound **27** and **44**. *B.subtilis* was sensitive to all the compounds. *S.aureaus* and *E.coli* were resistant to compound **19** and **24** but were sensitive to other compounds.

4.5 Minimum Inhibitory Concentration (MIC) Determination

This was carried out using agar dilution following the procedure outlined by Chemical Laboratory Standards Institute (CLSI)³³ using the following serial dilutions: 1.0, 0.5, 0.25, 0.125 and 0.0625 mg/mL. Almost all the synthesized derivatives were active against the micro-organisms even at very low concentrations, consistent with the dictum that the lower the MIC values, the higher the activity. Compound **17** has the lowest MIC values against bacteria (*B.cereus*, *B. subtilis*, *S. aureus* and *E. coli*) which ranged from 0.0457- 0.1040 mg/mL (Table 2). There was no MIC for compound **19** and **24** against *S.aureaus* and *E.coli*. In fungi organisms, *C.albican* was only resistant to compound **24** but others were active against the organism and all the compounds were active against *A.niger* except compound **16**. All the MIC values of the synthesized compounds were well above the reference drugs except for compound **27** where its value was below against *P. aeruginosa*. (see table 2).

CHAPTER FIVE

CONCLUSION

The complex benzoxazinophenothiazine derivatives were synthesized. The compounds were characterized by UV-visible, FT-IR, (^1H and ^{13}C -NMR) spectroscopy and elemental analysis. The complex derivatives prepared in this work could served as dyes due to its conjugation and are intensely coloured. The antimicrobial screening of the compounds were carried out on freshly cultured targeted micro-organisms using agar cup diffusion method and the results showed that the complex derivatives were active against the micro-organisms when compared with ciprofloxacin as anti-bacterial agent and ketoconazole as an anti-fungal agent. Therefore we can conclude that the compounds which showed high activity can be recommended for preclinical screening.

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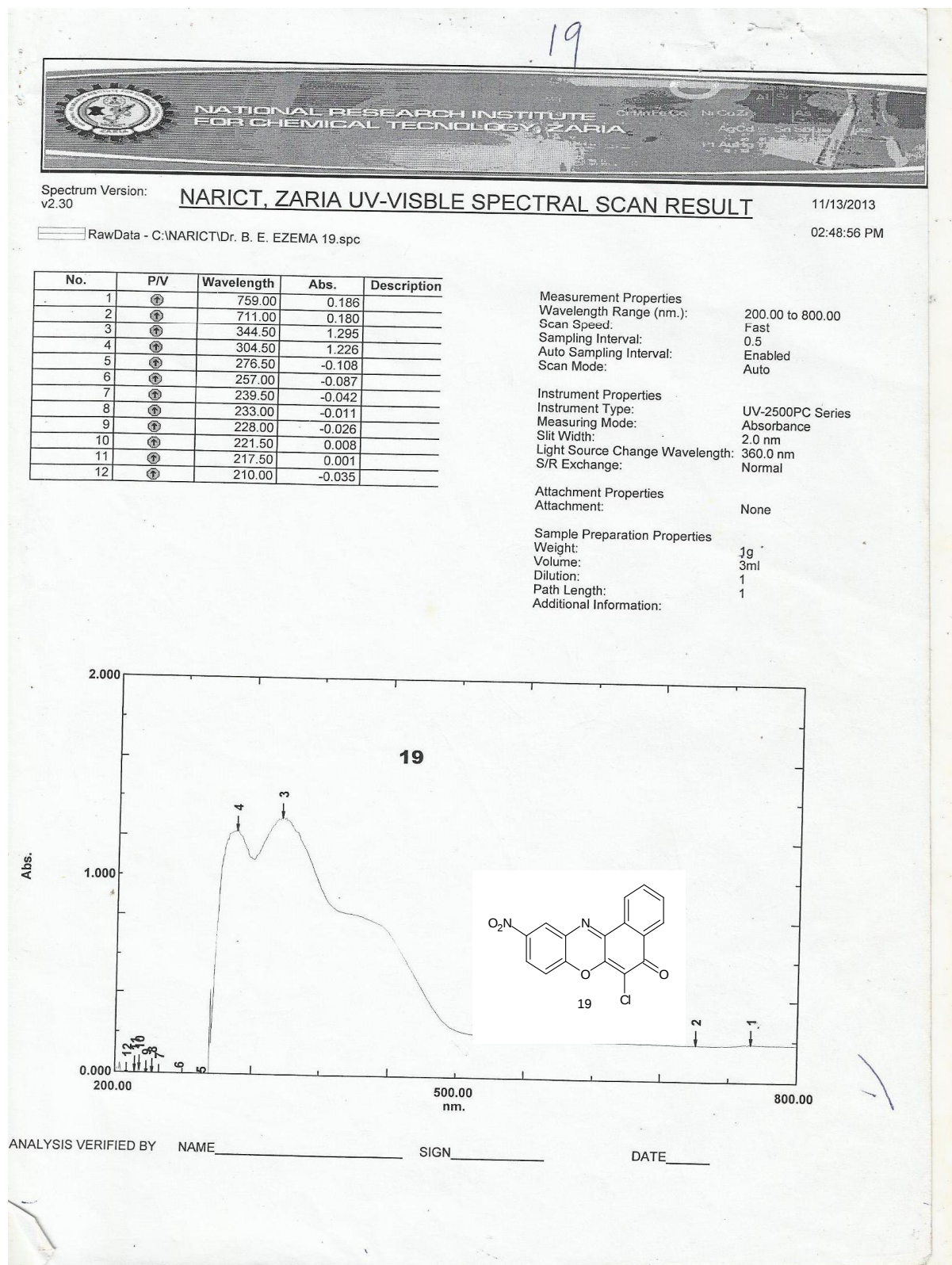


FIG 1 : Uv-spectral chart of 6 chloro-10-nitro-5H-benzo[a]phenoxazin-5-one

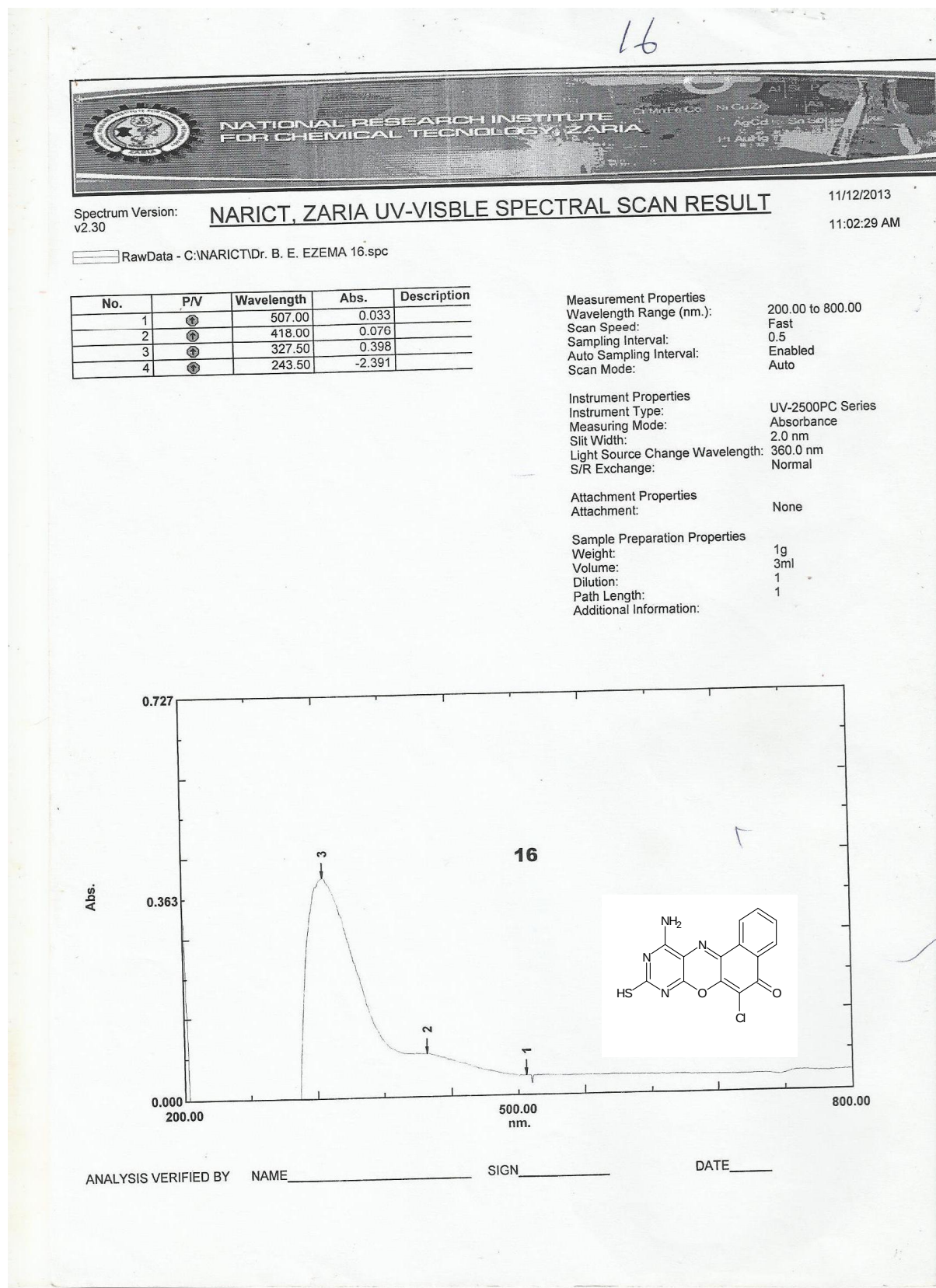


FIG 2: UV-spectral chart of 11-amino-6-chloro-9-thiol-8,10-diazabenz[a]phenoxazin-5-one

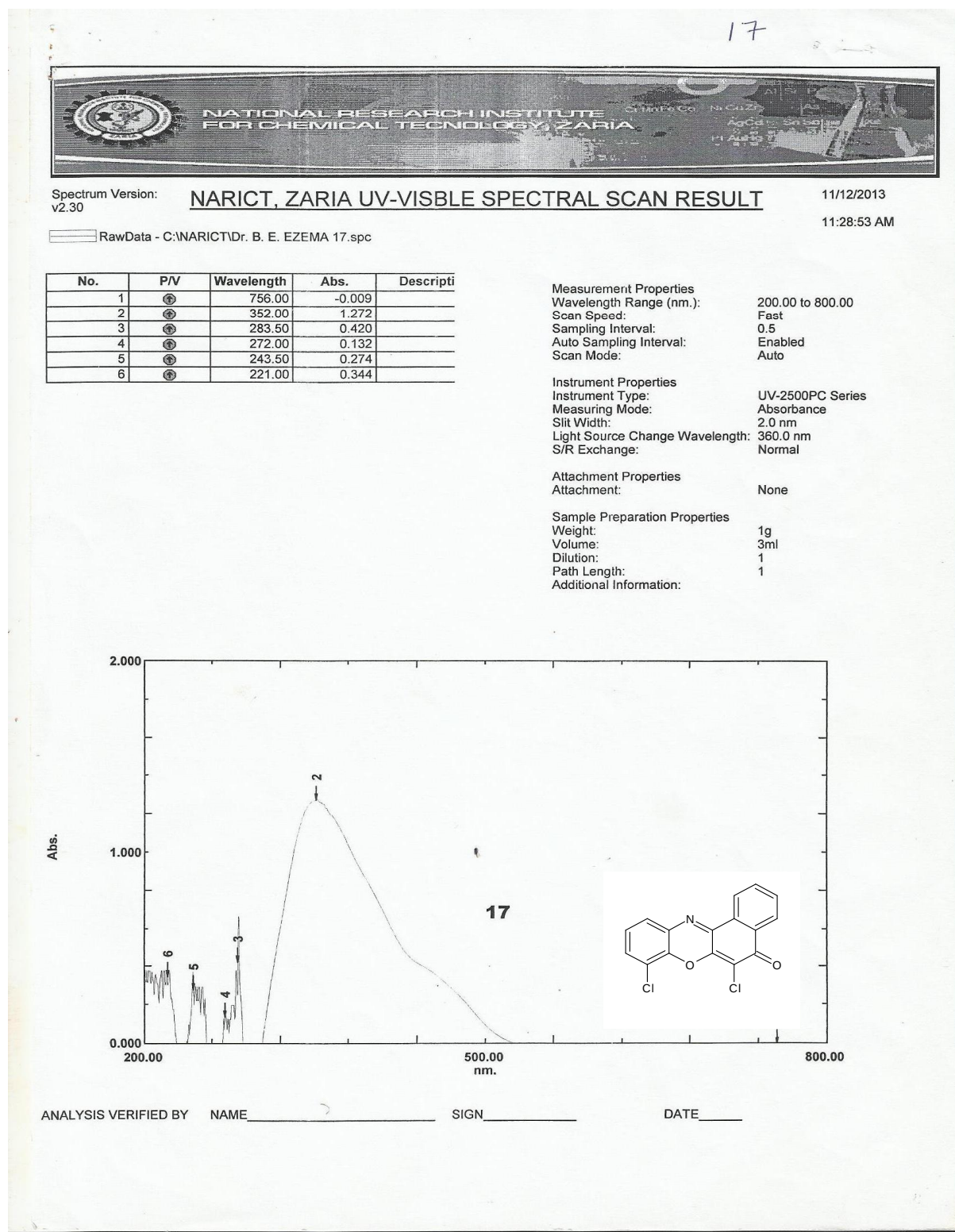


FIG 3: Uv-spectral chart of 6,8-dichlorobenzo[a]phenoxazine-5-one

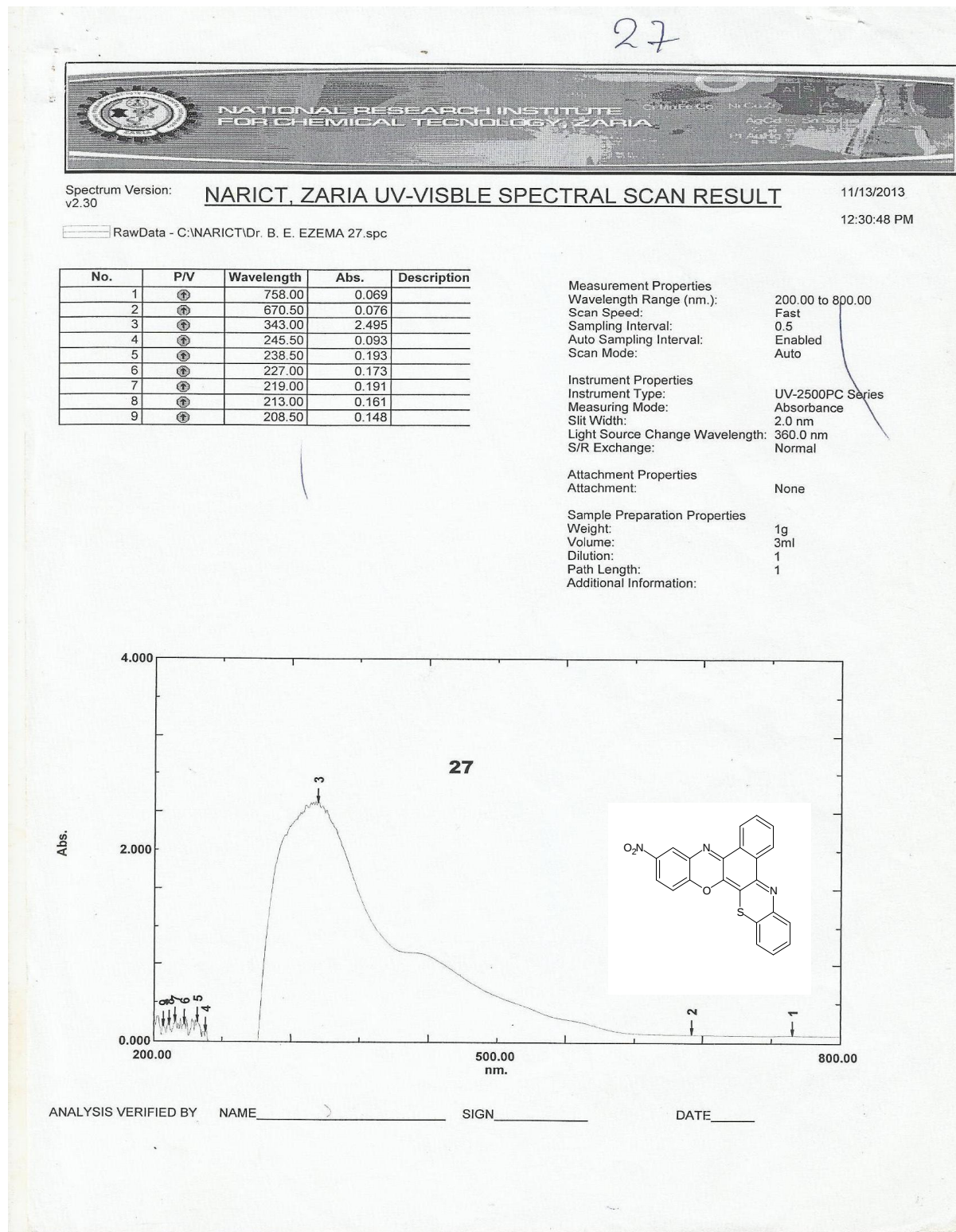
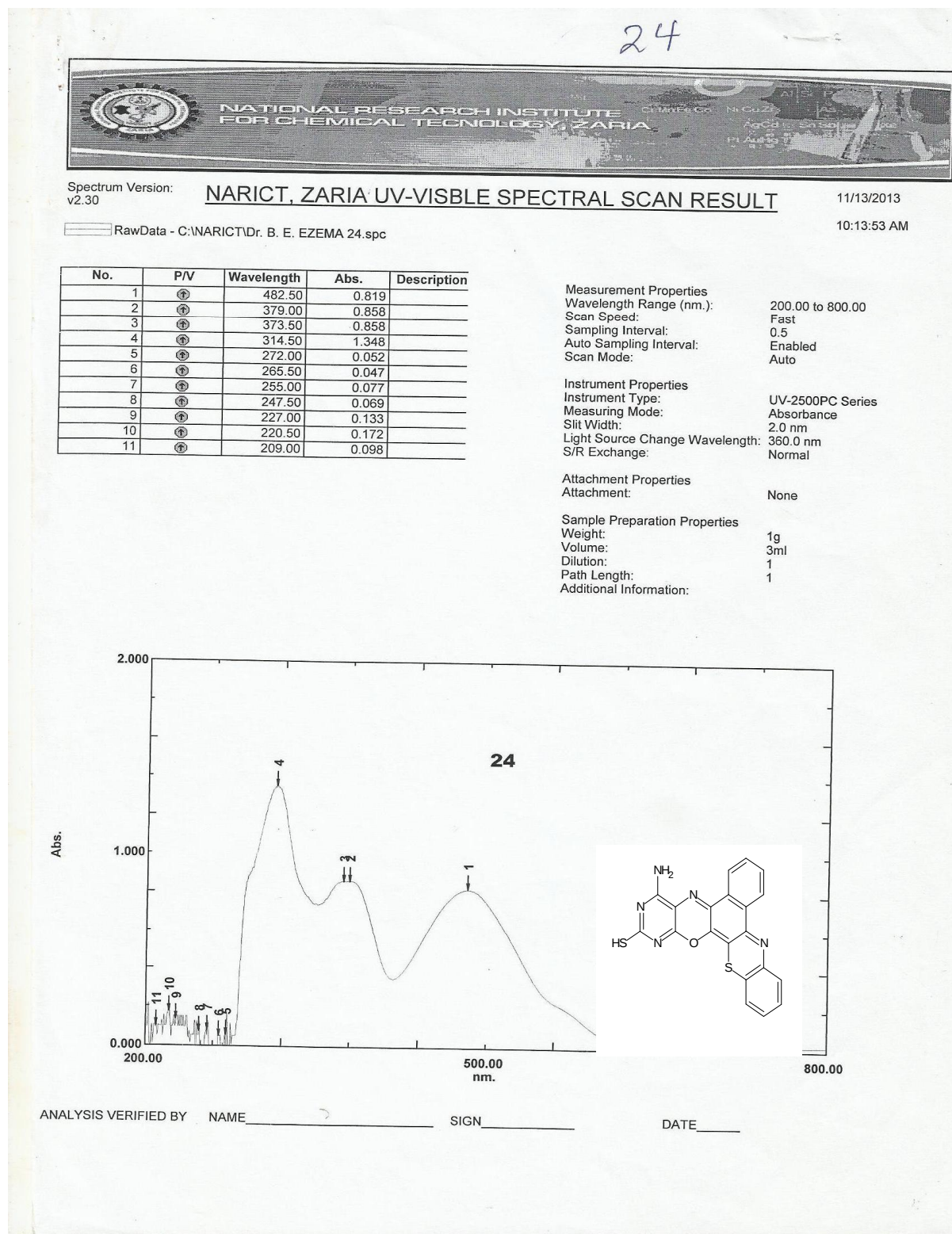
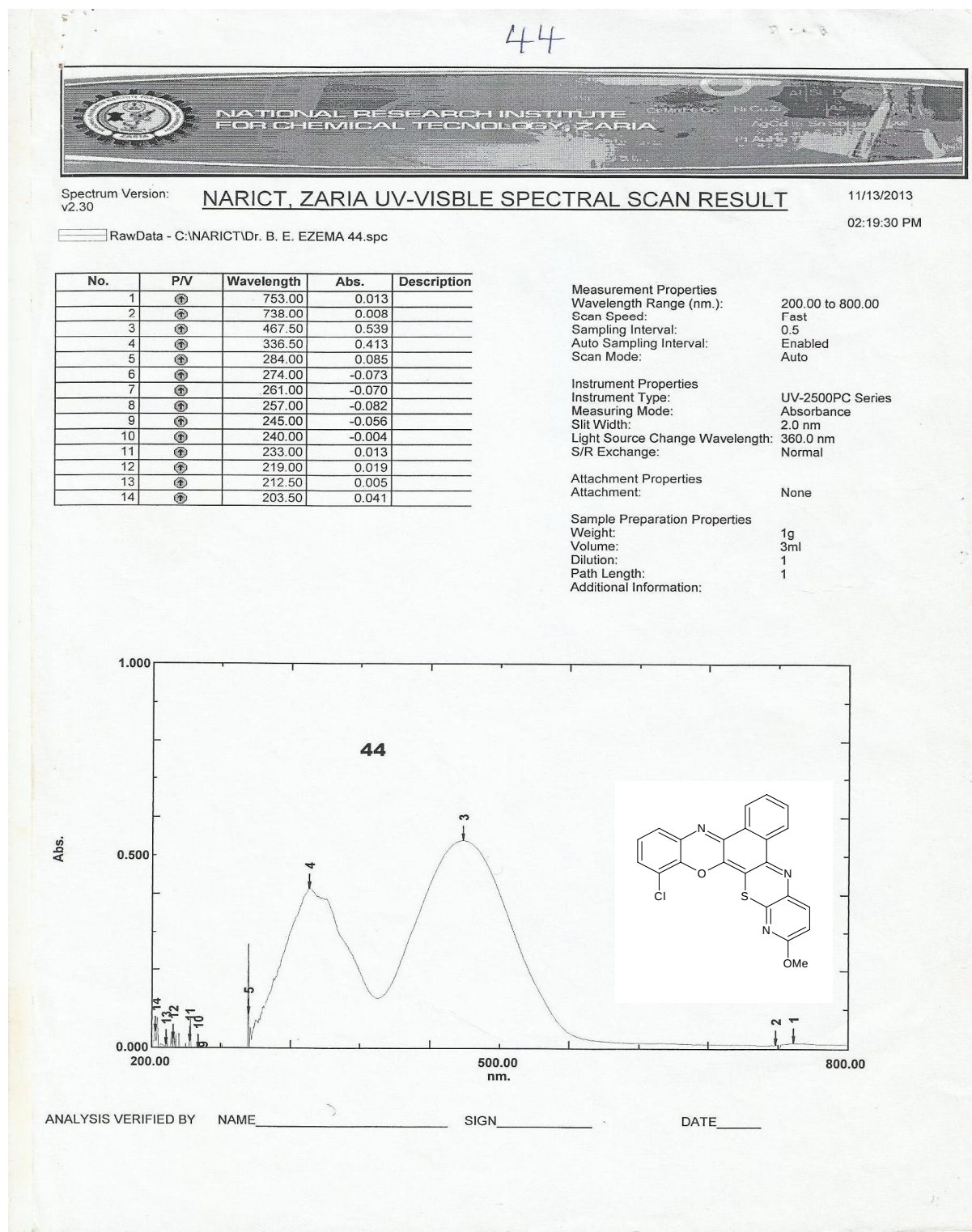


FIG 4 : Uv-spectral chart of 14-nitrobenzo[a][1,4]benzoxazino[3,2-c]phenothiazine



**FIG 5: Uv-spectral chart of 15-amino-13-thiol-12,14-diazabenz[a]benzo[a][1,4]benzoxazino
[3,2-c]phenothiazine**



**FIG 6: Uv-spectral chart of 12-chloro-9-methoxy-8-azabenz[a][1,4]benzoxazino
[3,2-c]phenothiazine**

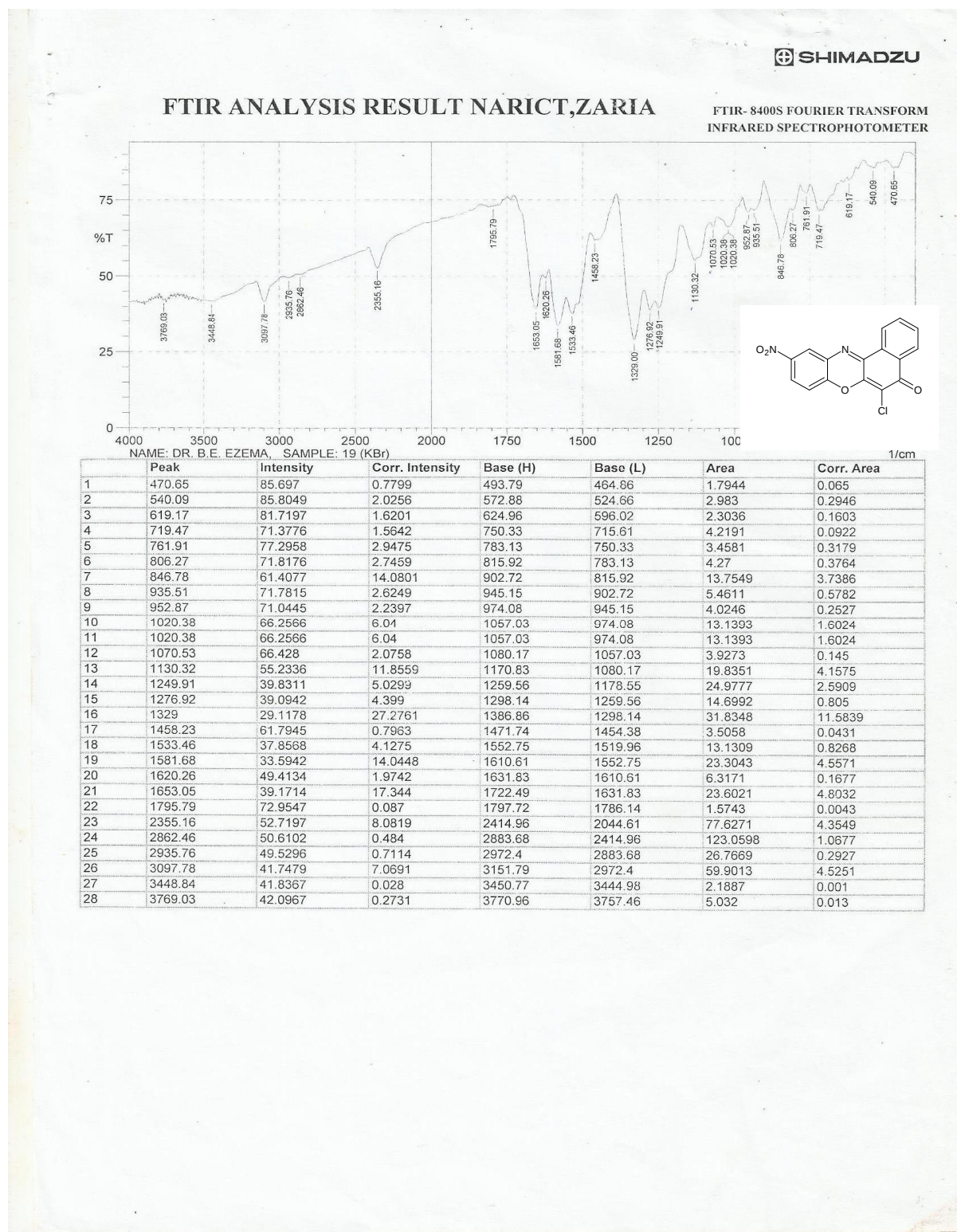


FIG 7 : IR-spectral chart of 6-chloro-10-nitro-5H-benzo[a]phenoxazin-5-one

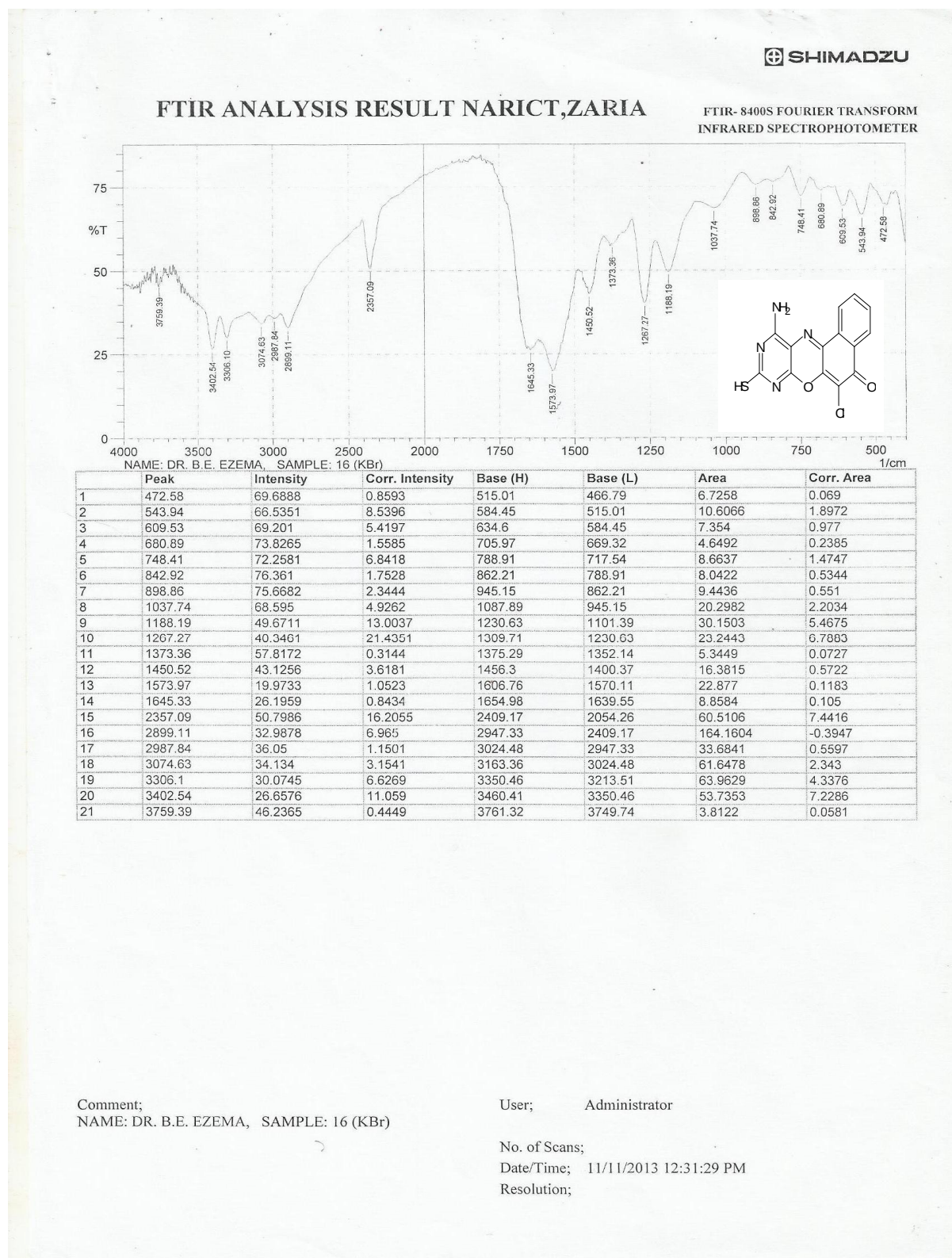


FIG 8: IR-spectral chart of 11-amino-6-chloro-9-thiol-8,10-diazabenzophenoxazin-5-one

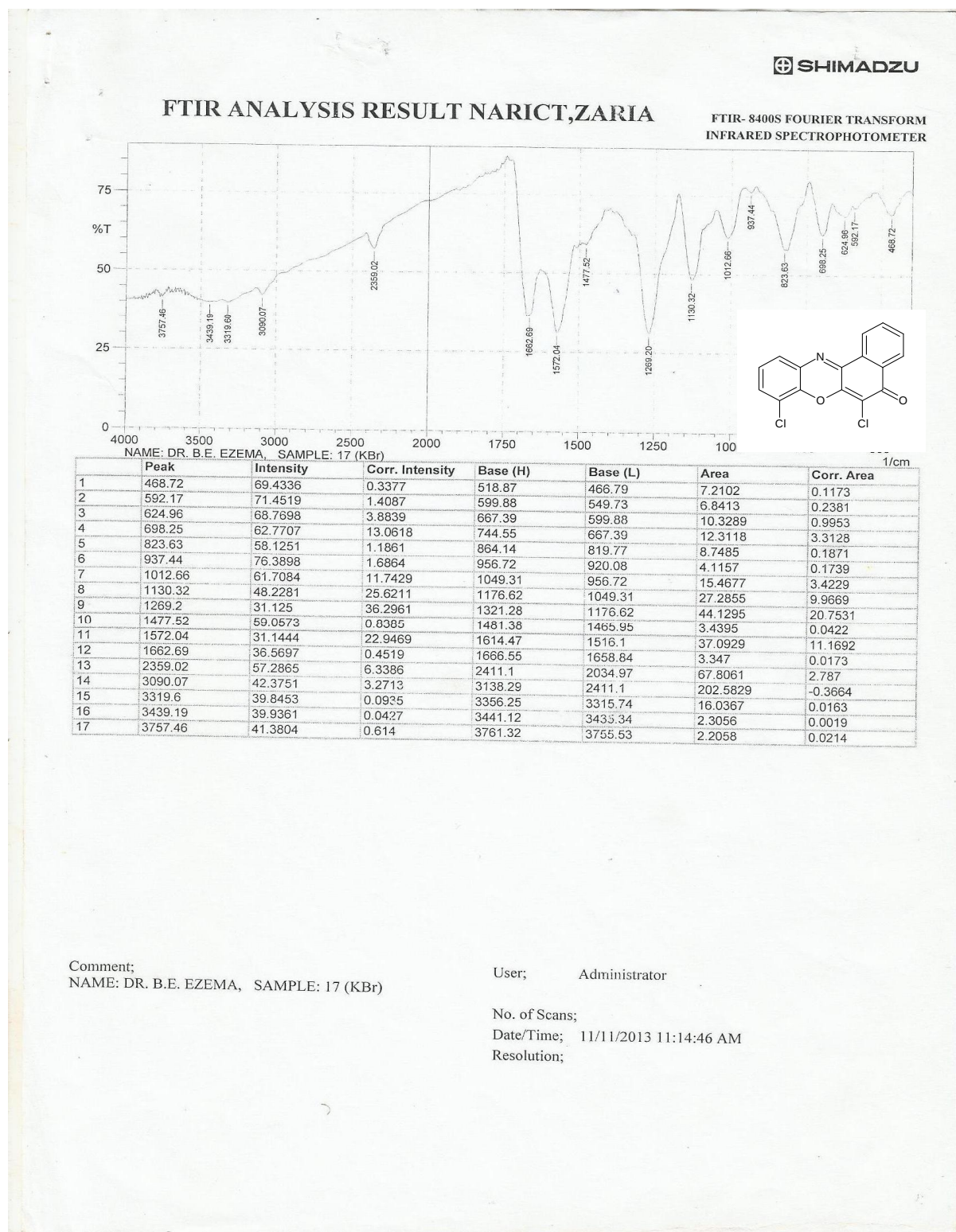


FIG 9 : IR-spectral chart of 6,8-dichlorobenzo[a]phenoxazin-5-one

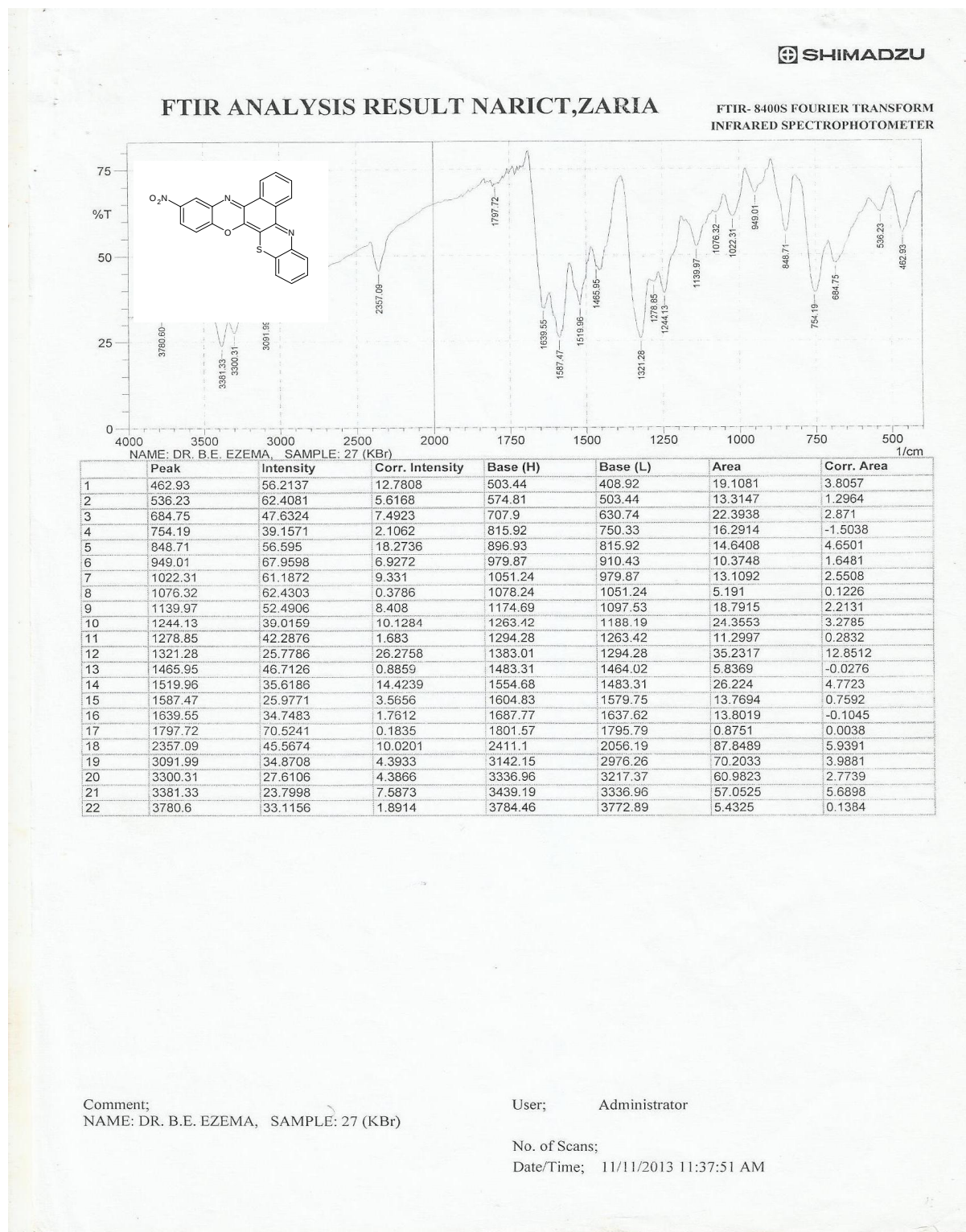


FIG 10: IR-spectral chart of 14-nitrobenzo[a][1,4]benzoxazino[3,2-c]phenothiazine

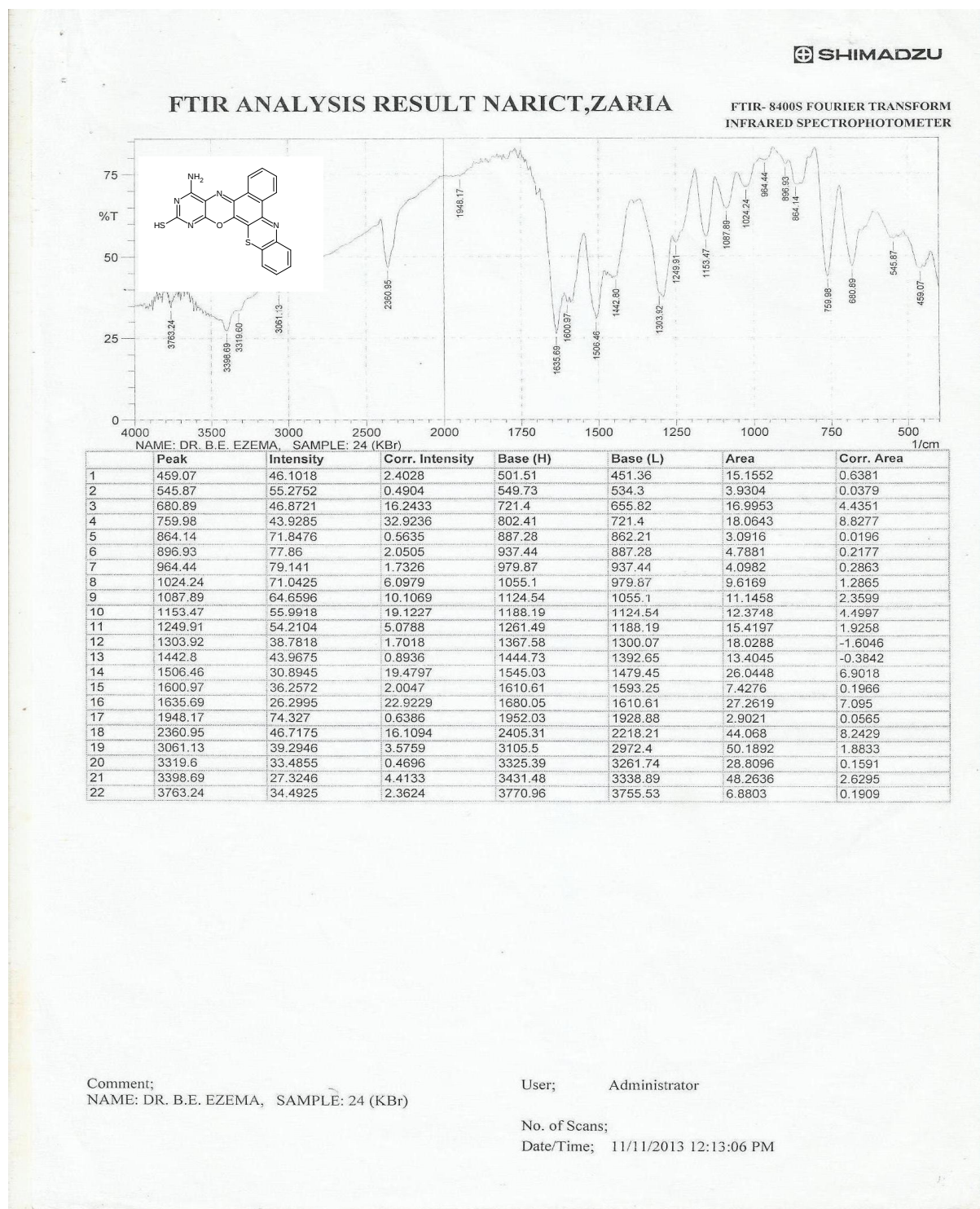
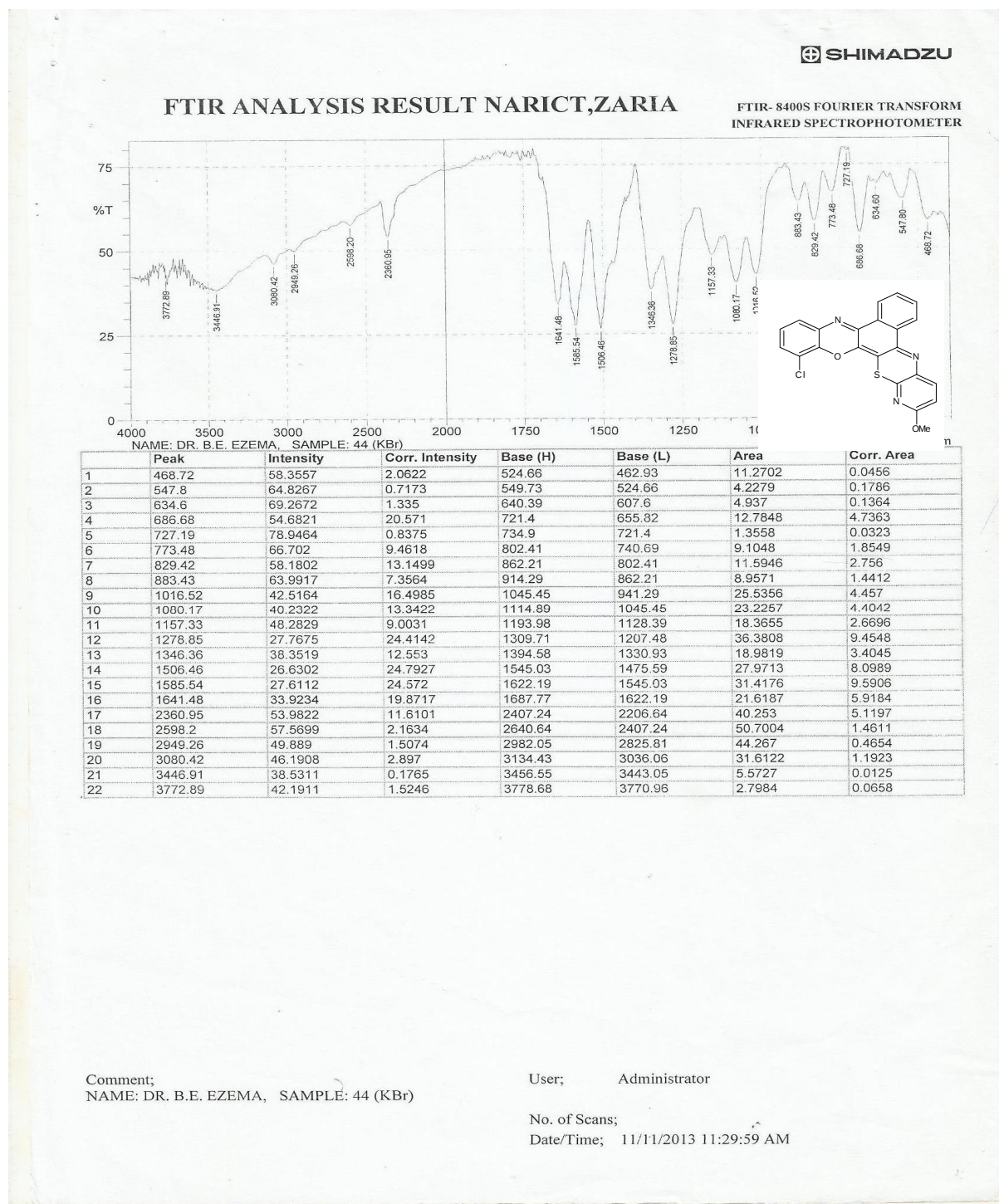


FIG 11 : IR-spectral chart of 15-amino-13-thiol-12,14-diazabenz[a]benzoxazino[1,4]

[3,2-c]phenothizine



**FIG 12 : IR-spectral chart of 12-chloro-9-methoxy-8-azabenz[a][1,4]benzoxazino
[3,2-c]phenothiazine**

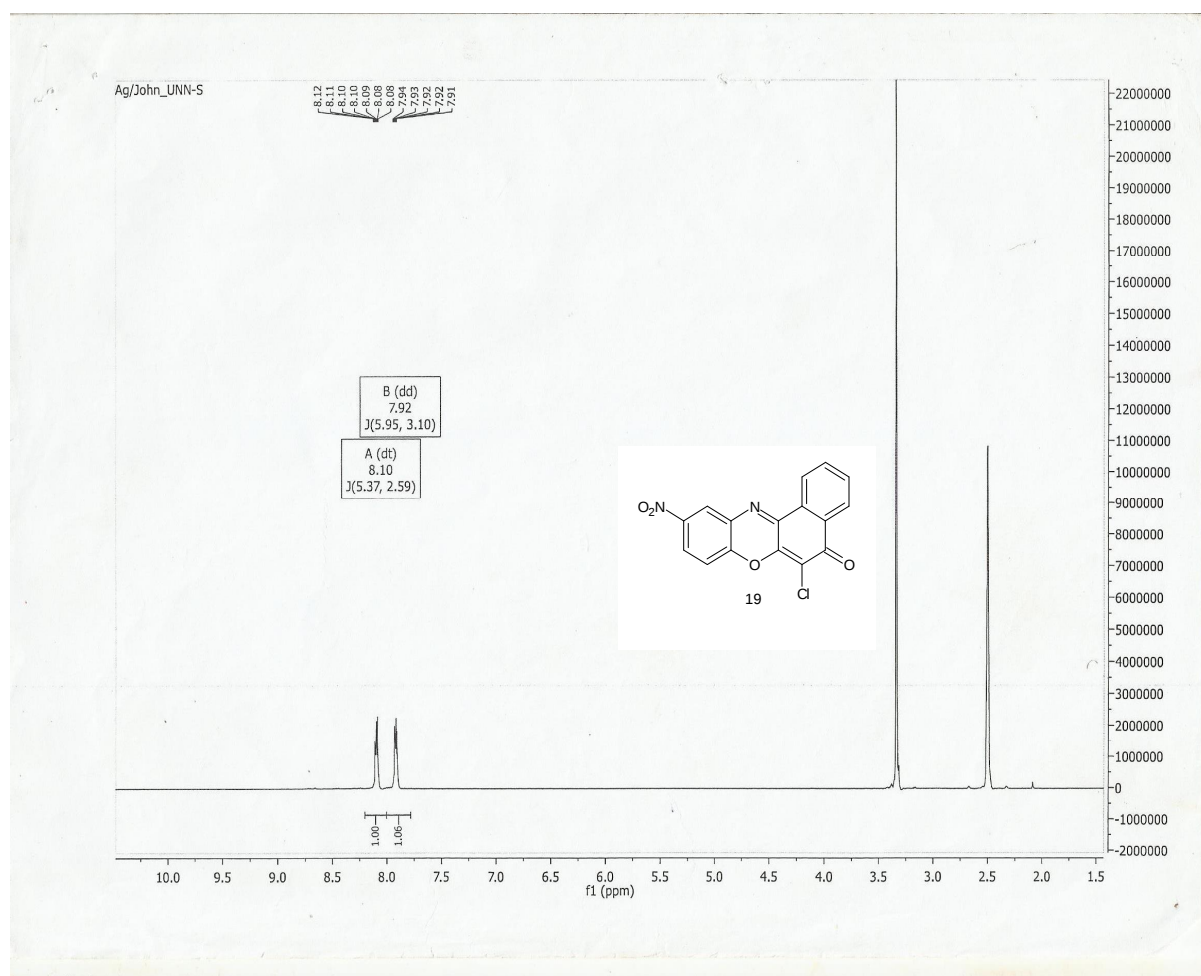


FIG 13 : ^1H -NMR-spectral chart of 6-chloro-10-nitro-5Hbenzo[a]phenoxazin-5-one

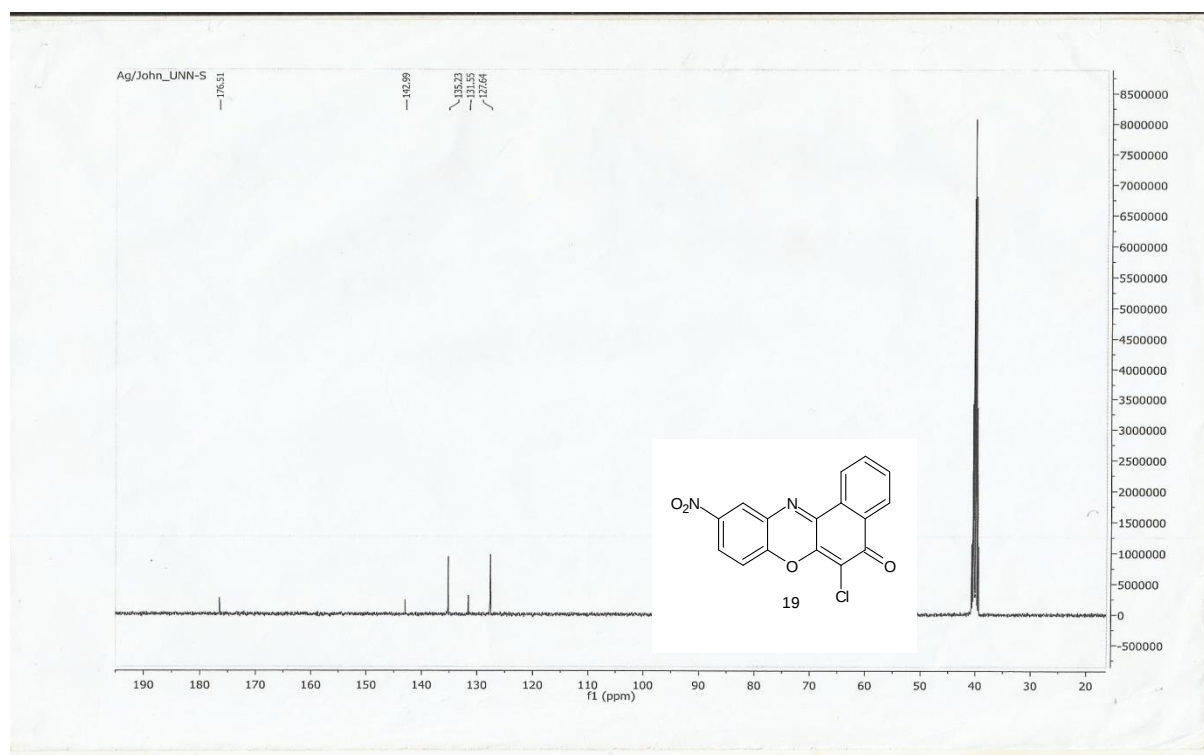


FIG 14 : ^{13}C -NMR-spectral chart of 6-chloro-10-nitro-5H-benzo[a]phenoxazin-5-one

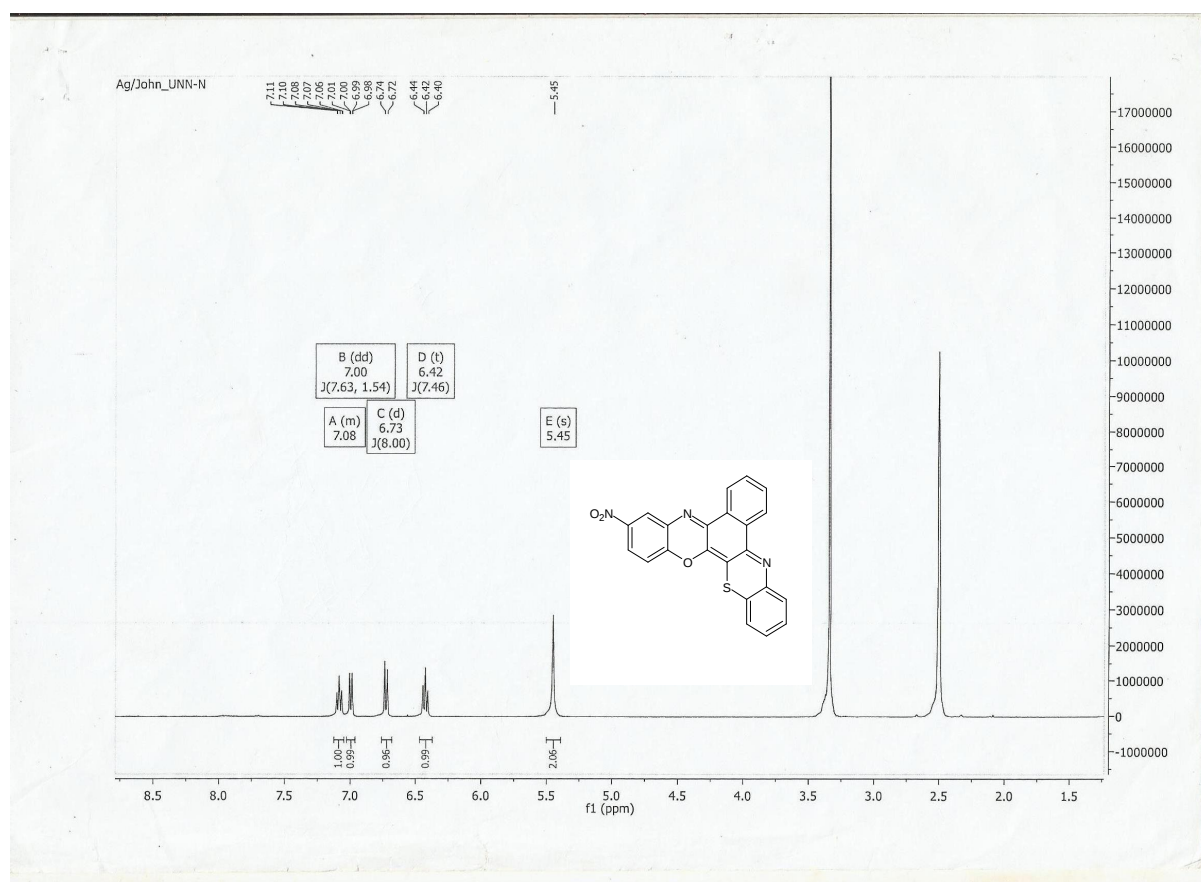


FIG 15 : ^1H -NMR-spectral chart of 14-nitrobenzo[a][1,4]benzoxazino[3,2-c]phenothiazine

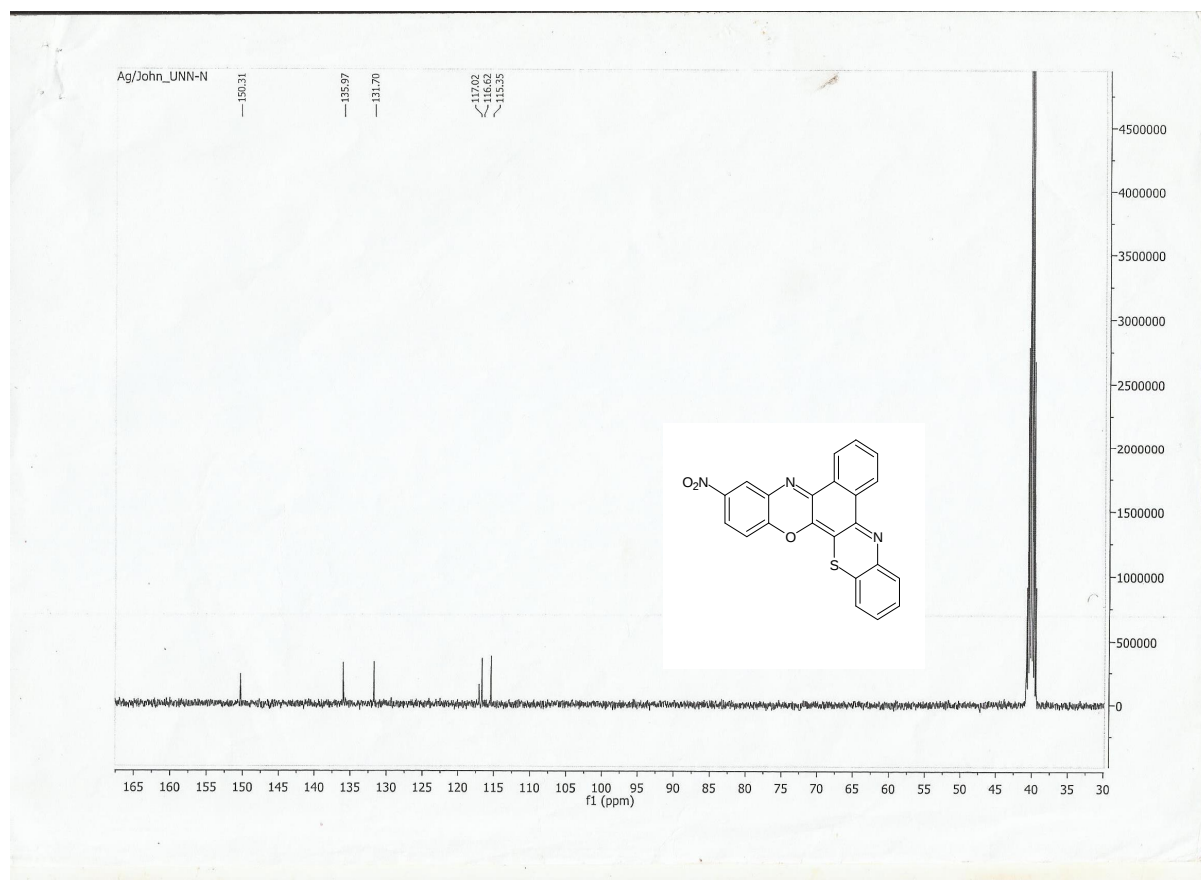


FIG 16 : ^{13}C -NMR-spectral chart of 14-nitrobenzo[a][1,4]benzoxazino[3,2-c]phenothiazine

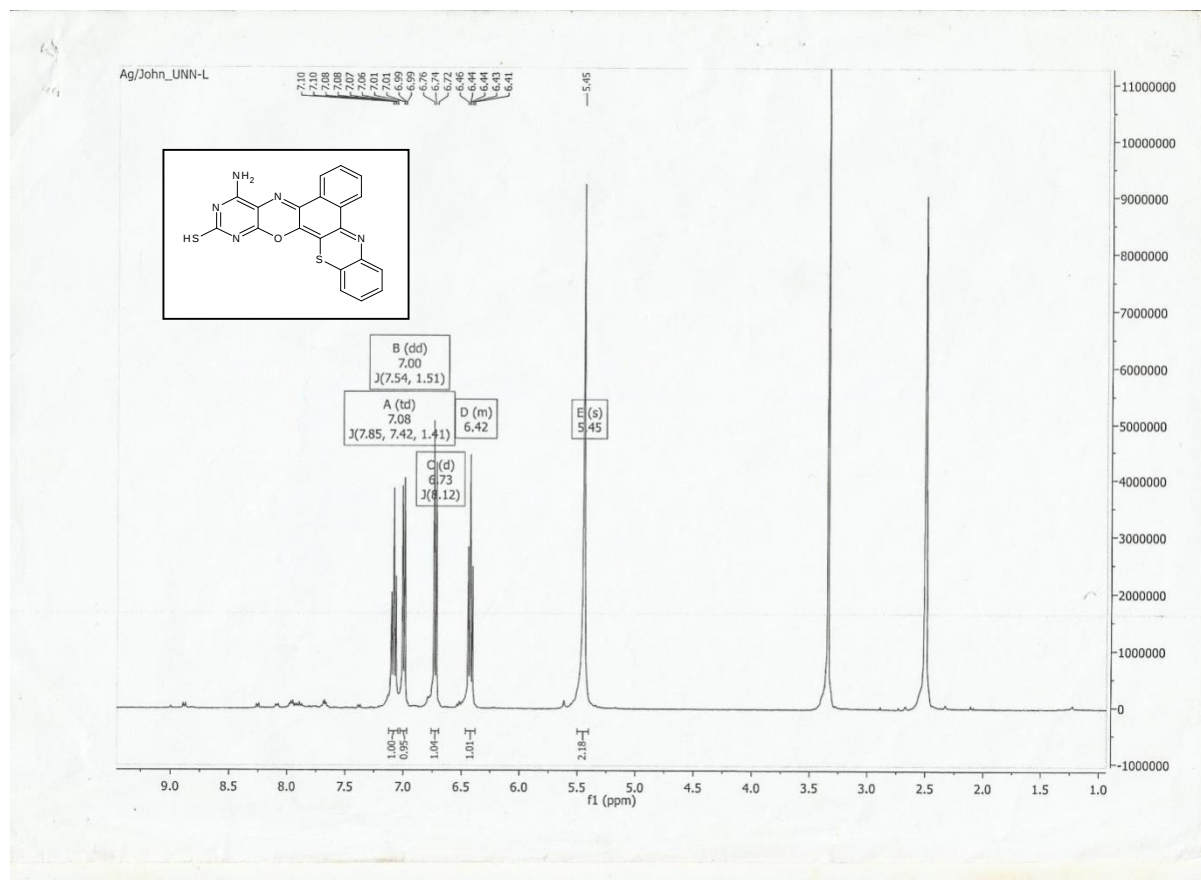


FIG 17 : ^1H -NMR-spectral chart of 15-amino-13-thiol-12,14-diazabenz[a][1,4]benzoxazino [3,2-c]phenothiazine

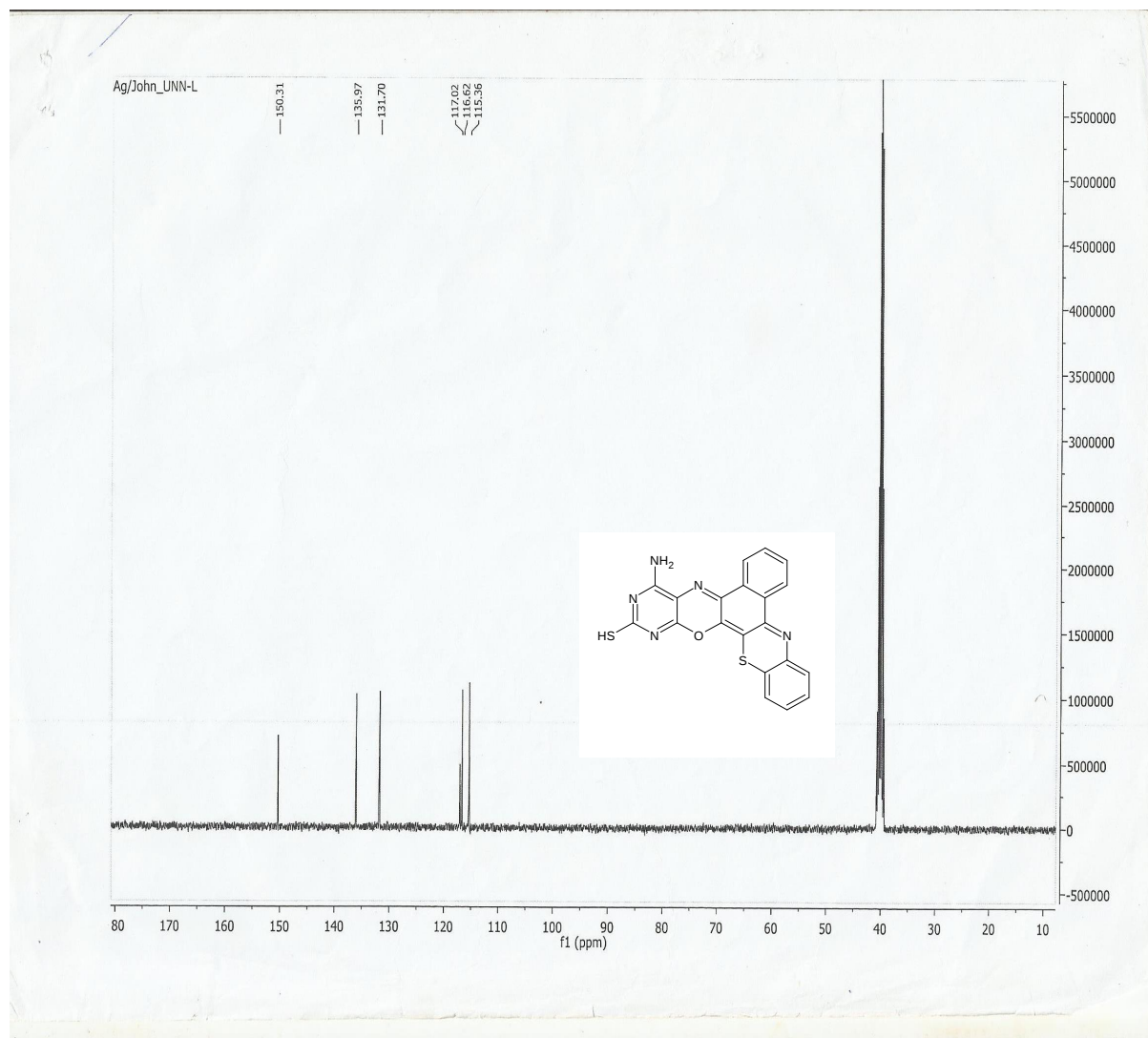


FIG 18 : ^{13}C -NMR-spectral of 15-amino-13-thiol-12,14-diazabenz[a][1,4]benzoxazino [3,2-c]phenothiazine

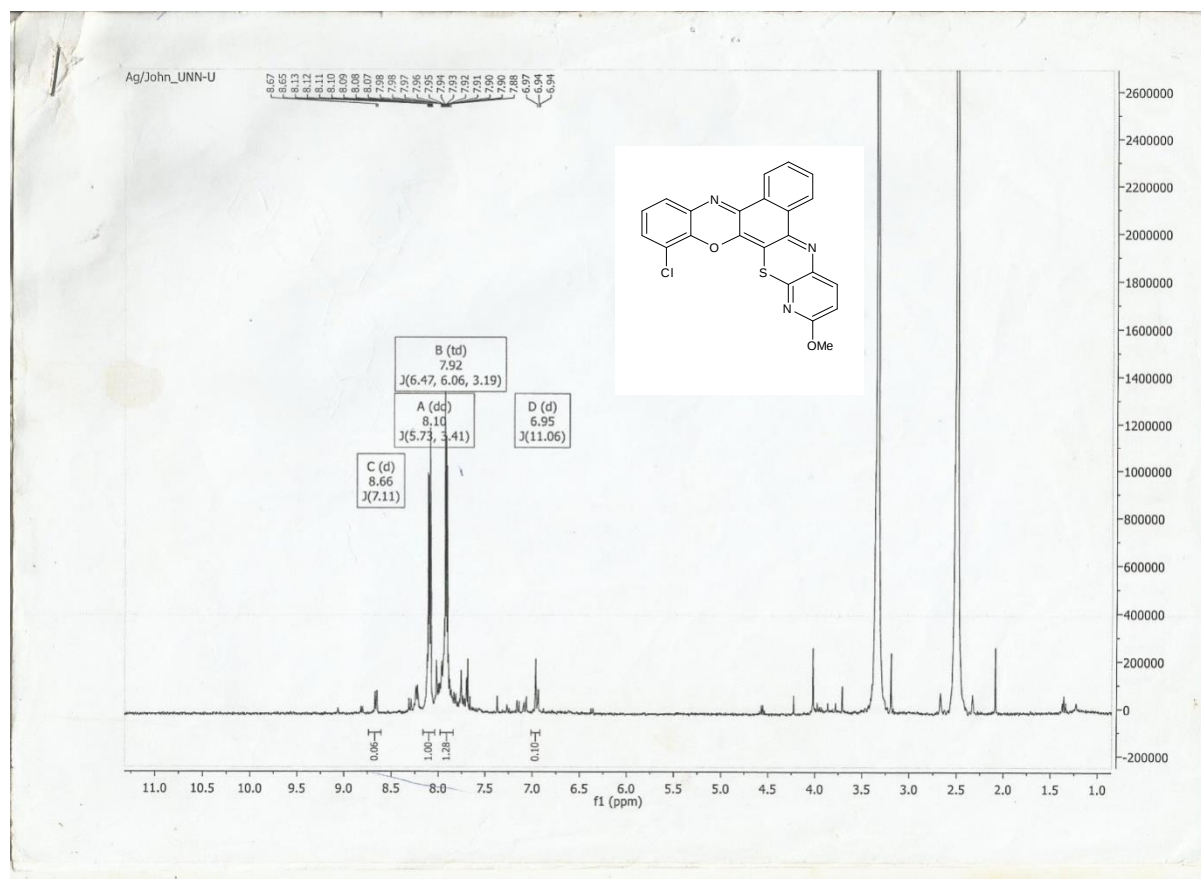


FIG 19 : ^1H -NMR-spectral chart of 12-chloro-9-methoxy-8-azabenz[a][1,4]benzoxazino
[3,2-c]phenothiazine

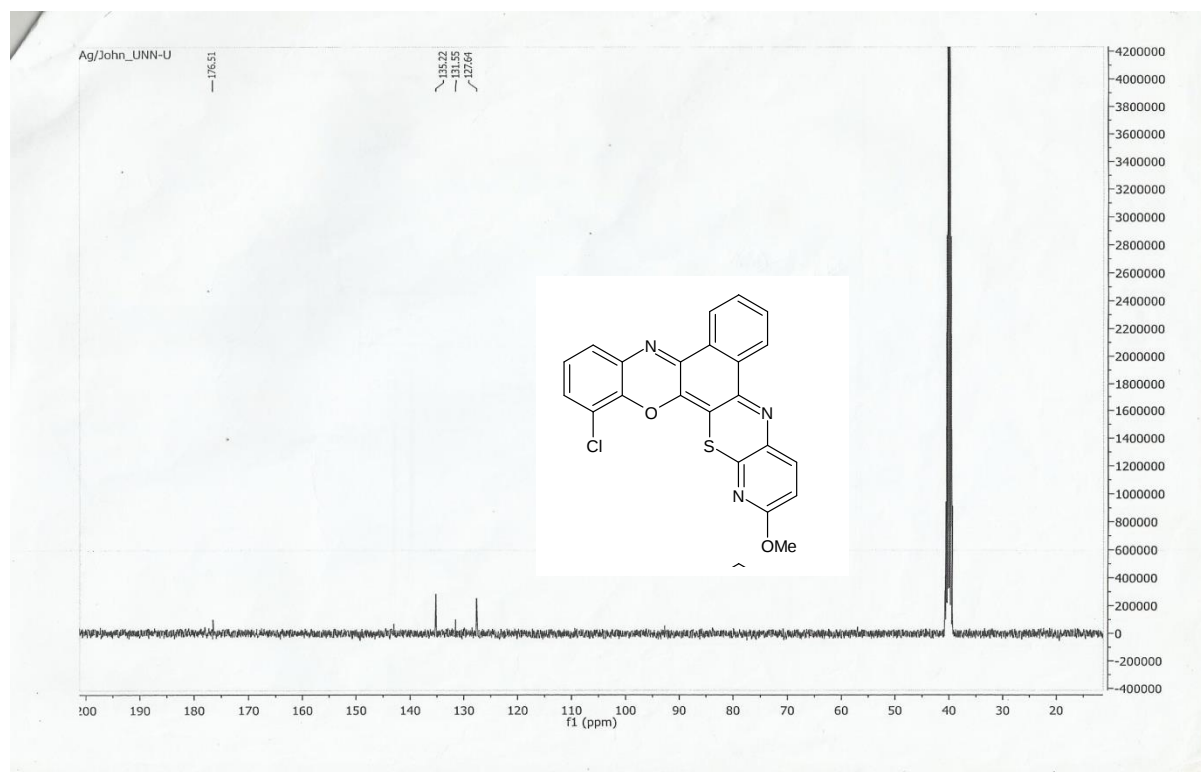


FIG 20 : ^{13}C -NMR-spectral chat of 12-chloro-9-methoxy-8-azabenz[a][1,4]benzoxazino
[3,2-c]phenothiazine